



CMPT 413/713: Natural Language Processing

Attention for Seq2Seq models (Attention)

Spring 2024
2024-02-07

Adapted from slides from Danqi Chen and Karthik Narasimhan
(with some content from slides from Abigail See, Graham Neubig)

Overview

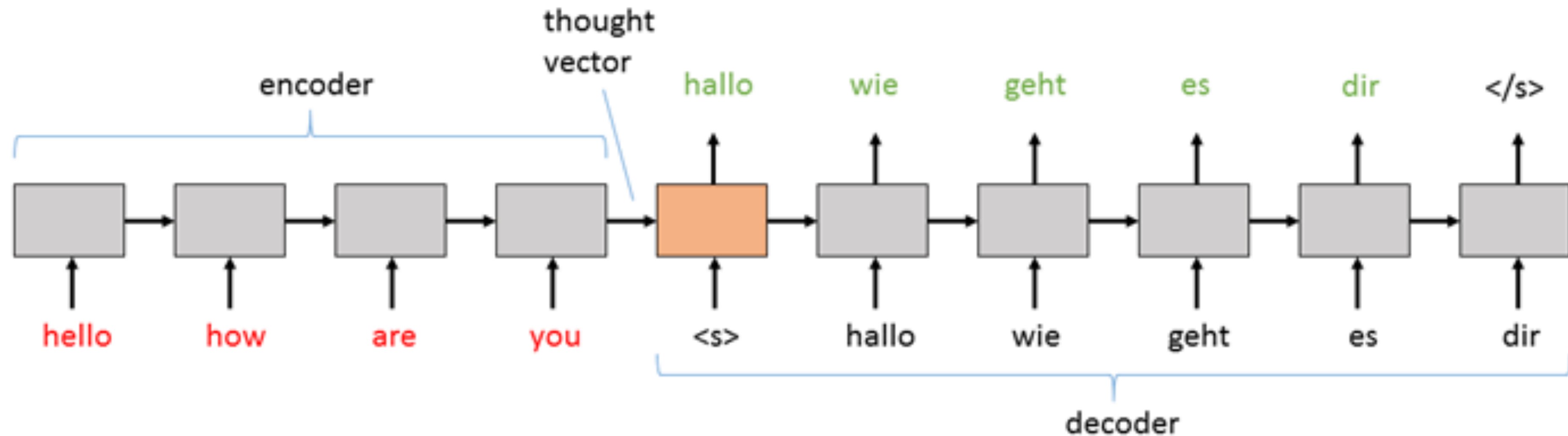
- Review of Seq2Seq models
- Attention

Sequence to sequence models

Neural Machine Translation

- ▶ A **single neural network** is used to translate from source to target
- ▶ Architecture: Encoder-Decoder
 - ▶ Two main components:
 - ▶ **Encoder:** Convert source sentence (input) into a vector/matrix
 - ▶ **Decoder:** Convert encoding into a sentence in target language (output)

Sequence to Sequence learning (Seq2seq)



- Encode entire input sequence into a single vector **(using an RNN)**
- Decode one word at a time **(again, using an RNN!)**
- Beam search for better inference
- Learning is not trivial! (vanishing/exploding gradients)

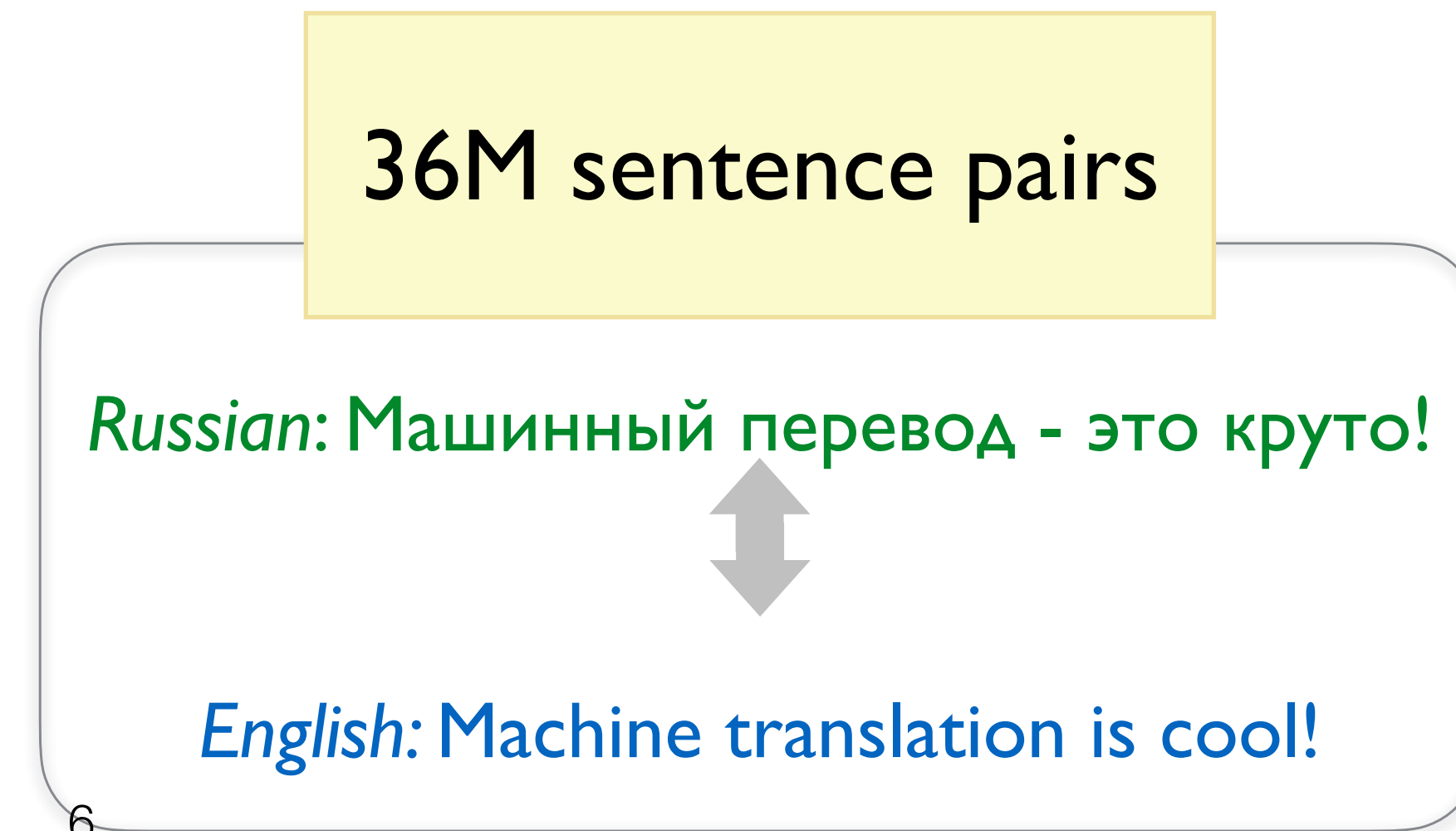
(Sutskever et al., 2014)

Seq2seq training

- ▶ Similar to training a language model!
- ▶ Minimize cross-entropy loss:

$$\sum_{t=1}^T -\log P(y_t | y_1, \dots, y_{t-1}, x_1, \dots, x_n)$$

- ▶ Back-propagate gradients through *both decoder and encoder*
- ▶ Need a really big corpus



Decoding strategies and evaluation

Decoding Strategies

- ▶ Sampling
 - ▶ Sample for diverse generation
 - ▶ Can sample from top-10 choices or top-50%
- ▶ Greedy decoding - Efficient and fast, good starting point
- ▶ Beam search - Practical middle ground

Beam decoding

- ▶ Different hypotheses may produce $\langle eos \rangle$ (end) token at different time steps
 - ▶ When a hypothesis produces $\langle eos \rangle$, stop expanding it and place it aside
- ▶ Continue beam search until:
 - ▶ All k hypotheses produce $\langle eos \rangle$ OR
 - ▶ Hit max decoding limit T
- ▶ Select top hypotheses using the *normalized* likelihood score

$$\frac{1}{T} \sum_{t=1}^T \log P(y_t | y_1, \dots, y_{t-1}, x_1, \dots, x_n)$$

- ▶ Otherwise shorter hypotheses have higher scores

Evaluating translation quality

- Two main criteria:
 - **Adequacy:** Translation $w^{(t)}$ should adequately reflect the linguistic content of $w^{(s)}$
 - **Fluency:** Translation $w^{(t)}$ should be fluent text in the target language

	Adequate?	Fluent?
<i>To Vinay it like Python</i>	yes	no
<i>Vinay debugs memory leaks</i>	no	yes
<i>Vinay likes Python</i>	yes	yes

Different translations of *A Vinay le gusta Python*

BLEU: modified n-gram precision

$$\text{BLEU-N} = \exp \frac{1}{N} \sum_{n=1}^N \log p_n$$

n-gram precision

geometric mean over several values of n
(up to N=4)

Two modifications:

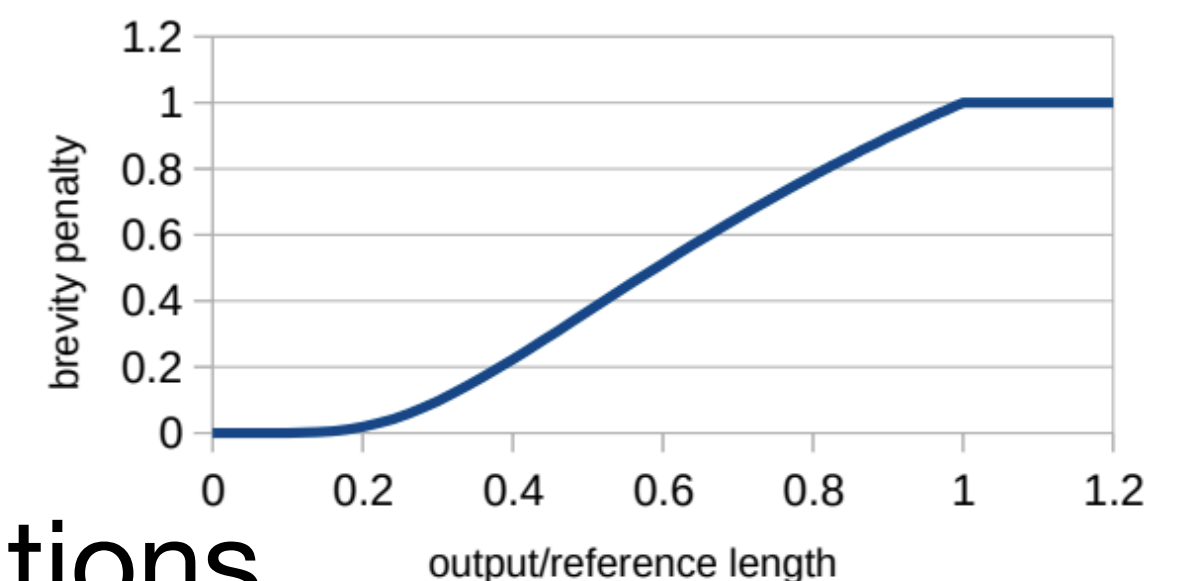
- To avoid $\log 0$, all precisions are smoothed Various smoothing techniques add 1 to numerator/denominator
- Each n-gram in reference can be used at most once

- Ex. **Hypothesis:** *to to to to to* vs **Reference:** *to be or not to be*

should not get a unigram precision of 1 ($p_1 = 2/5$)

clipped count

Precision-based metrics favor short translations

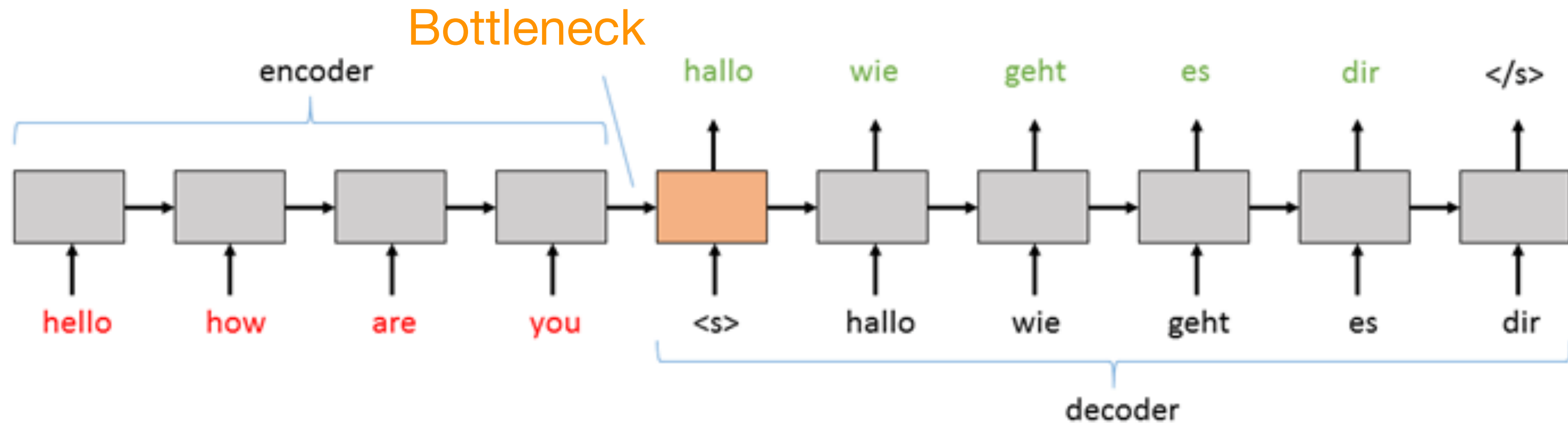


- Solution: Multiply score with a **brevity penalty** for translations

shorter than reference, $BP = e^{1-r/h}$

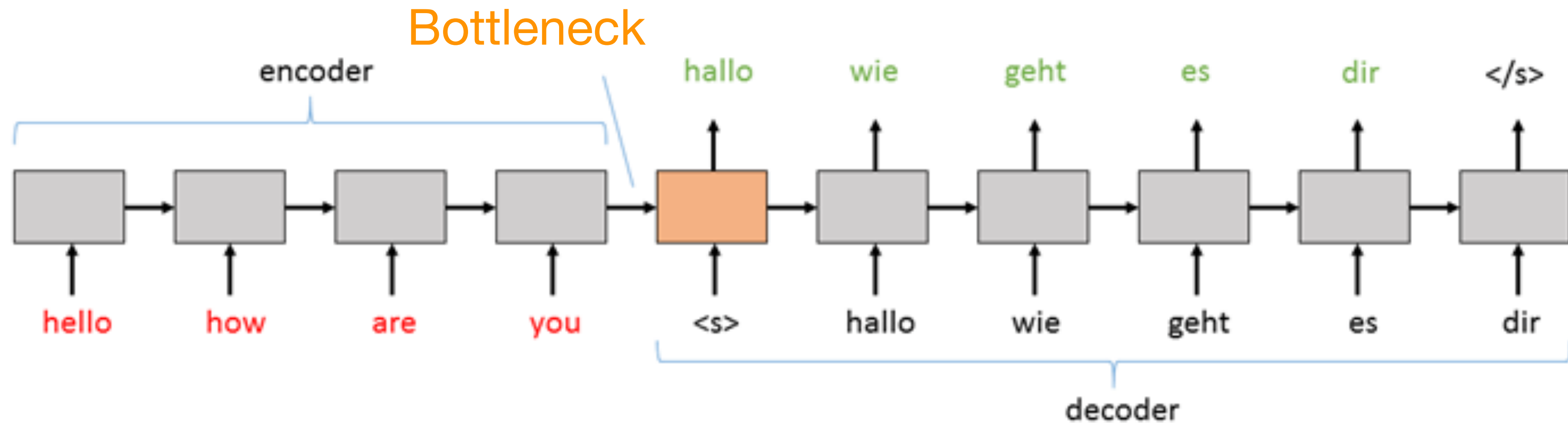
$r =$ reference length, $h =$ hypothesis length

Issues with vanilla seq2seq



- ▶ A single encoding vector, h^{enc} , needs to capture **all the information** about source sentence
- ▶ Longer sequences can lead to vanishing gradients
- ▶ Overfitting

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- ▶ **Overfitting**

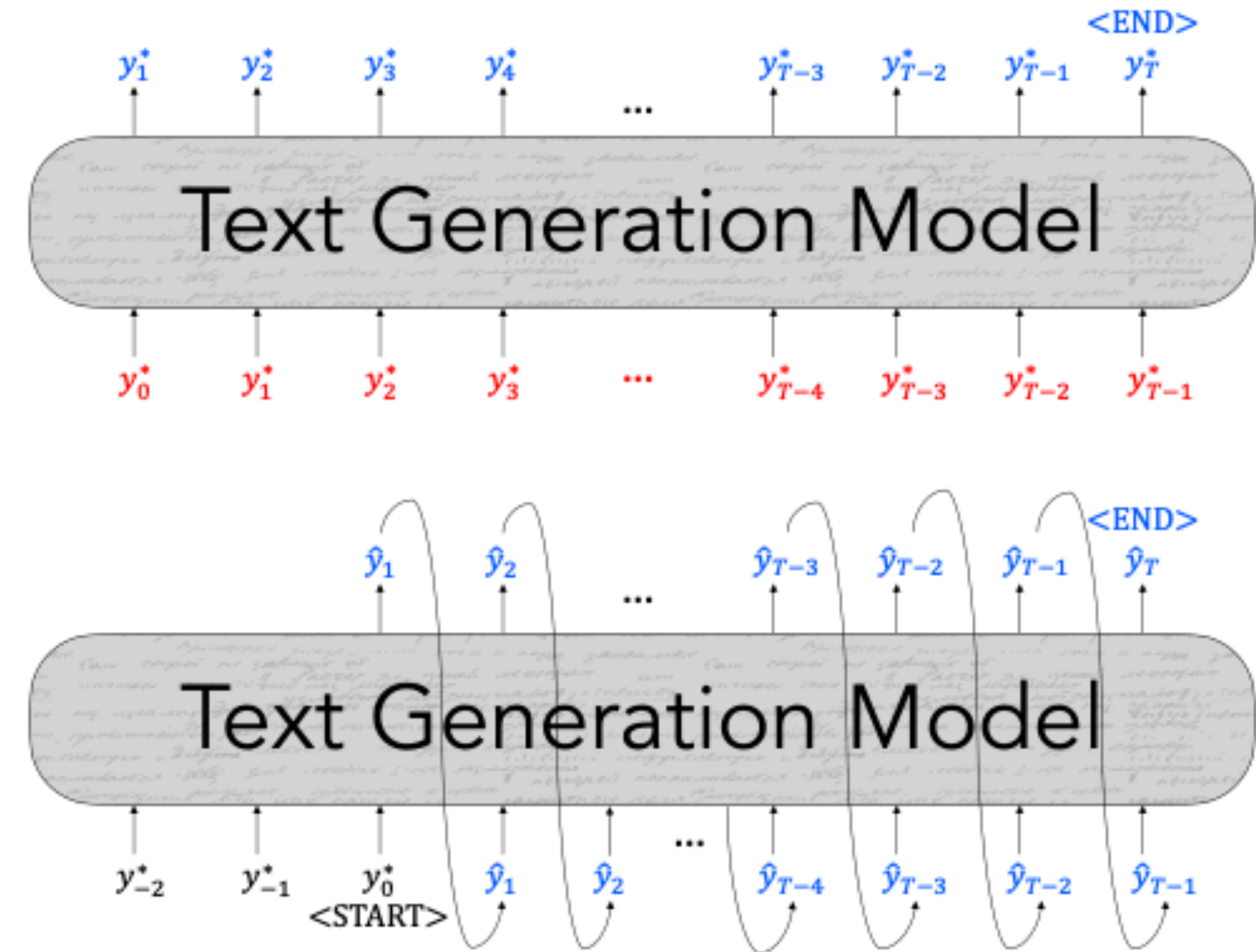
Exposure bias

- Discrepancy in model input between training and generation time
- During training, model inputs are gold context tokens

$$\mathcal{L}_{MLE} = - \sum_{t=1}^T \log P(y_t^* | \{y_{<t}^*\})$$

- At generation time, inputs are previously-decoded tokens

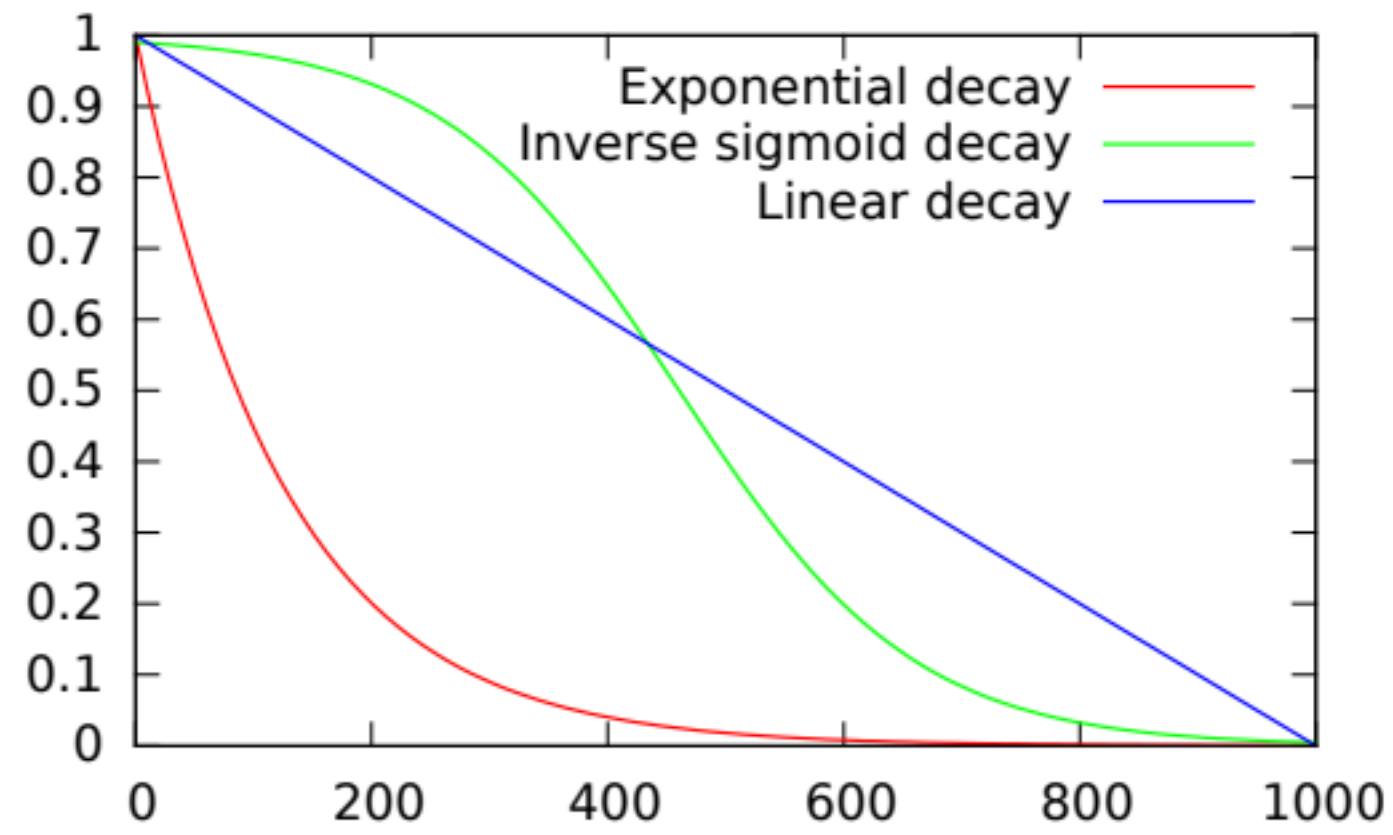
$$\mathcal{L}_{dec} = - \sum_{t=1}^T \log P(\hat{y}_t | \{\hat{y}_{<t}\})$$



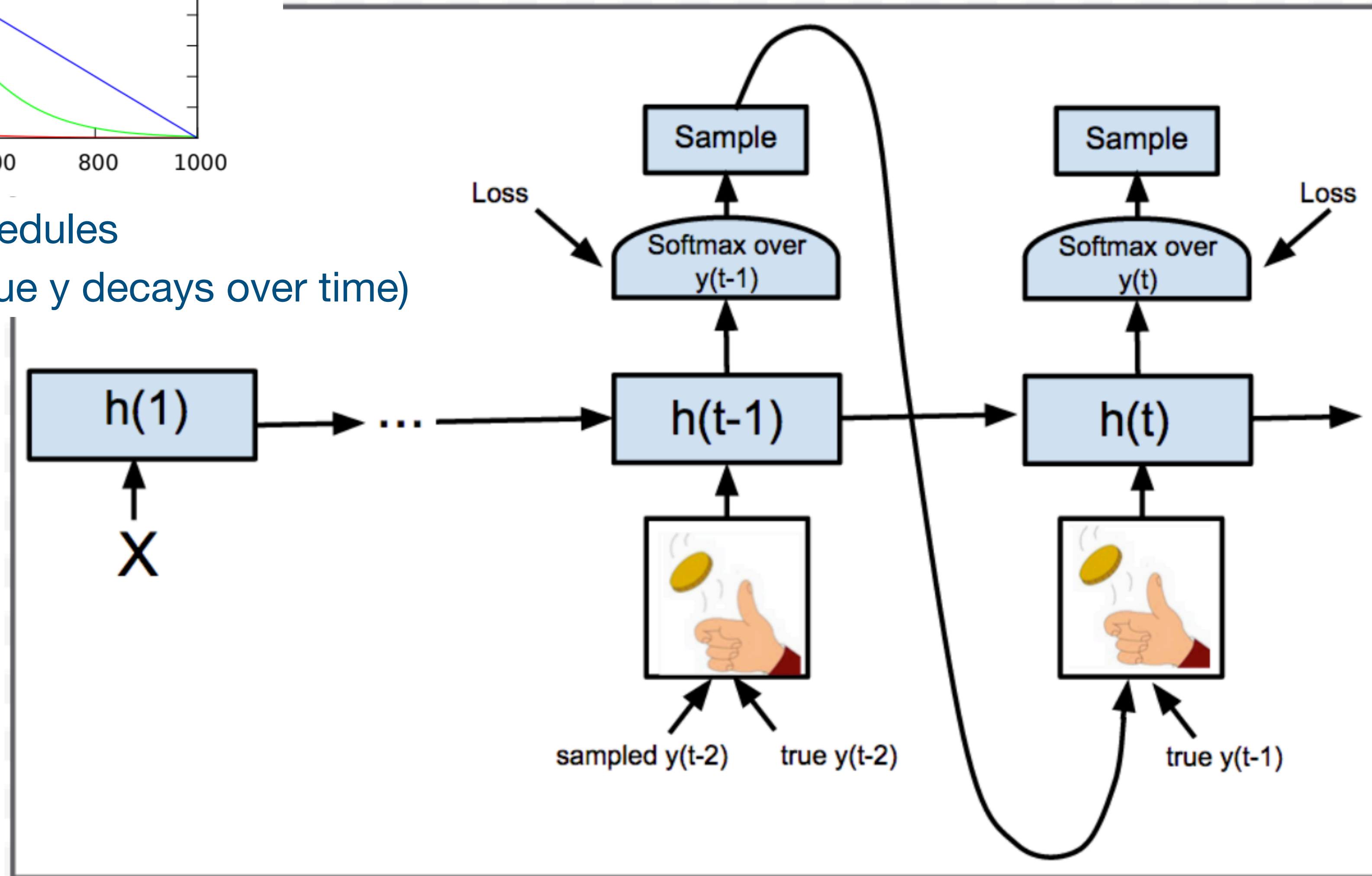
Student forcing: use predicted tokens during training

Scheduled sampling: use decoded token with some probability p , increase p over time

Scheduled Sampling



Possible decay schedules
(probability using true y decays over time)

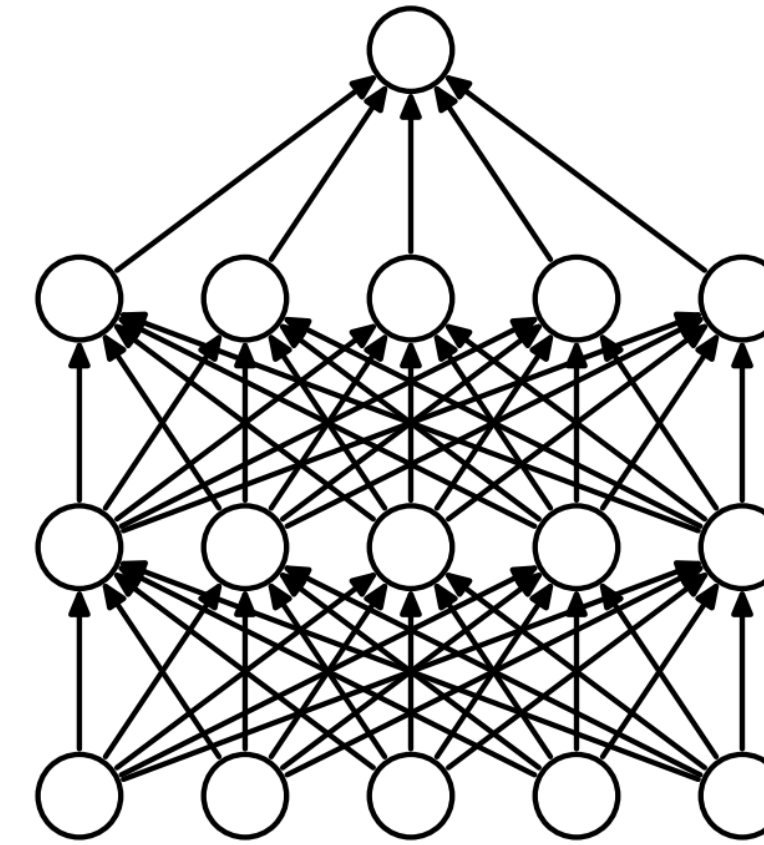


Regularization

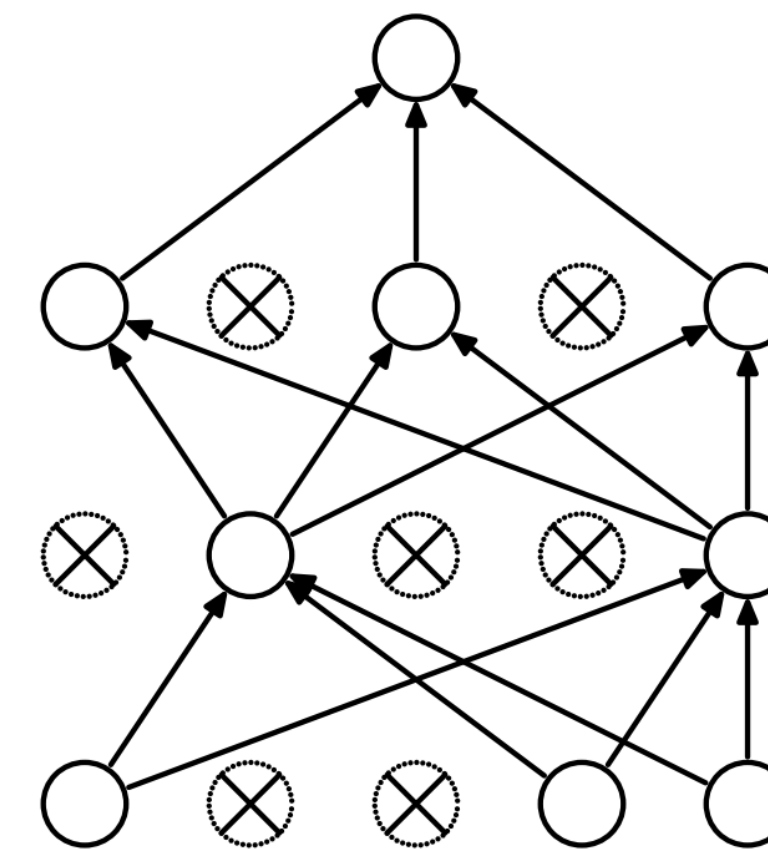
- Weight Decay
- Dropout
- Ensembling

Regularization: Dropout

- ▶ Form of regularization for RNNs (and any NN in general)
- ▶ **Idea:** “Handicap” NN by **removing hidden units stochastically**
- ▶ set each hidden unit in a layer to 0 with probability p during training ($p = 0.5$ usually works well)
- ▶ **scale outputs by $1/(1 - p)$**
- ▶ hidden units forced to learn more general patterns
- ▶ **Test time:** Use all activations (no need to rescale)



(a) Standard Neural Net



(b) After applying dropout.

Dropout and attention improves translation

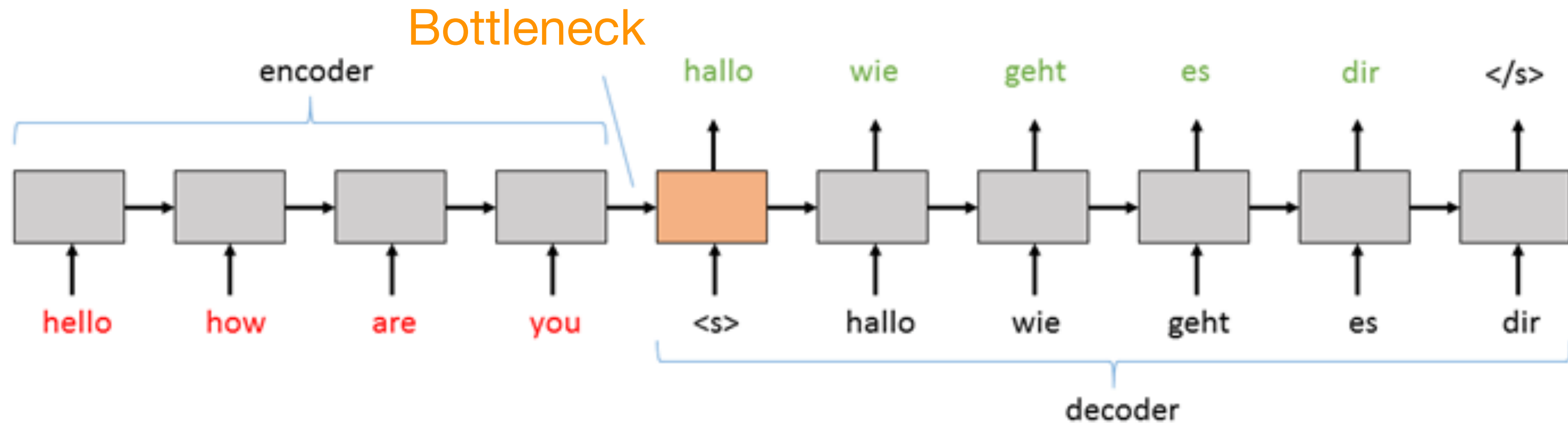
System	Ppl	BLEU
Winning WMT'14 system – <i>phrase-based</i> + <i>large LM</i> (Buck et al., 2014)		20.7
<i>Existing NMT systems</i>		
RNNsearch (Jean et al., 2015)		16.5
RNNsearch + unk replace (Jean et al., 2015)		19.0
RNNsearch + unk replace + large vocab + <i>ensemble</i> 8 models (Jean et al., 2015)		21.6
<i>Our NMT systems</i>		
Base	10.6	11.3
Base + reverse	9.9	12.6 (+1.3)
Base + reverse + dropout	8.1	14.0 (+1.4)
Base + reverse + dropout + global attention (<i>location</i>)	7.3	16.8 (+2.8)
Base + reverse + dropout + global attention (<i>location</i>) + feed input	6.4	18.1 (+1.3)
Base + reverse + dropout + local-p attention (<i>general</i>) + feed input	5.9	19.0 (+0.9)
Base + reverse + dropout + local-p attention (<i>general</i>) + feed input + unk replace		20.9 (+1.9)
<i>Ensemble</i> 8 models + unk replace		23.0 (+2.1)

WMT'14 English to German Results

(Luong et al, 2015)

Sequence to sequence models with attention

Issues with vanilla seq2seq

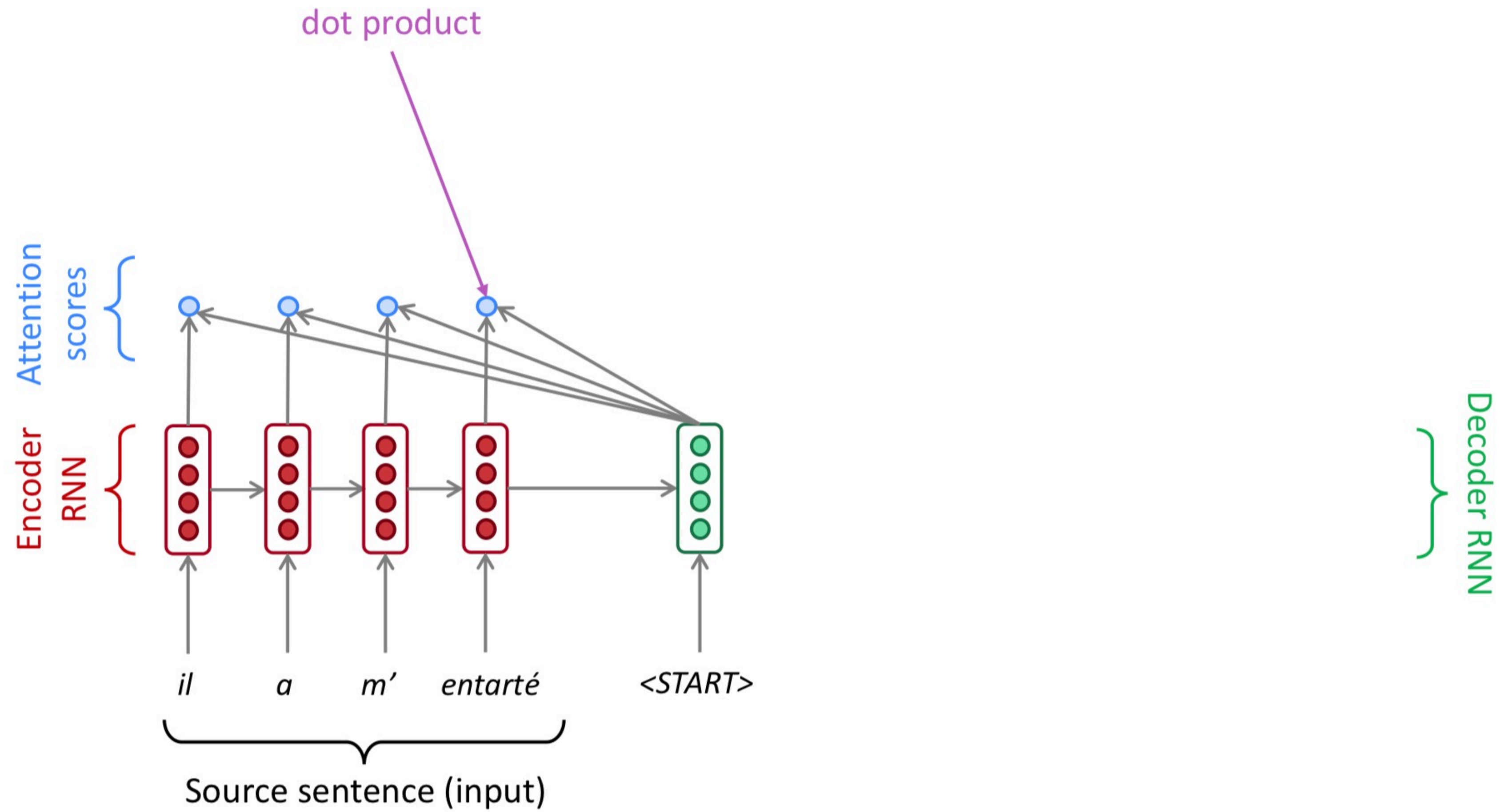


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- ▶ **Longer sequences can lead to vanishing gradients**
- ▶ Overfitting

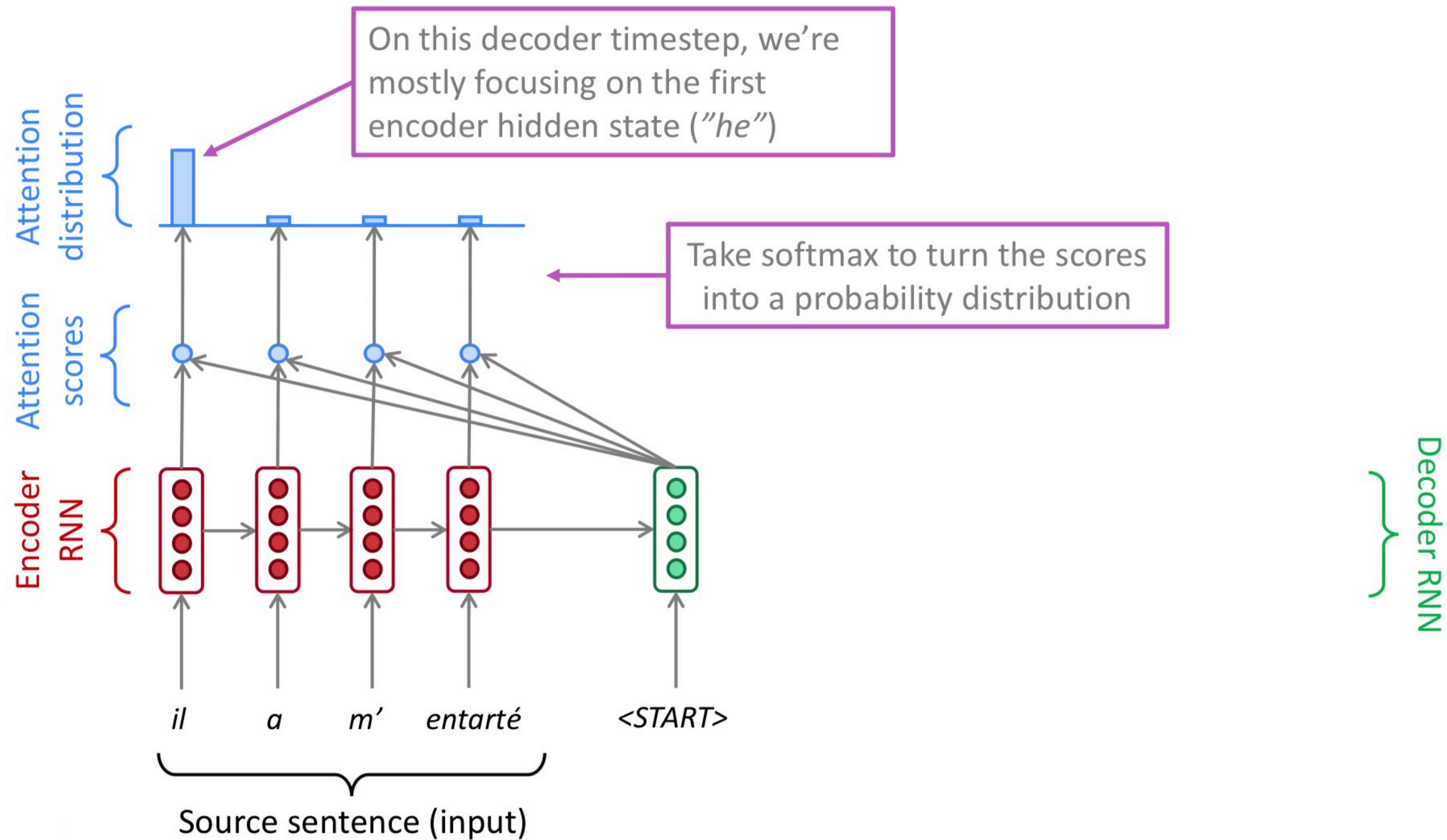
Attention

- ▶ The neural MT equivalent of alignment models
- ▶ **Key idea:** At each time step during decoding, **focus on a particular part** of source sentence
 - ▶ This depends on the **decoder's current hidden state** (i.e. notion of what you are trying to decode)
 - ▶ Usually implemented as a **probability** distribution over the **hidden states of the encoder** (h_i^{enc})

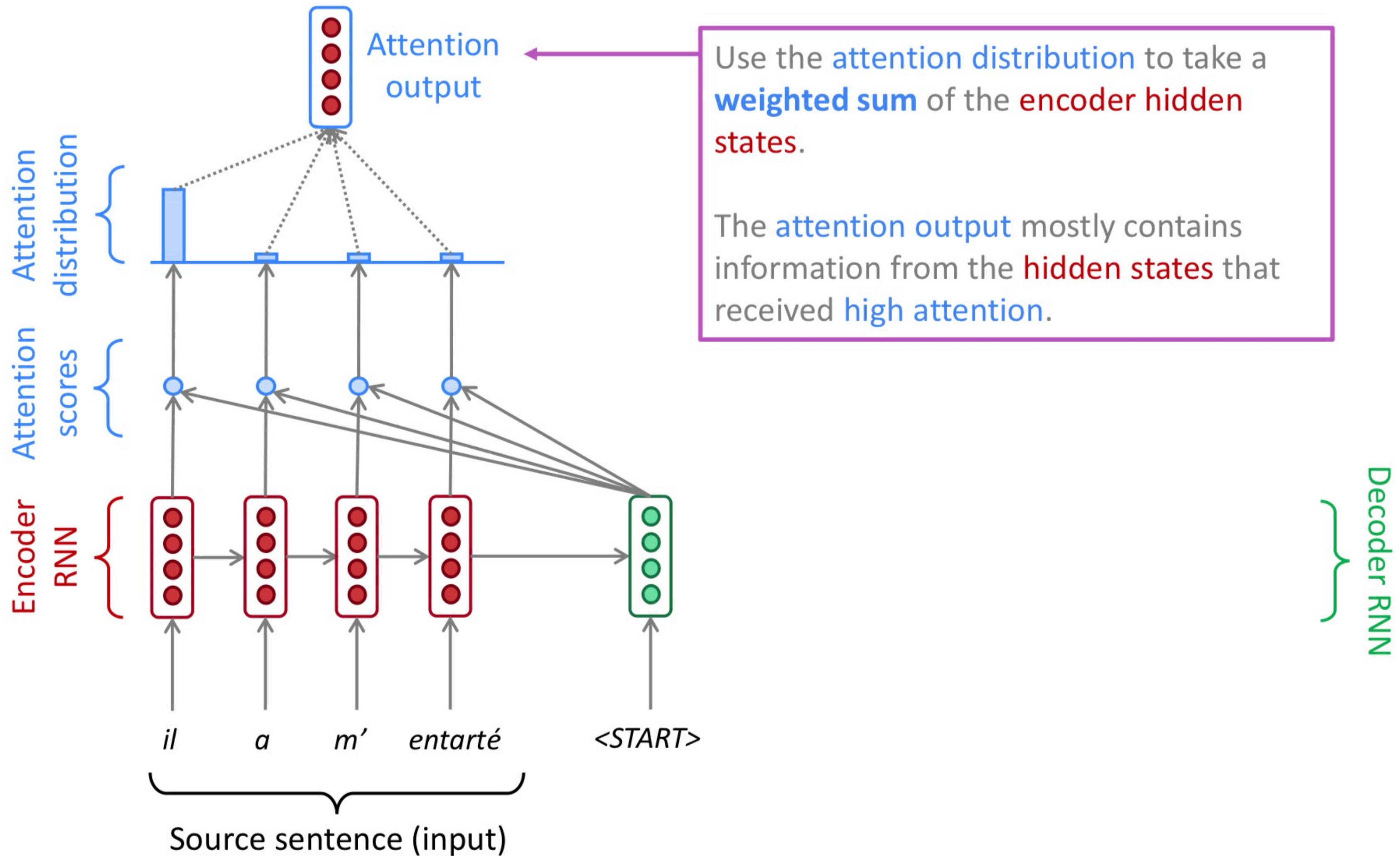
Seq2seq with attention



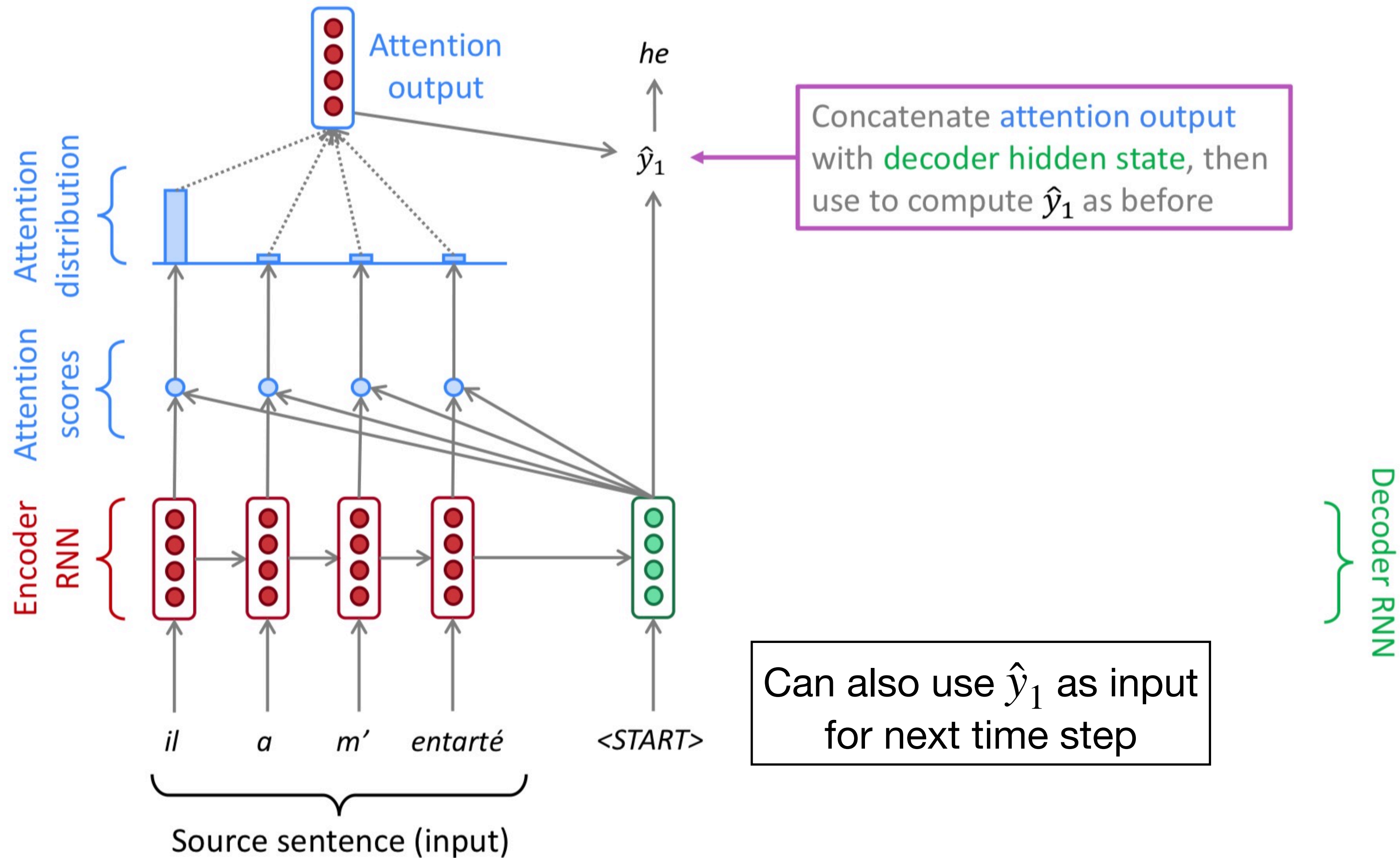
Seq2seq with attention



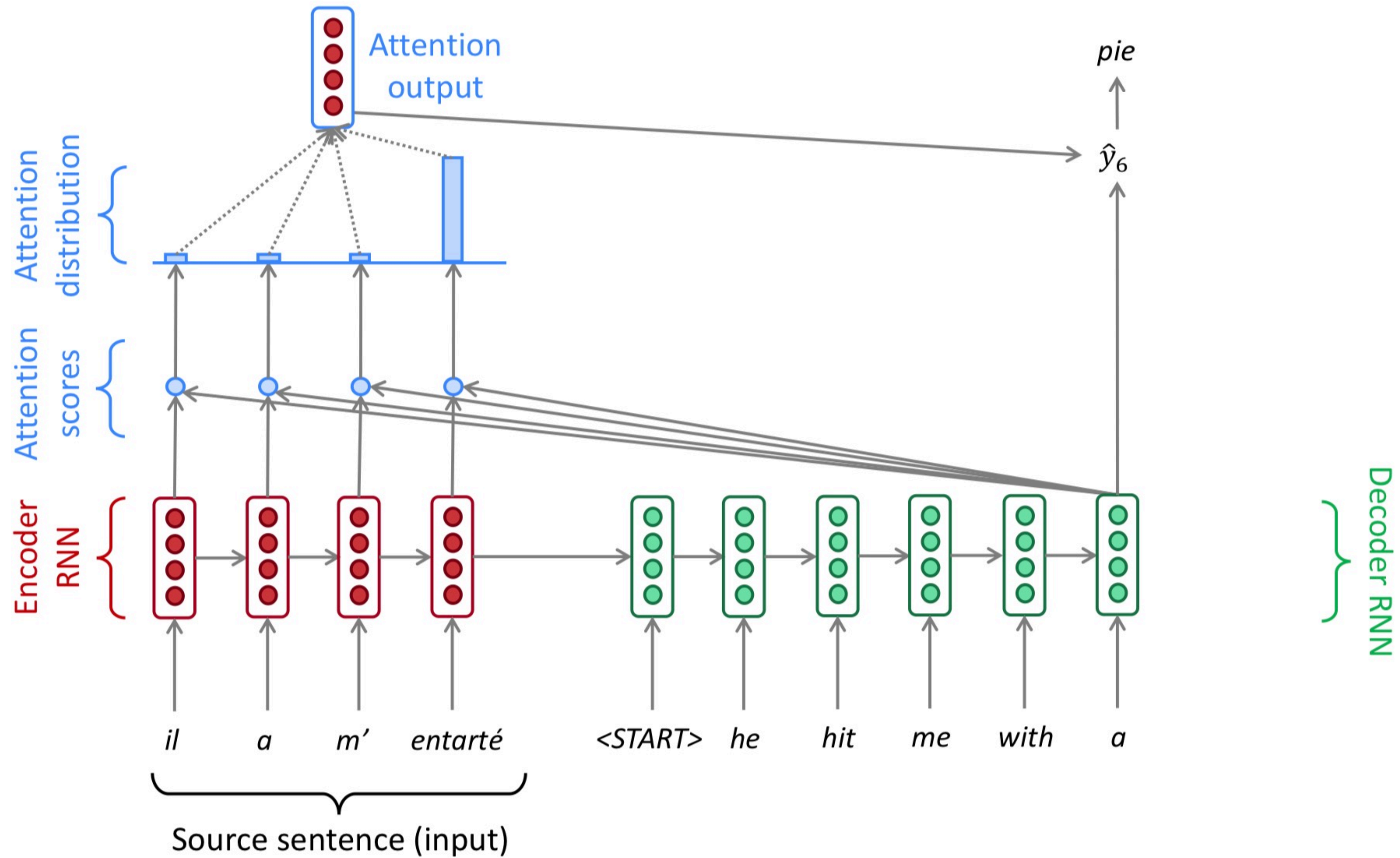
Seq2seq with attention



Seq2seq with attention

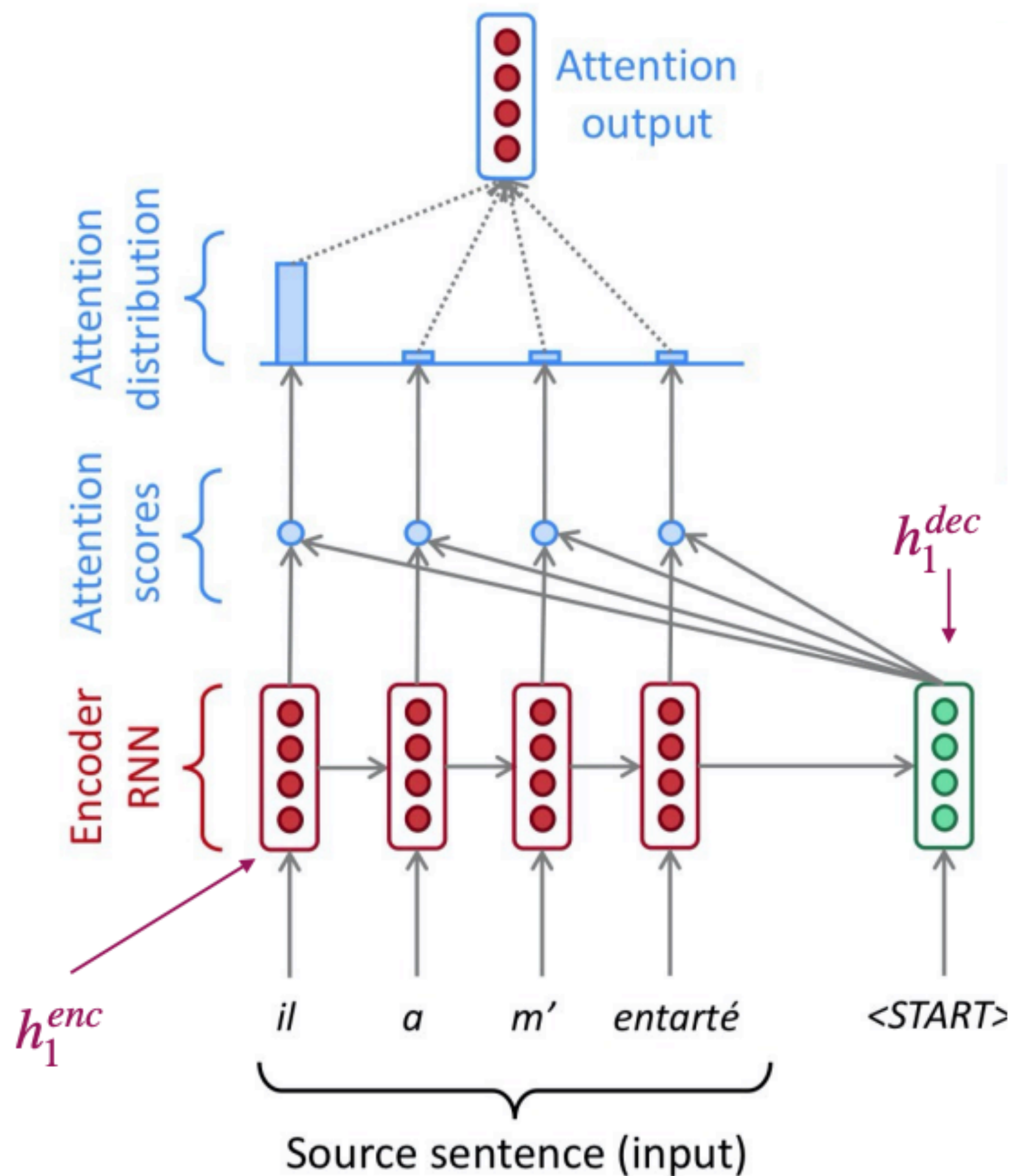


Seq2seq with attention



(slide credit: Abigail See)

Computing attention



- ▶ Encoder hidden states: $h_1^{enc}, \dots, h_n^{enc}$
- ▶ Decoder hidden state at time t : h_t^{dec}
- ▶ First, get attention scores for this time step (we will see what g is soon!):

$$e^t = [g(h_1^{enc}, h_t^{dec}), \dots, g(h_n^{enc}, h_t^{dec})]$$

- ▶ Obtain the attention distribution using softmax:

$$\alpha^t = \text{softmax}(e^t) \in \mathbb{R}^n$$

- ▶ Compute weighted sum of encoder hidden states:

$$a_t = \sum_{i=1}^n \alpha_i^t h_i^{enc} \in \mathbb{R}^h$$

- ▶ Finally, concatenate with decoder state and pass on to output layer:

$$[a_t; h_t^{dec}] \in \mathbb{R}^{2h}$$

Types of attention

- ▶ Assume encoder hidden states h_1, h_2, \dots, h_n and decoder hidden state z

1. **Dot-product attention:**

$$g(h_i, z) = z^T h_i \in \mathbb{R}$$

Simplest (no extra parameters)
requires z and h_i to be same size

2. **Bilinear / multiplicative attention:**

$$g(h_i, z) = z^T W h_i \in \mathbb{R}, \text{ where } W \text{ is a weight matrix}$$

More flexible
than dot-product
(W is trainable)

3. **Additive attention (essentially MLP):**

$$g(h_i, z) = v^T \tanh(W_1 h_i + W_2 z) \in \mathbb{R}$$

where W_1, W_2 are weight matrices and v is a weight vector

Perform better for
larger dimensions

more efficient
(matrix
multiplication)

Attention can be applied to other modalities

Attention on other modalities

- Images

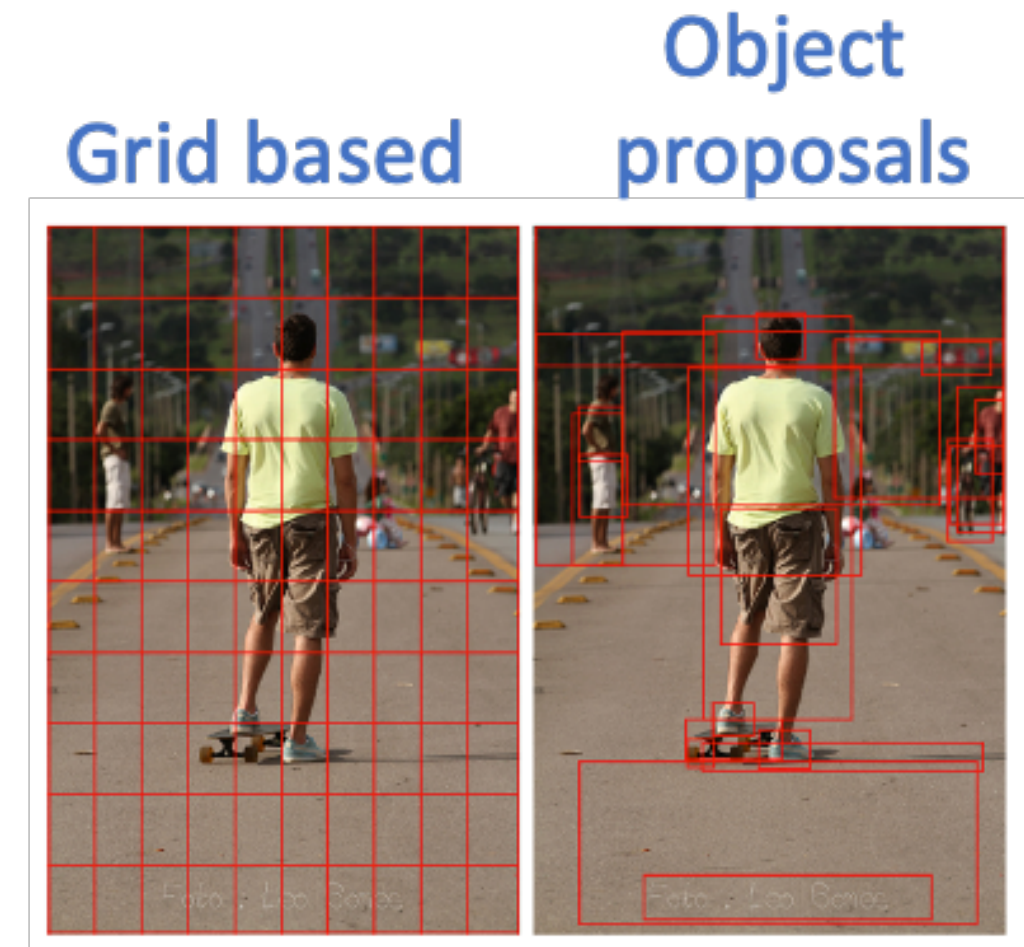
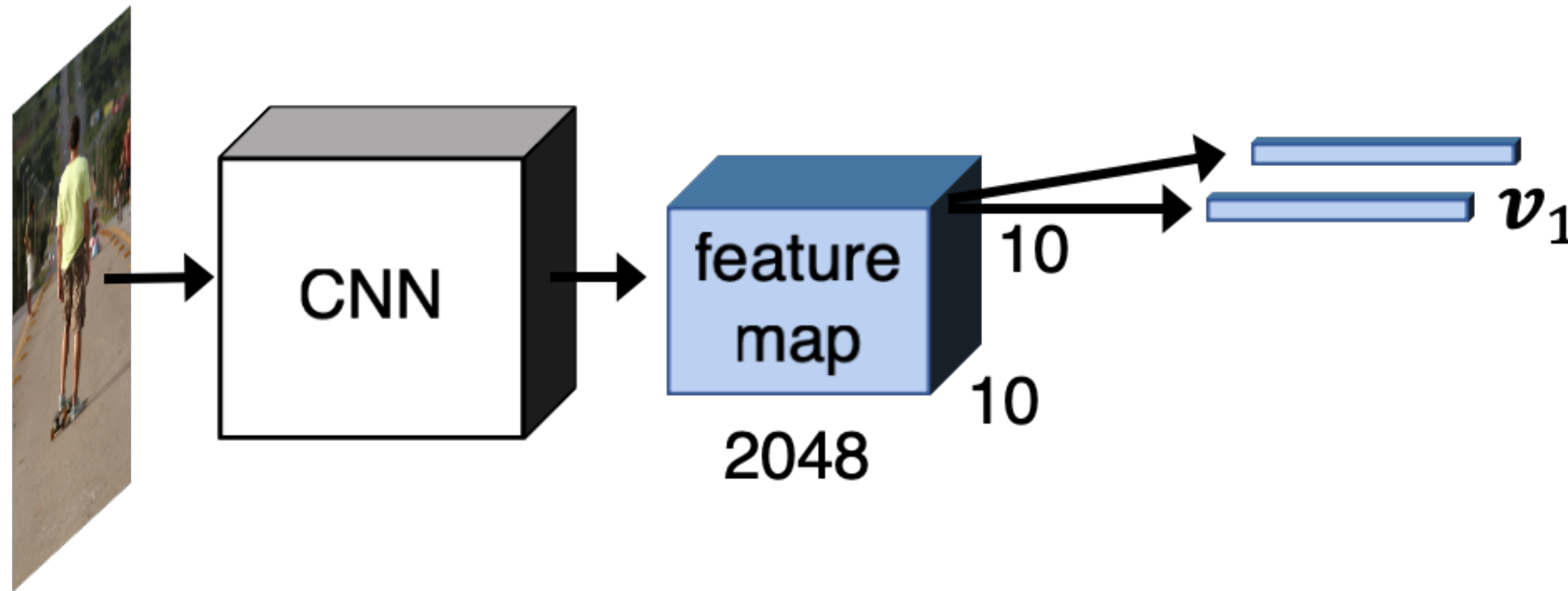
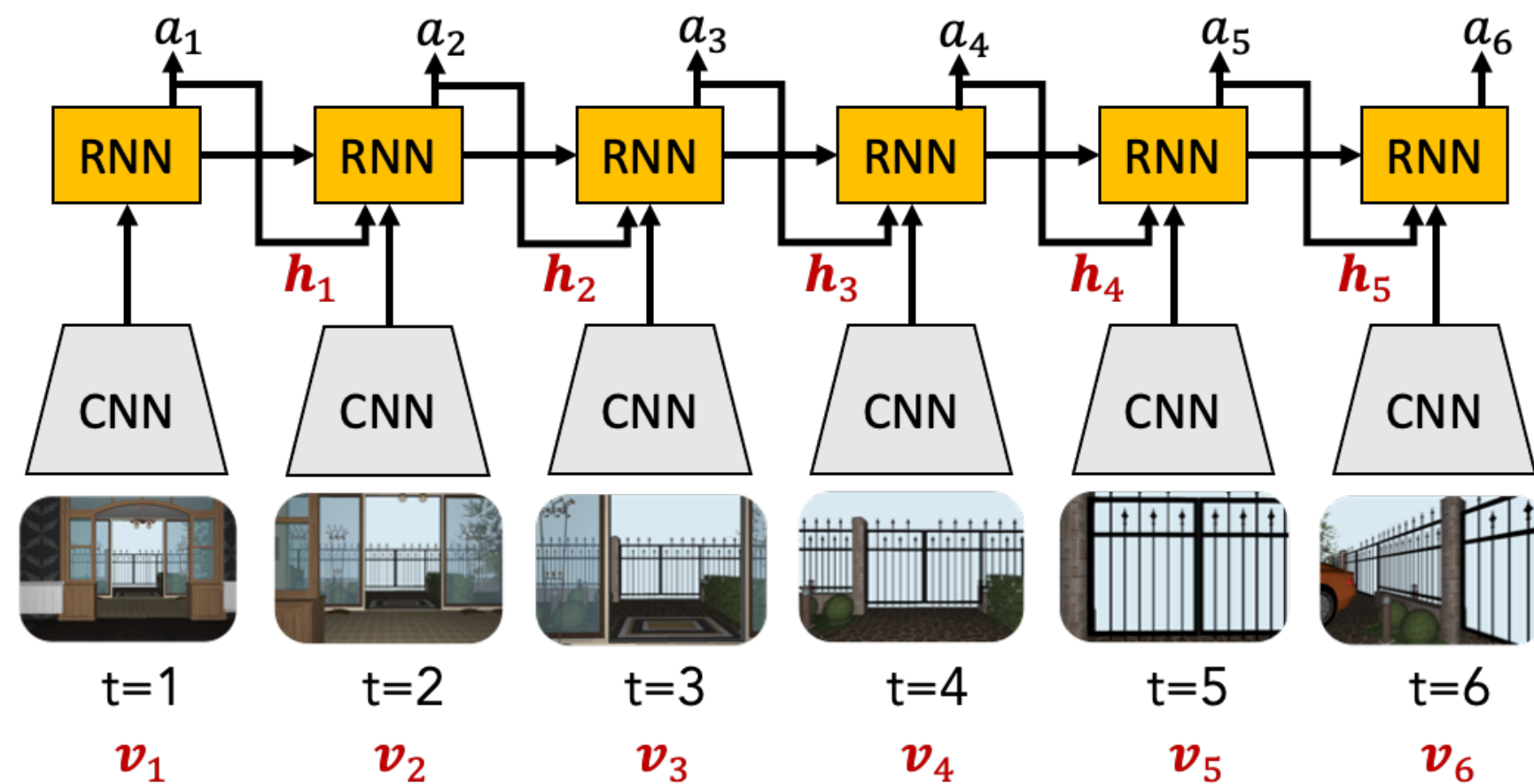


Image Credit: Peter Anderson

- Agent experience

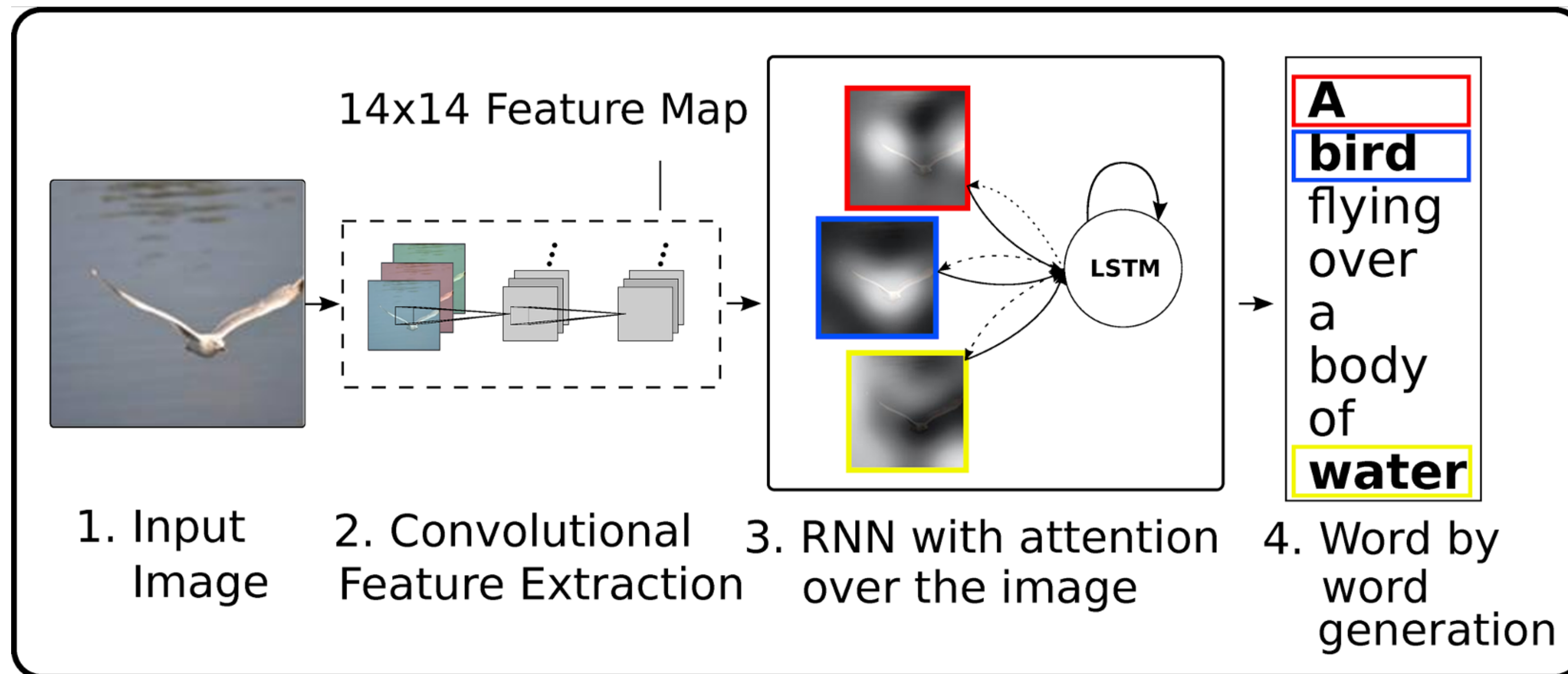


$$C = \{h_1, \dots, h_5\}$$

or

$$C = \{v_1, \dots, v_6\}$$

Image captioning example



Xu et al. ICML 2015

Different types of attention

Soft vs Hard Attention

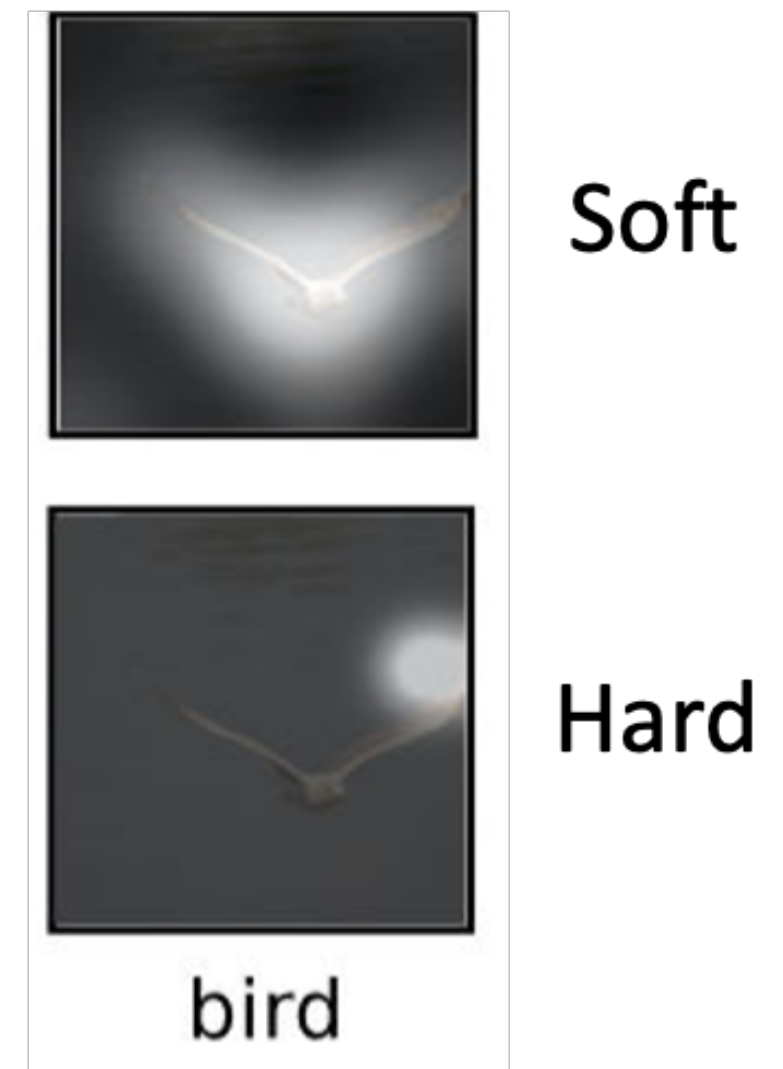
- **Soft:** Each attention candidate is weighted by α_i

$$\hat{v} = \sum_{i=1}^k \alpha_i v_i$$

- Easy to train (smooth and differentiable)
- But can be expensive over large input

- **Hard:** Use α_i as a sample probability to pick *one* attention candidate as input to subsequent layers

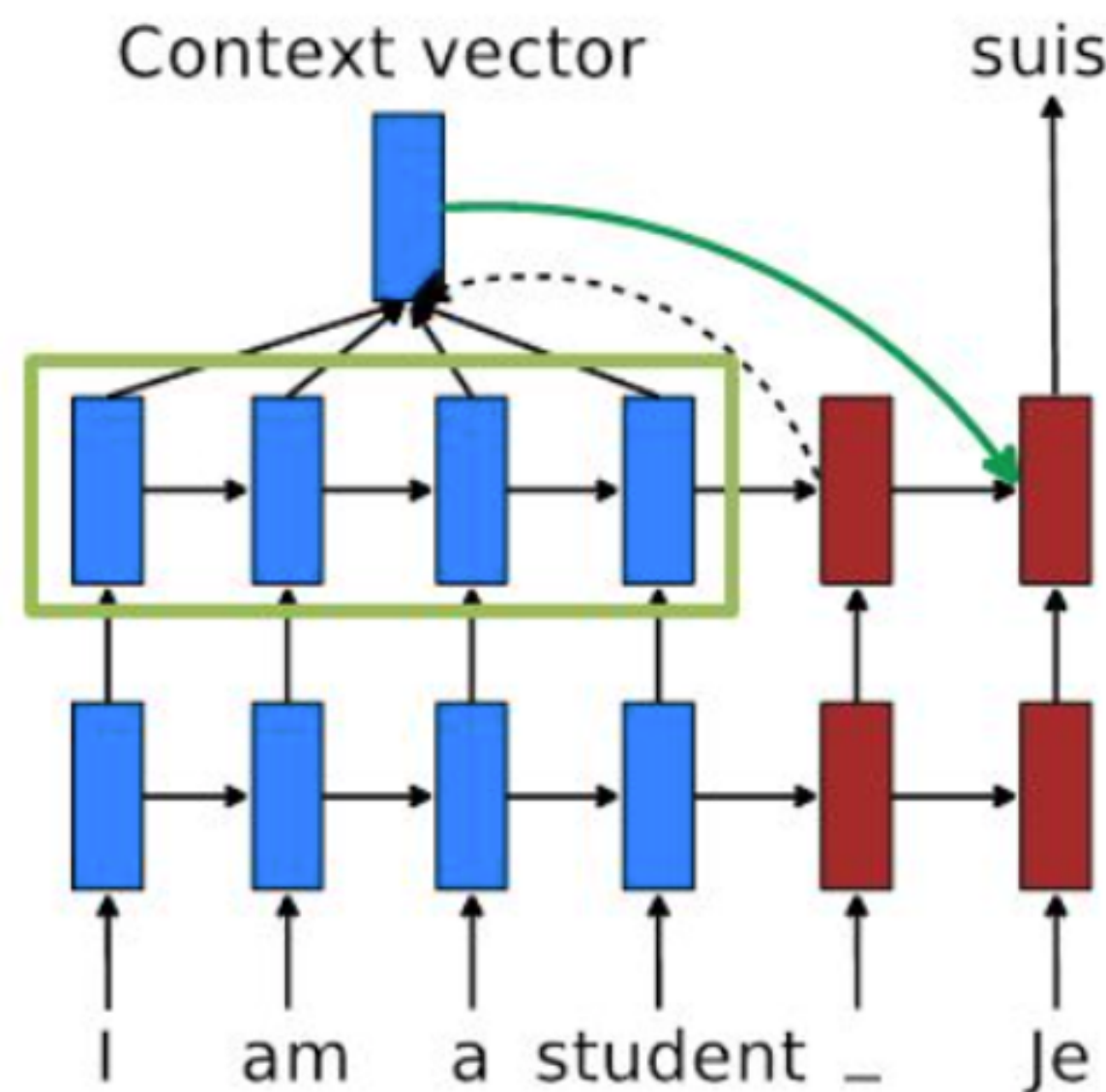
- Trainable with REINFORCE approaches (Xu et al. ICML 2015), or Gumbel-Softmax (Jang et al. ICLR 2017)



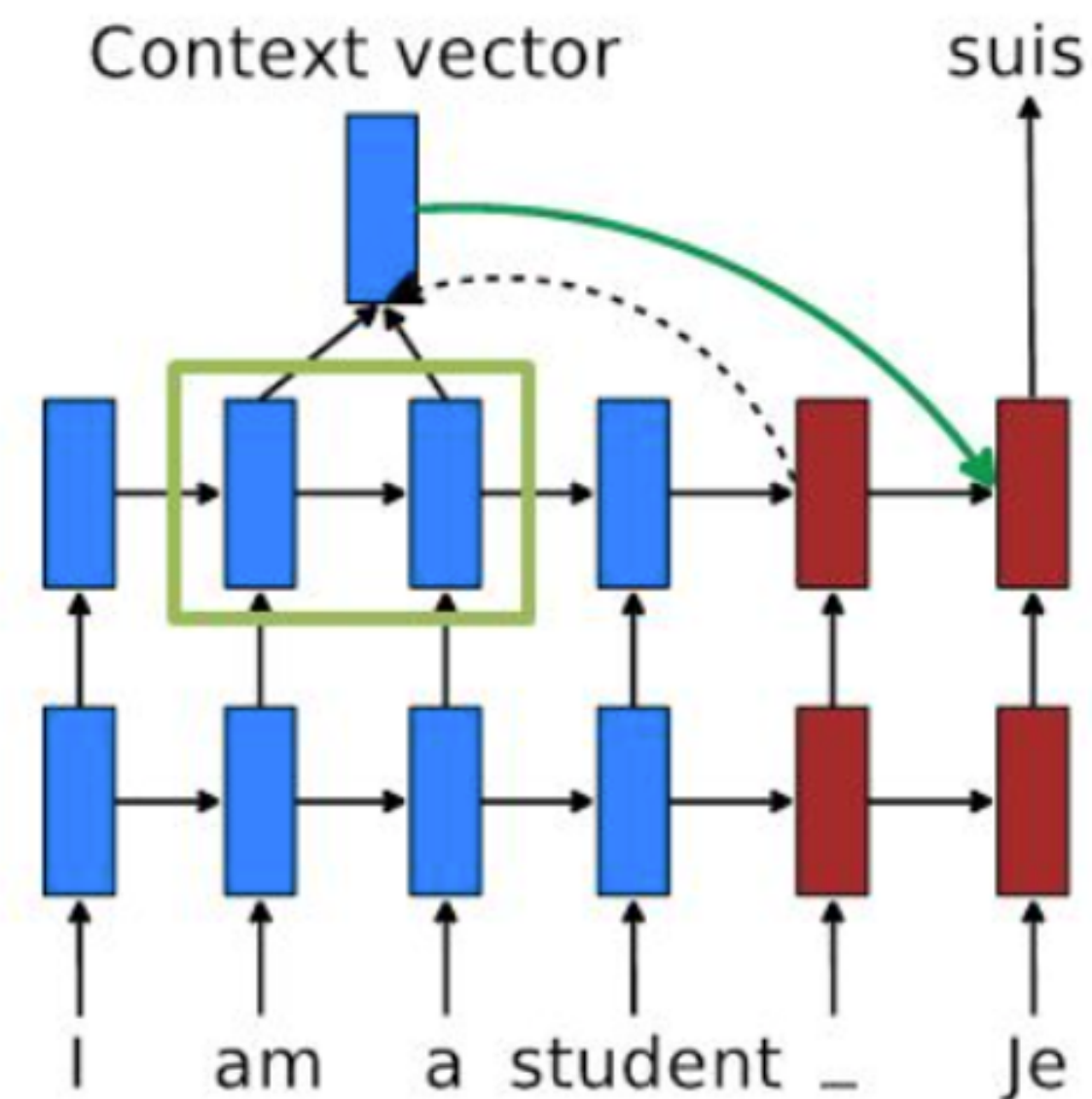
Xu et al. ICML 2015

Global vs Local Attention

- **Global**: attention over the entire input
- **Local**: attention over a window (or subset) of the input



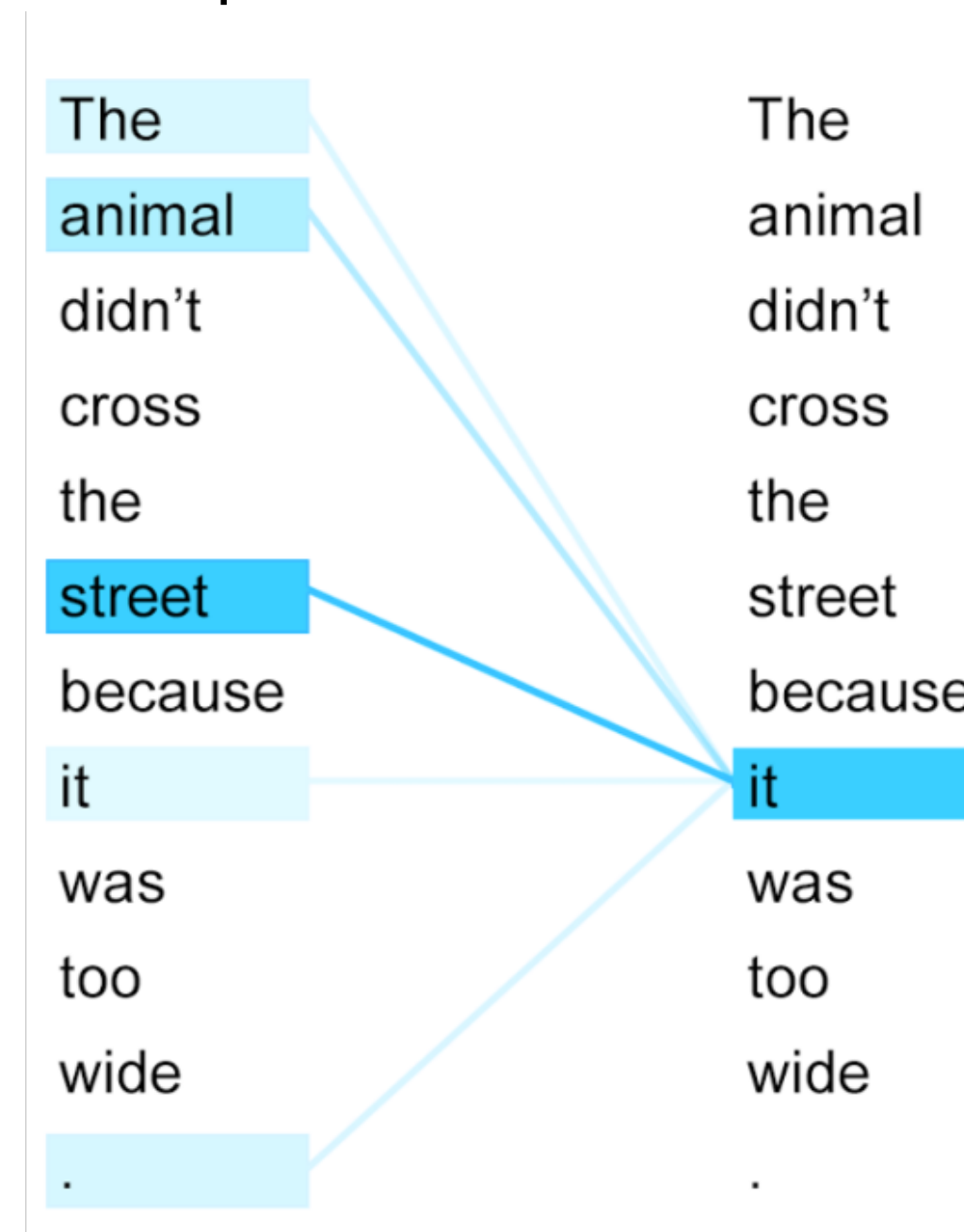
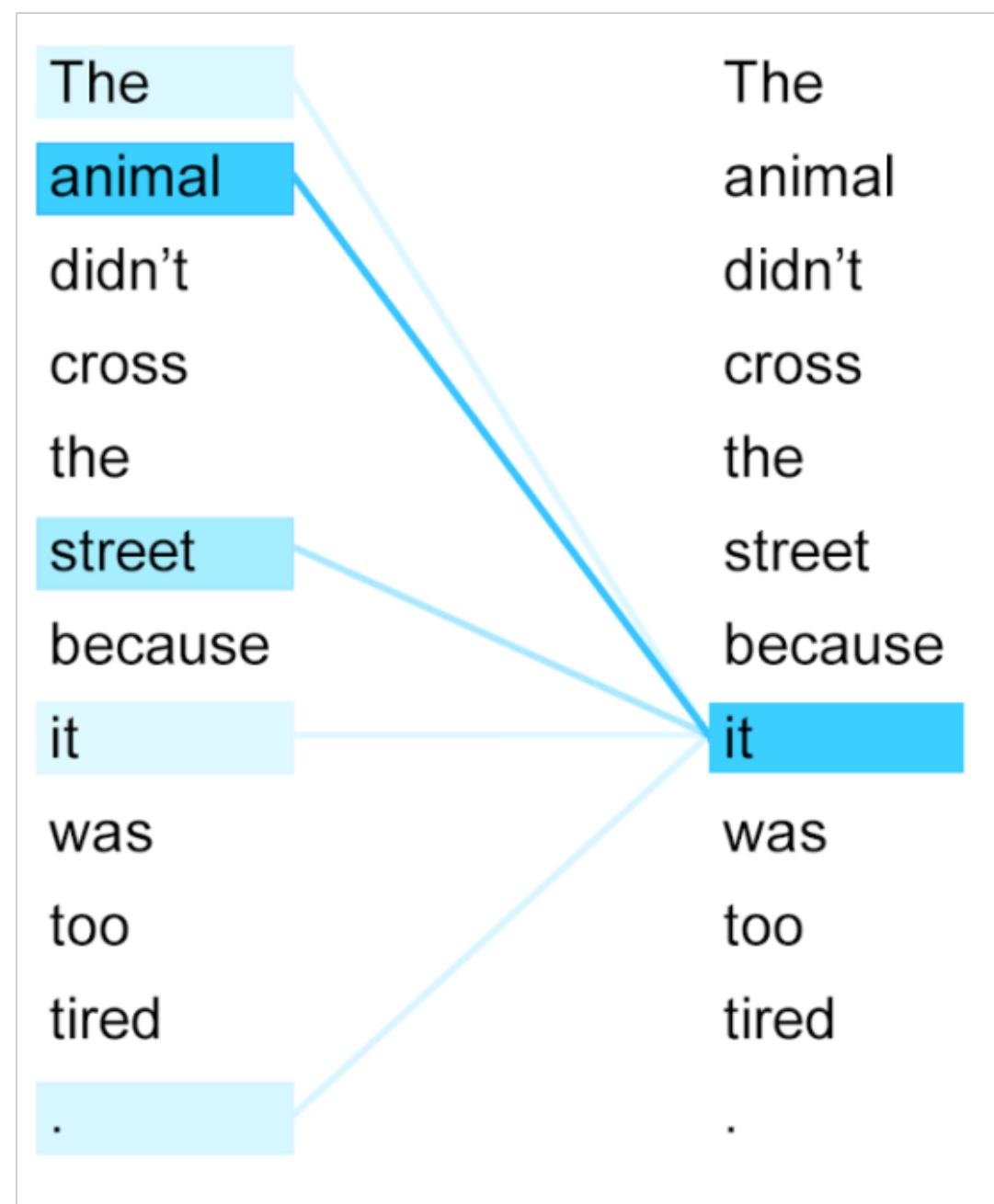
Global: ***all*** source states.



Local: ***subset*** of source states.

Self-Attention

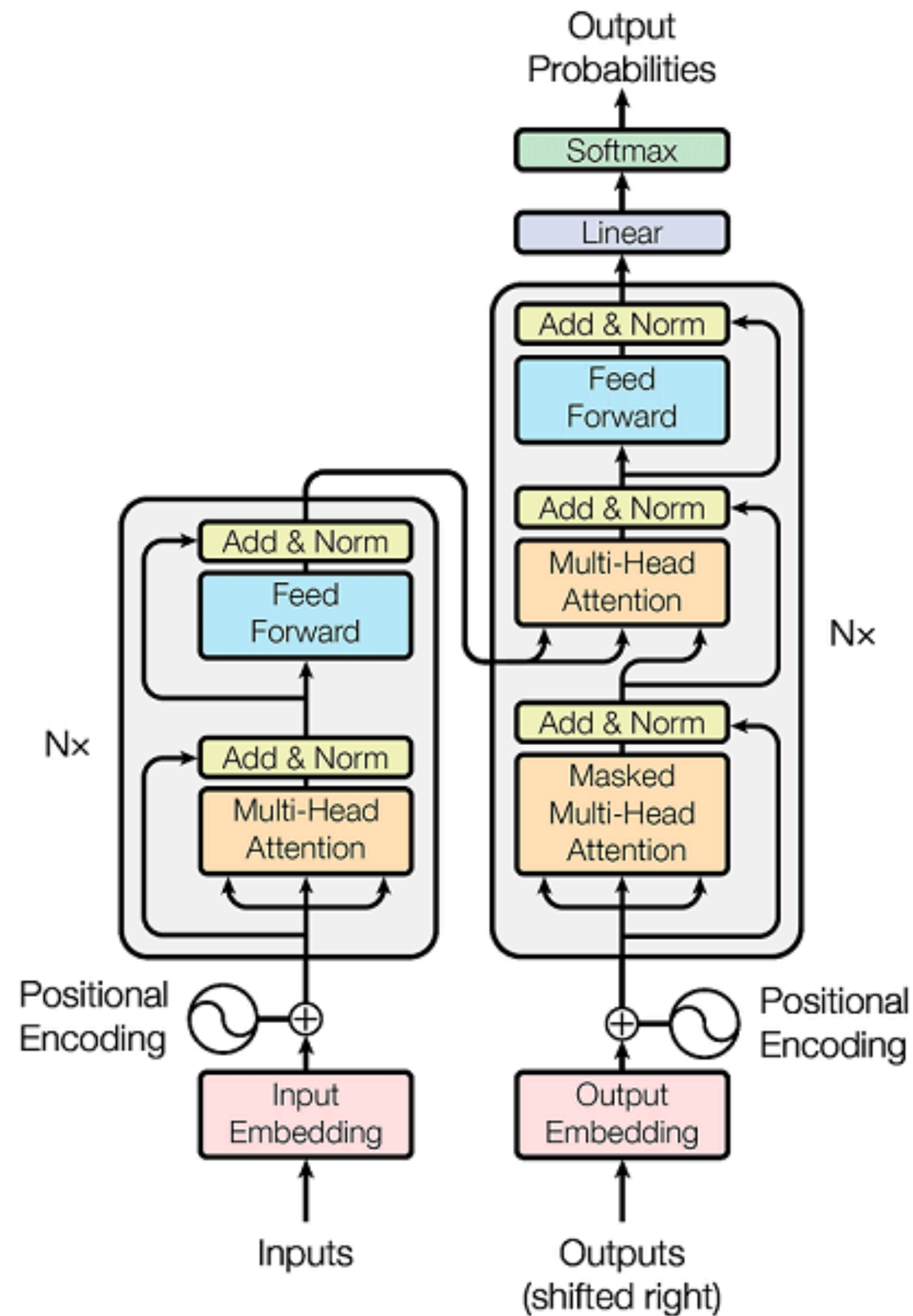
- Attention (correlation) with different parts of itself



<https://ai.googleblog.com/2017/08/transformer-novel-neural-network.html>

- Transformers: modules with scaled dot-product self-attention

Transformers: self-attention



- More recent models (e.g. Transformer, Vaswani et al., 2017) have replaced RNNs entirely with attention mechanisms
- Theoretically limiting (since recurrence can help handle arbitrarily long sequences)
- Huge gains in practical performance

