

CMPT 413/713: Natural Language Processing

# Contextualized Word Embeddings

Spring 2025 2025-02-10

Adapted from slides from Danqi Chen and Karthik Narasimhan (with some content from slides from Chris Manning and Abigail See)

### Overview

### Contextualized Word Representations

• ELMo = Embeddings from Language **Mo**dels



#### Deep contextualized word representations

https://arxiv.org → cs ▼

by ME Peters - 2018 - Cited by 1683 - Related articles

**Deep contextualized word representations**. ... Our **word** vectors are learned functions of the internal states of a **deep** bidirectional language model (biLM), which is pre-trained on a large text corpus.

• BERT = Bidirectional Encoder Representations from Transformers



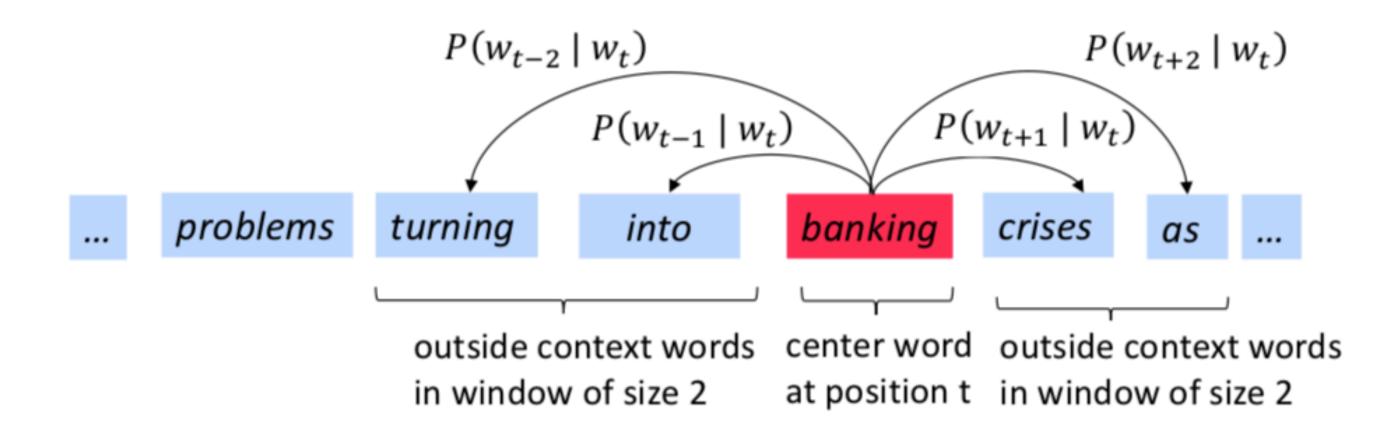
### BERT: Pre-training of Deep Bidirectional Transformers for ...

https://arxiv.org → cs ▼

by J Devlin - 2018 - Cited by 2259 - Related articles

Oct 11, 2018 - Unlike recent language representation models, **BERT** is designed to pre-train deep ... As a result, the pre-trained **BERT** model can be fine-tuned with just one additional output ... Which authors of this **paper** are endorsers?

## Recap: word2vec



	Word	Cosine	distance
	norway		0.760124
	denmark		0.715460
word = "sweden"	finland		0.620022
	switzerland		0.588132
	belgium		0.585835
	netherlands		0.574631
	iceland		0.562368
	estonia		0.547621
	slovenia		0.531408

## What's wrong with word2vec?

• One vector for each word type

$$v(\text{bank}) = \begin{pmatrix} -0.224 \\ 0.130 \\ -0.290 \\ 0.276 \end{pmatrix}$$

- Complex characteristics of word use: semantics, syntactic behavior, and connotations
- Polysemous words, e.g., bank, mouse

mouse<sup>1</sup>: .... a mouse controlling a computer system in 1968.

mouse<sup>2</sup>: .... a quiet animal like a mouse

bank<sup>1</sup>: ...a bank can hold the investments in a custodial account ...

bank<sup>2</sup>: ...as agriculture burgeons on the east bank, the river ...





# Sense embeddings

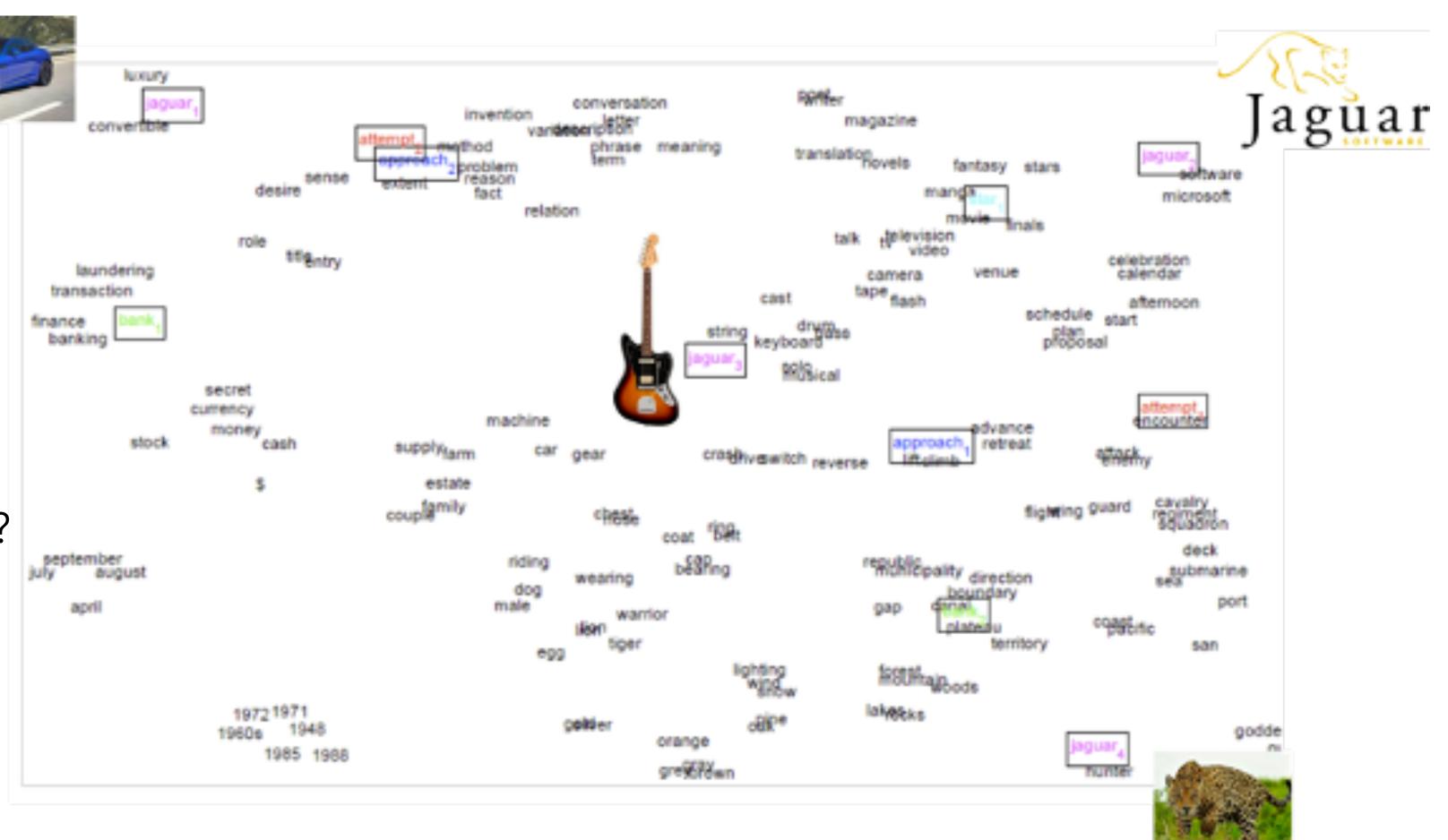
Multiple embeddings for each word

One embedding per sense

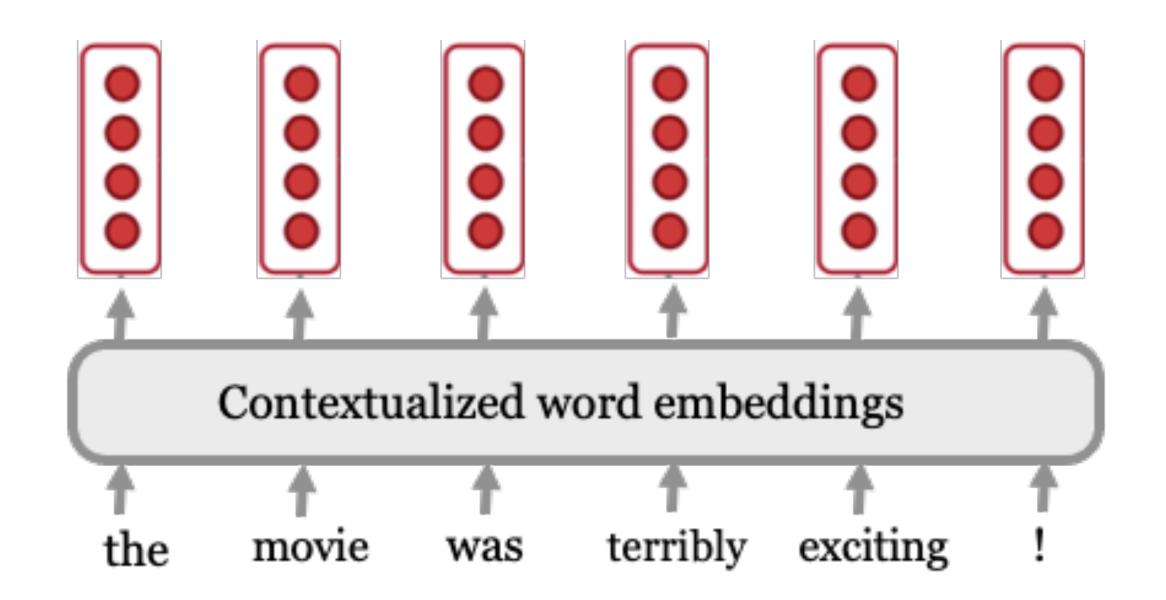
### But

• How many senses should there be?

 Is there always a clear distinction between senses?



Let's build a vector for each word conditioned on its context!

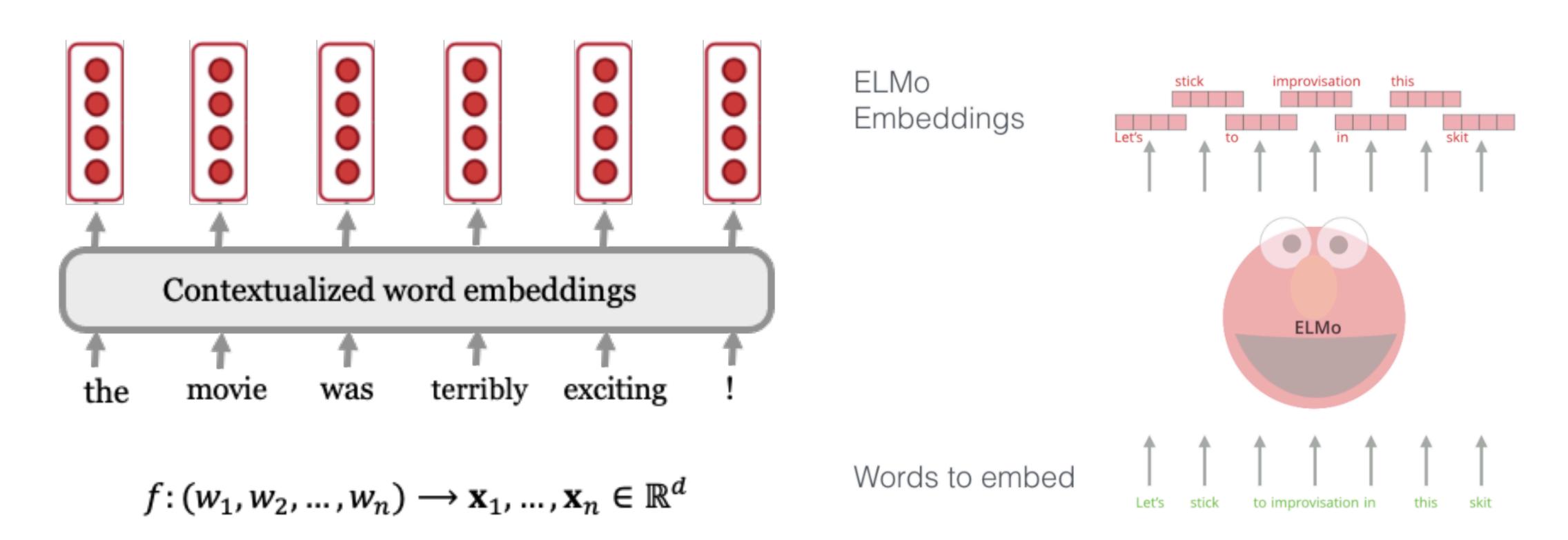


$$f:(w_1,w_2,\ldots,w_n)\to\mathbf{x}_1,\ldots,\mathbf{x}_n\in\mathbb{R}^d$$

Note: this is different from sentence embeddings where we get one embedding for the entire sentence.

$$g:(w_1,w_2,\ldots,w_n)\longrightarrow s\in\mathbb{R}^d$$

Let's build a vector for each word conditioned on its context!



Example sentences with the word play:

- 1. Chico Ruiz made a spectacular play on Alusik's grounder {...}
  - 2. Olivia De Havilland signed to do a Broadway play for Garson {...}
- 3. Kieffer was commended for his ability to hit in the clutch, as well as his all-round excellent play {...}
  - 4. {...} they were actors who had been handed fat roles in a successful play {...}
  - 5. Concepts play an important role in all aspects of cognition {...}

Want v(play), the vector corresponding to the word play to be different for each of the sentences, with similar senses having similar vectors.

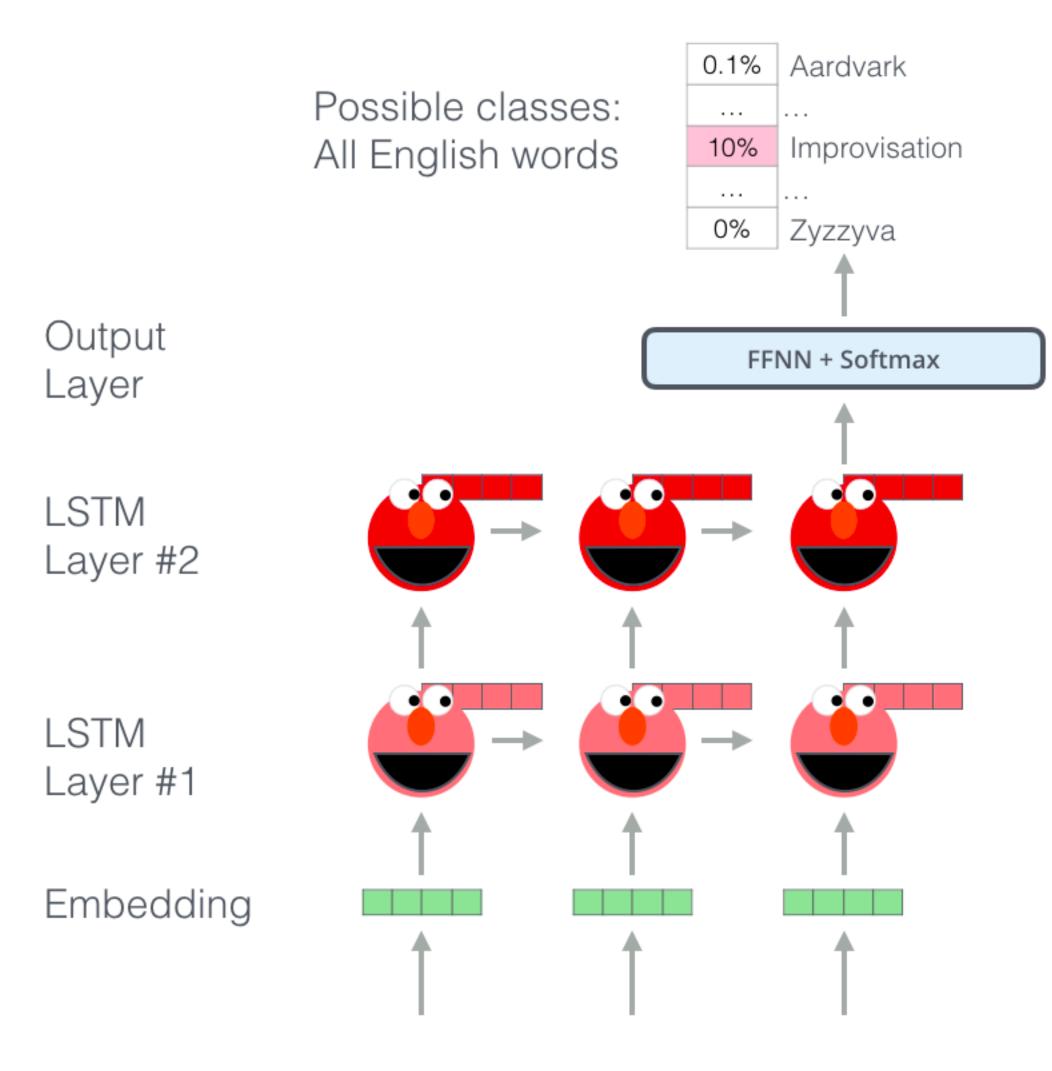
Which of the sentences (2-5) would should have an embedding most similar to sentence 1?

		Source	Nearest Neighbors	
	GloVe	play	playing, game, games, played, players, plays, player, Play, football, multiplayer	
		Chico Ruiz made a spec-	Kieffer, the only junior in the group, was commended	
		tacular play on Alusik 's	for his ability to hit in the clutch, as well as his all-round	11.00
	LIT M	grounder {}	excellent play.	different
	biLM	Olivia De Havilland	{} they were actors who had been handed fat roles in	senses
(from	ELMo)	signed to do a Broadway	a successful play, and had talent enough to fill the roles	
		$\underline{play}$ for Garson $\{\dots\}$	competently, with nice understatement.	

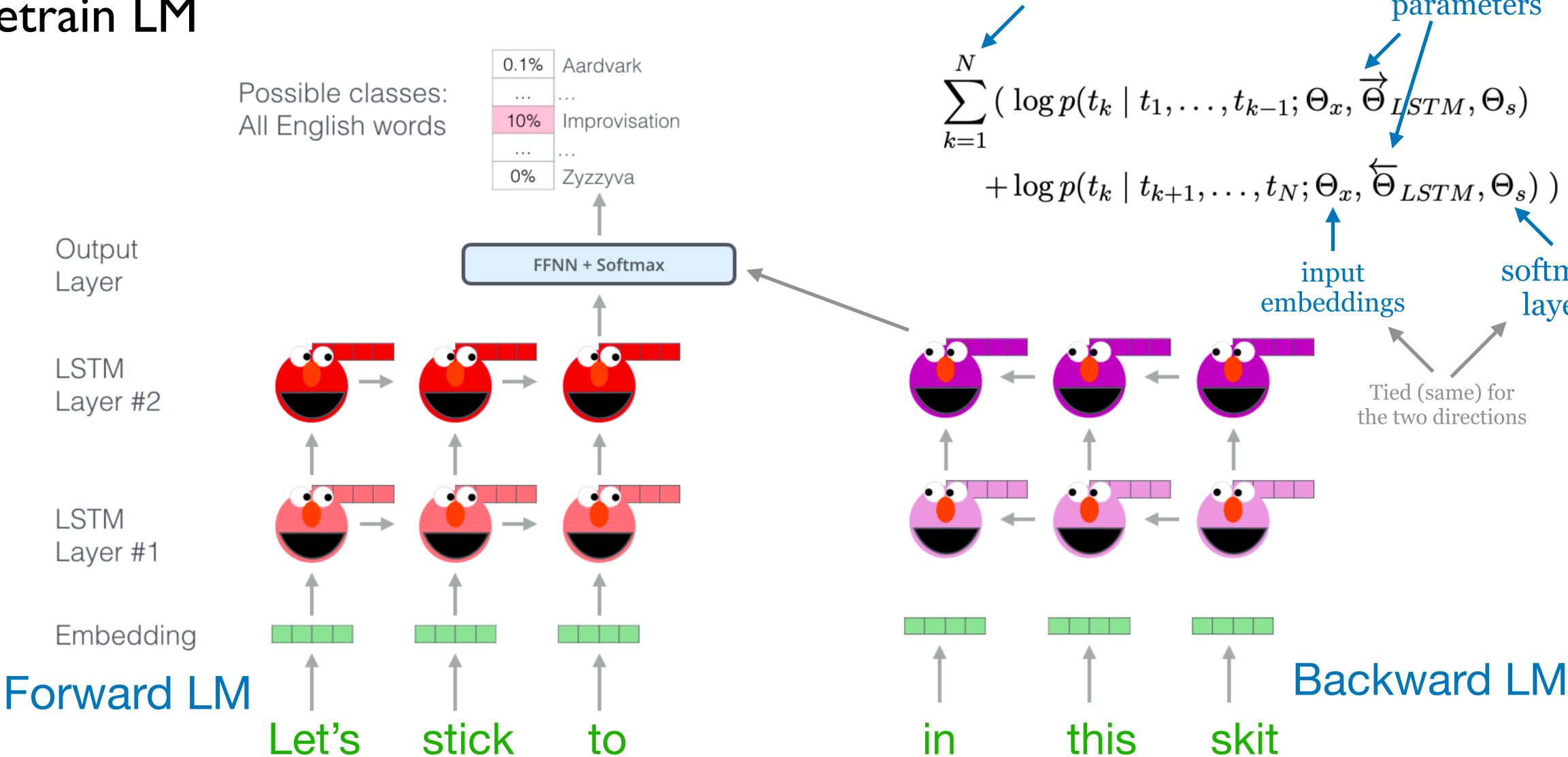
### ELMo

- NAACL'18: Deep contextualized word representations
- Key idea:
  - Train two stacked LSTM-based language model on some large corpus
  - Use the hidden states of the LSTM for each token to compute a vector representation of each word





### Pretrain LM



(figure credit: Jay Alammar http://jalammar.github.io/illustrated-bert/) ELMo

# tokens in the

sentence

LSTM

Tied (same) for

the two directions

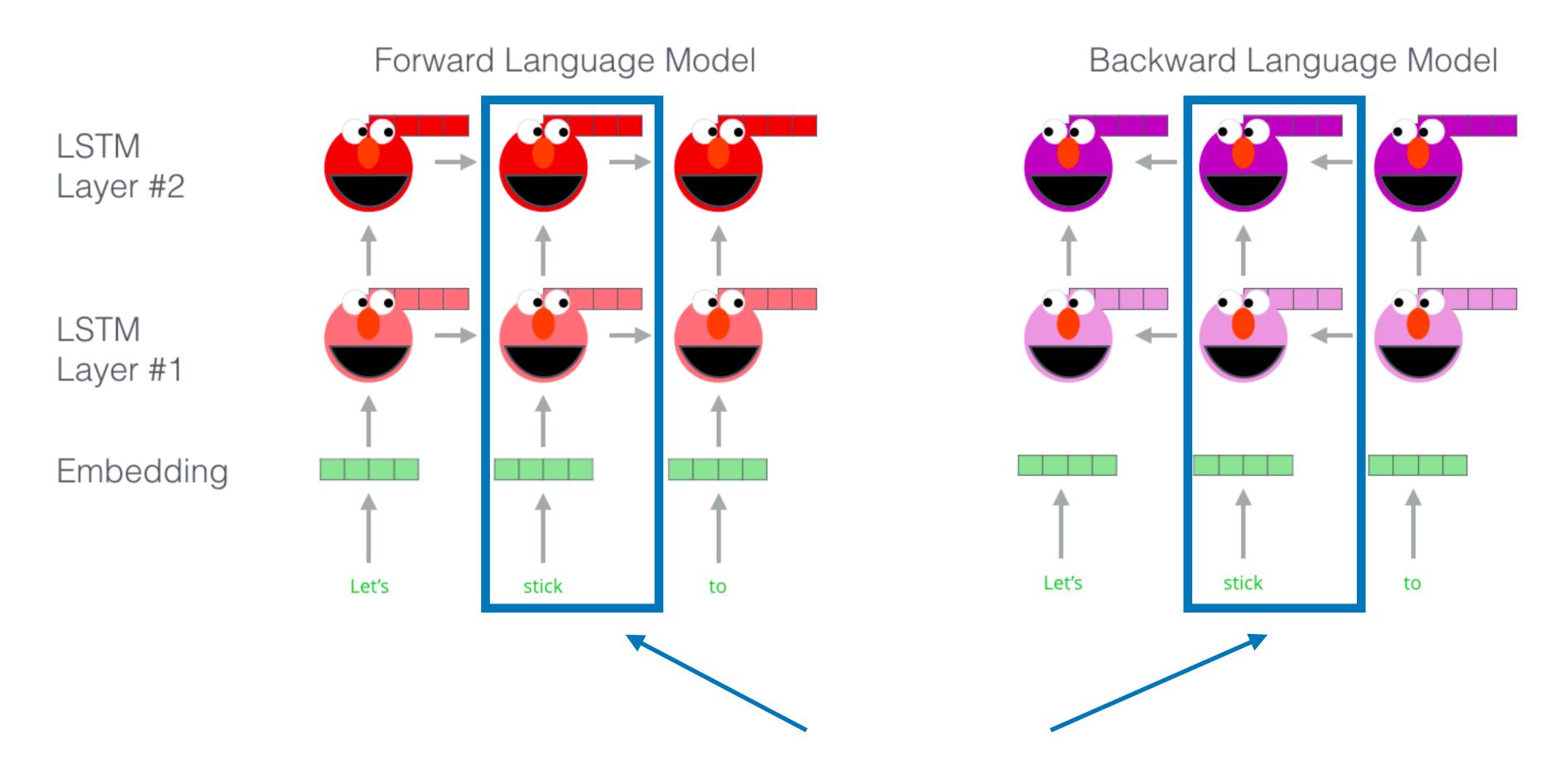
parameters

softmax

layer

## ELMo

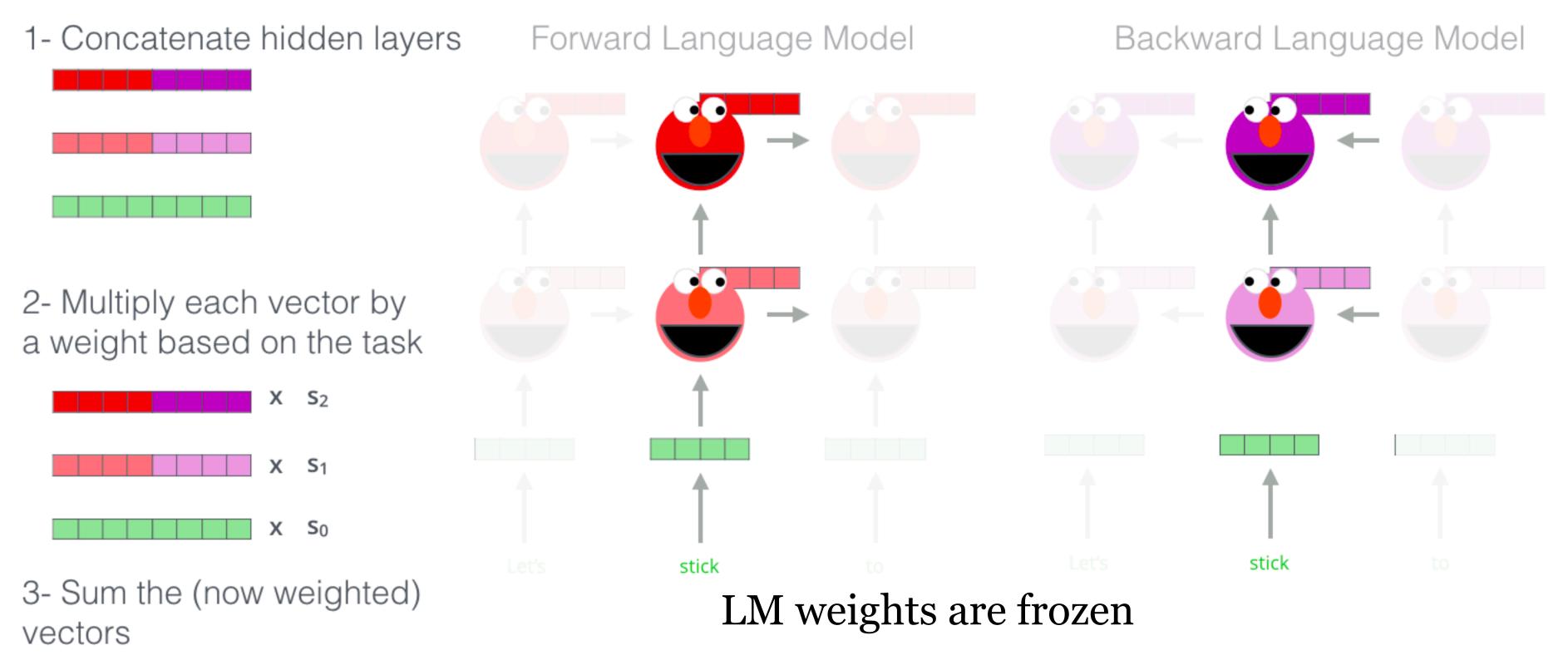
## After training LM



To get the ELMO embedding of a word ("stick"):

Concatenate forward and backward embeddings and take weighted sum of layers

### ELMo



(figure credit: <u>Jay Alammar</u> http://jalammar.github.io/illustrated-bert/)

ELMo embedding of "stick" for this task in this context

Weights  $s_i$  are trained on specific task.

To get the ELMO embedding of a word ("stick"):

Concatenate forward and backward embeddings and take weighted sum of layers

# Summary: How to get ELMo embedding?

Input embeddings Hidden state 
$$R_k = \{\mathbf{x}_k^{LM}, \overrightarrow{\mathbf{h}}_{k,j}^{LM}, \overleftarrow{\mathbf{h}}_{k,j}^{LM} \mid j = 1, \dots, L\} \longleftarrow \text{L is \# of layers}$$
$$= \{\mathbf{h}_{k,j}^{LM} \mid j = 0, \dots, L\},$$

Token representation 
$$\rightarrow \mathbf{h}_{k,0}^{LM} = \mathbf{x}_k^{LM}, \mathbf{h}_{k,j}^{LM} = [\overrightarrow{\mathbf{h}}_{k,j}^{LM}; \overleftarrow{\mathbf{h}}_{k,j}^{LM}] \leftarrow \text{hidden states}$$

$$\mathbf{ELMo}_k^{task} = E(R_k; \Theta^{task}) = \gamma^{task} \sum_{j=0}^{L} s_j^{task} \mathbf{h}_{k,j}^{LM}$$

parameters

- Task specific learnable  $\gamma^{task}$ : allows the task model to scale the entire ELMo vector
  - $s_i^{task}$ : softmax-normalized weights across layers
  - To use: plug ELMo into any (neural) NLP model: freeze all the LMs weights and change the input representation to:

$$[\mathbf{x}_k; \mathbf{ELMo}_k^{task}]$$

(could also insert into higher layers)

### More details

- Forward and backward LMs: 2 layers each
- Use character CNN to build initial word representation
  - 2048 char n-gram filters and 2 highway layers, 512 dim projection
- User 4096 dim hidden/cell LSTM states with 512 dim projections to next input
- A residual connection from the first to second layer
- Trained 10 epochs on 1B Word Benchmark

## ELMo: pre-training and use

Data: 10 epoches on 1B Word Benchmark (trained on single sentences)

Pre-training time: 2 weeks on 3 NVIDIA GTX 1080 GPUs

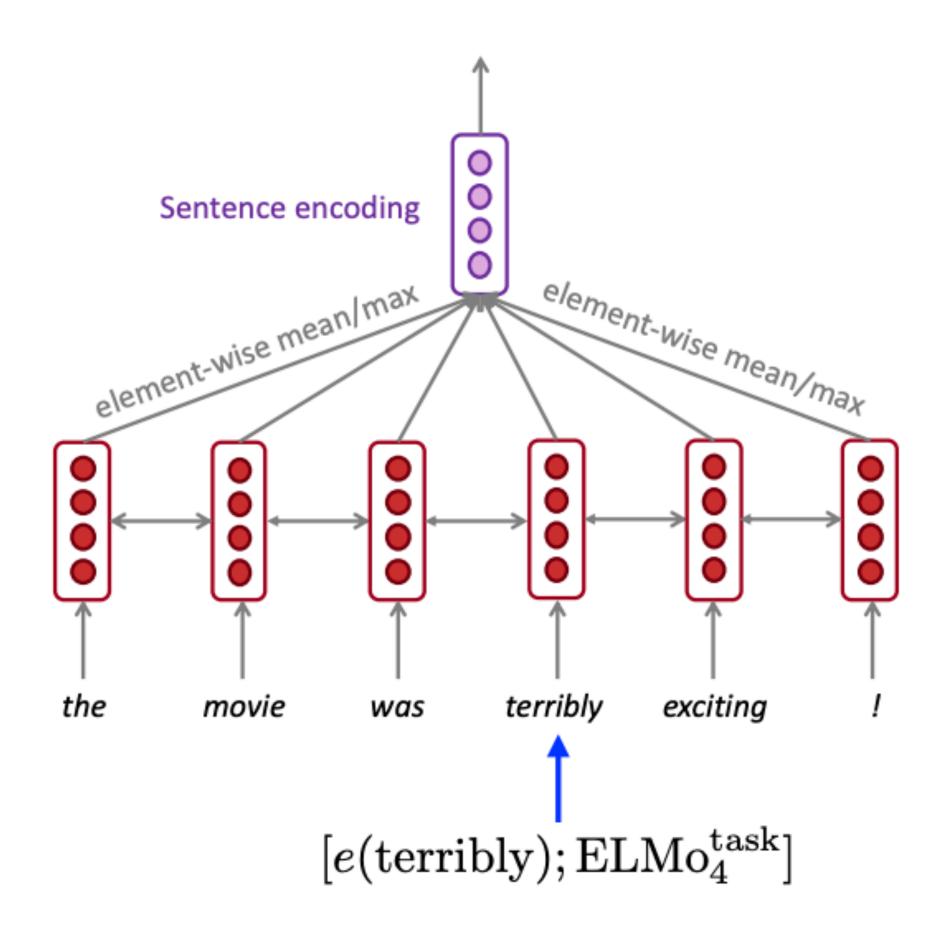
- Much lower time cost if we used V100s / Google's TPUs but still hundreds of dollars in compute cost to train once
- Larger BERT models trained on more data costs \$10k+

How to apply ELMo in practice?

- Take the embeddings and feed them into any neural models just like word2vec  $f:(w_1,w_2,\ldots,w_n)\to \mathbf{x}_1,\ldots,\mathbf{x}_n\in\mathbb{R}^d$
- The LM's hidden states are fixed and not updated during the downstream use (only the scaling and softmax weights are learned)
- Common practice: concatenate word2vec/GloVe with ELMo

# ELMo: pre-training and use

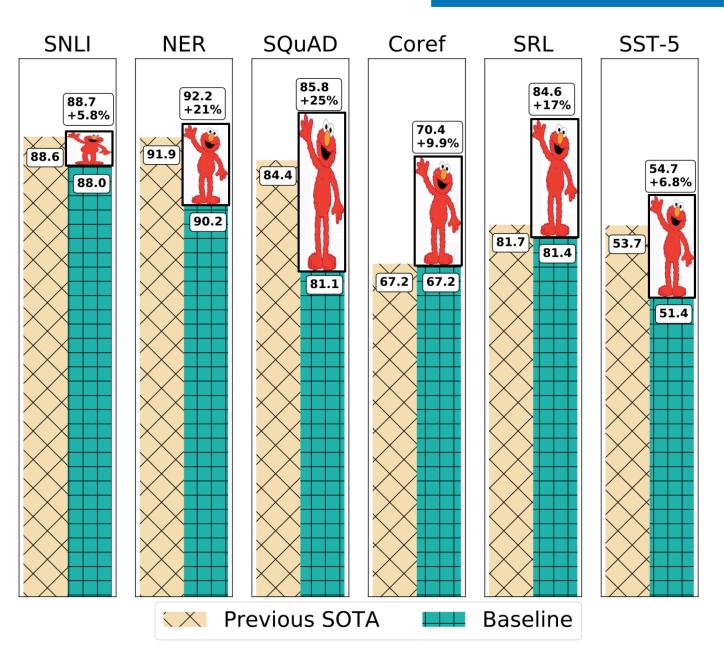
Example: A BiLSTM model for sentiment classification



# Experimental results

TASK	PREVIOUS SOTA		OUR BASELIN	ELMO + NE BASELINE	INCREASE (ABSOLUTE/ RELATIVE)
SQuAD	Liu et al. (2017)	84.4	81.1	85.8	4.7 / 24.9%
SNLI	Chen et al. (2017)	88.6	88.0	$88.7 \pm 0.17$	0.7 / 5.8%
SRL	He et al. (2017)	81.7	81.4	84.6	3.2 / 17.2%
Coref	Lee et al. (2017)	67.2	67.2	70.4	3.2 / 9.8%
NER	Peters et al. (2017)	$91.93 \pm 0.19$	90.15	$92.22 \pm 0.10$	2.06 / 21%
SST-5	McCann et al. (2017)	53.7	51.4	$54.7 \pm 0.5$	3.3 / 6.8%

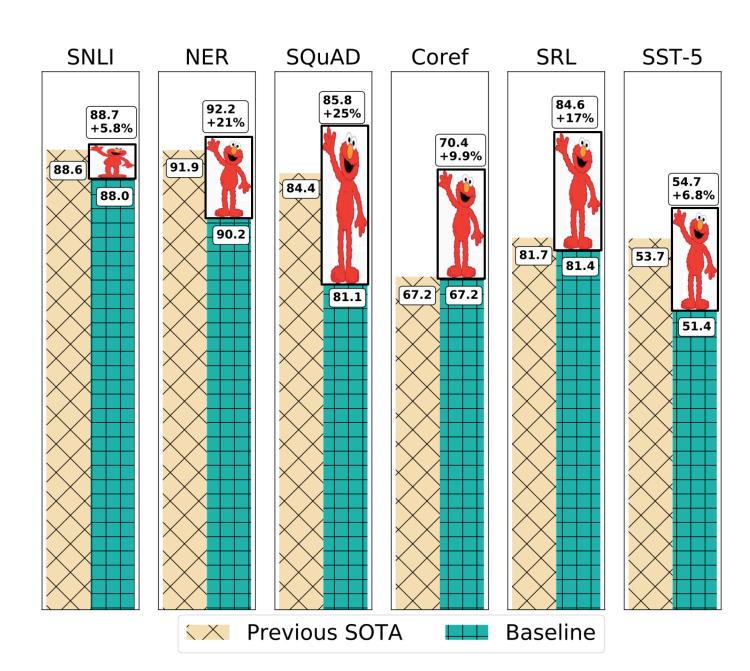
- SQuAD: question answering
- SNLI: natural language inference
- SRL: semantic role labeling
- Coref: coreference resolution
- NER: named entity recognition
- SST-5: sentiment analysis



## Experimental results

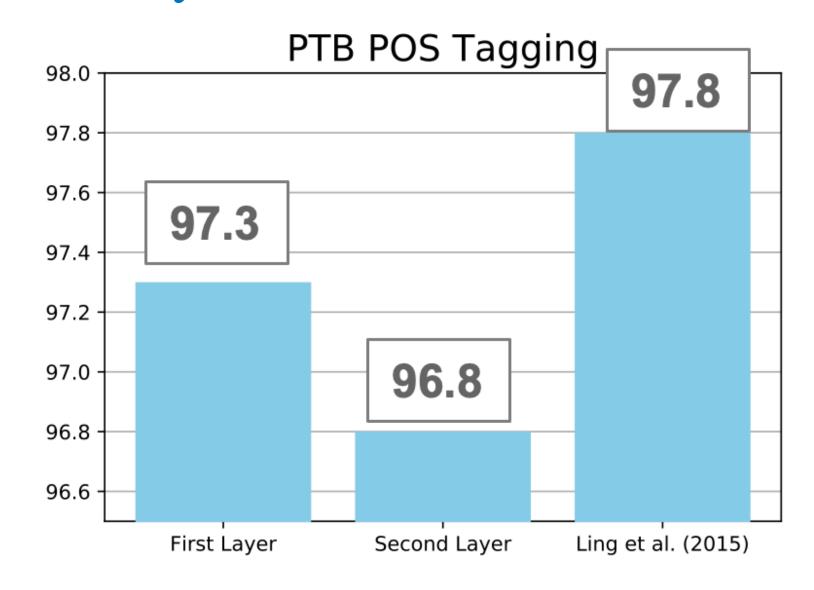
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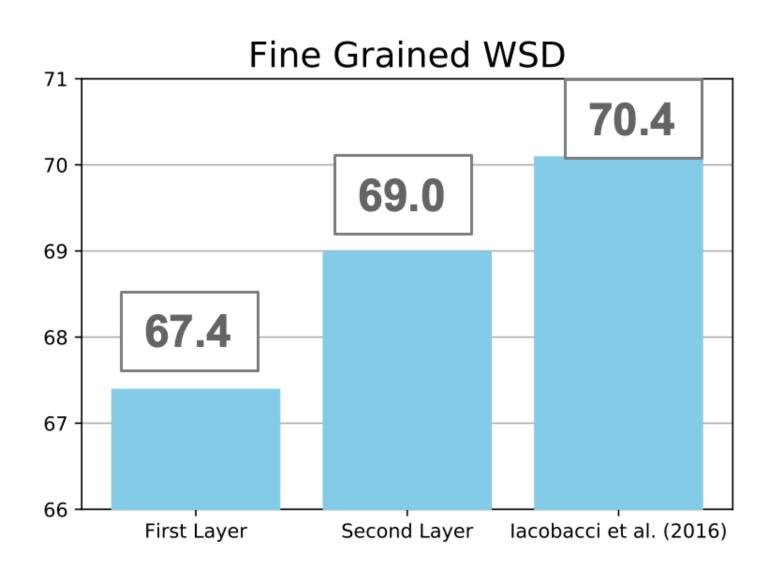
### Intrinsic Evaluation

### syntactic information



First Layer > Second Layer

### semantic information



Second Layer > First Layer

syntactic information is better represented at lower layers while semantic information is captured at higher layers

# Use ELMo in practice

### https://allennlp.org/elmo

### Pre-trained ELMo Models

Model	Link(Weights/Options File)		# Parameters (Millions)	LSTM Hidden Size/Output size	# Highway Layers>
Small	weights	options	13.6	1024/128	1
Medium	weights	options	28.0	2048/256	1
Original	weights	options	93.6	4096/512	2
Original (5.5B)	weights	options	93.6	4096/512	2

```
from allennlp.modules.elmo import Elmo, batch_to_ids

options_file = "https://allennlp.s3.amazonaws.com/models/elmo/2x409
weight_file = "https://allennlp.s3.amazonaws.com/models/elmo/2x4096]

# Compute two different representation for each token.
# Each representation is a linear weighted combination for the
# 3 layers in ELMo (i.e., charcnn, the outputs of the two BiLSTM))
elmo = Elmo(options_file, weight_file, 2, dropout=0)

# use batch_to_ids to convert sentences to character ids
sentences = [['First', 'sentence', '.'], ['Another', '.']]
character_ids = batch_to_ids(sentences)

embeddings = elmo(character_ids)
```

#### Also available in TensorFlow

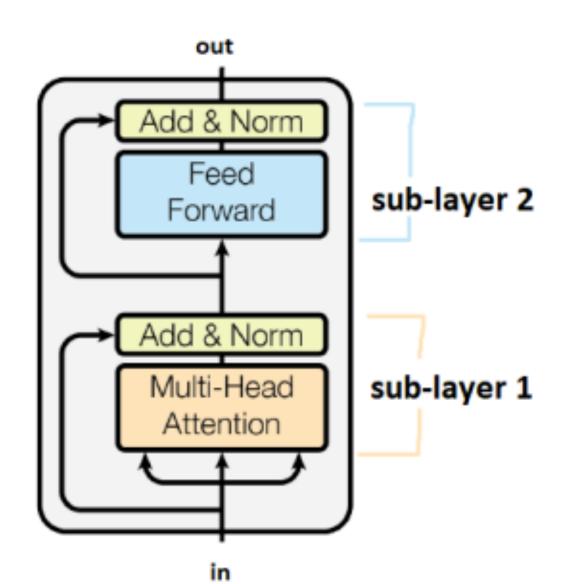
### BERT

- First released in Oct 2018.
- NAACL'19: BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding

#### How is BERT different from ELMo?

- Use Transformers instead of LSTMs
- Trained on segments of text (512 word-piece tokens)
- Use a bidirectional encoder instead of two independent LSTMs from both directions
- The weights are not frozen (use fine-tuning for downstream tasks)
- Two new pre-training objectives





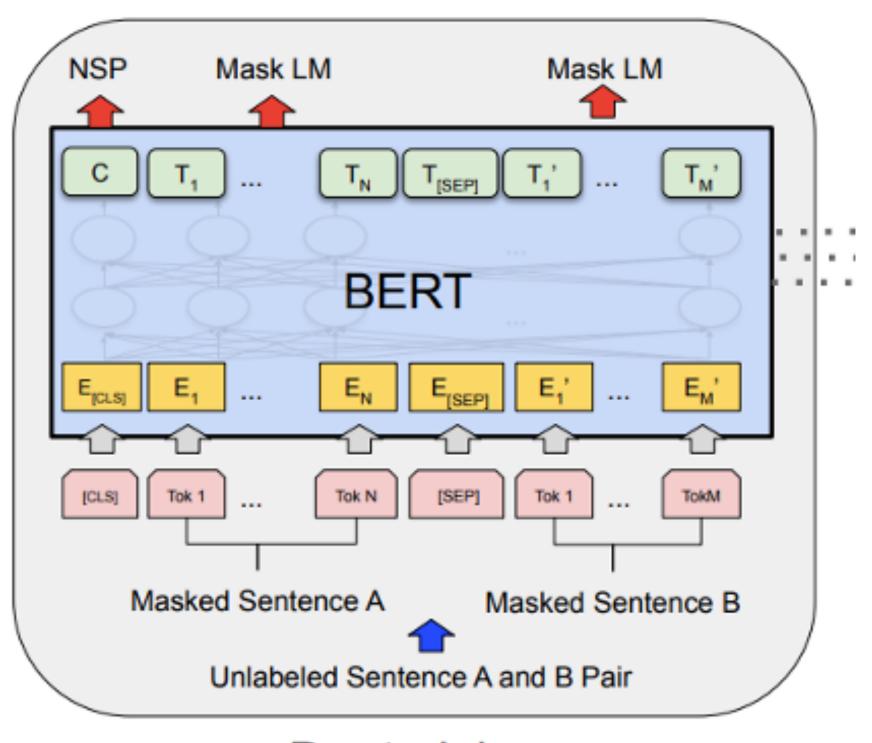
### BERT

• Transformer Encoder

• Two training objectives

• Masked Language Modeling

• Next Sentence Prediction

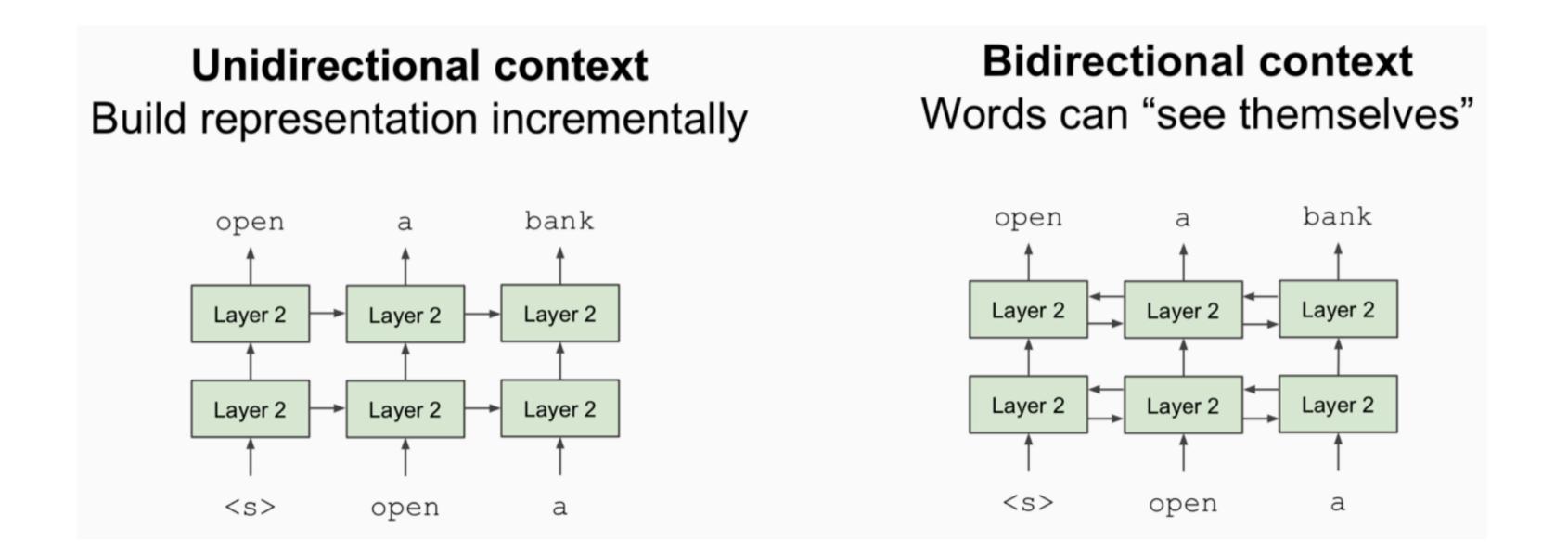


Pre-training



### Bidirectional encoders

- Language models only use left context or right context (although ELMo used two independent LMs from each direction).
- Language understanding is bidirectional



## Masked language models (MLMs)

• Solution: Mask out 15% of the input words, and then predict the masked words



- Too little masking: too expensive to train
- Too much masking: not enough context

### Masked language models (MLMs)

A little more complex (don't always replace with [MASK]):

Example: my dog is hairy, we replace the word hairy

• 80% of time: replace word with [MASK] token

```
my dog is [MASK]
```

• 10% of time: replace word with random word

```
my dog is apple
```

• 10% of time: keep word unchanged to bias representation toward actual observed word

```
my dog is hairy
```

Because [MASK] is never seen when BERT is used...

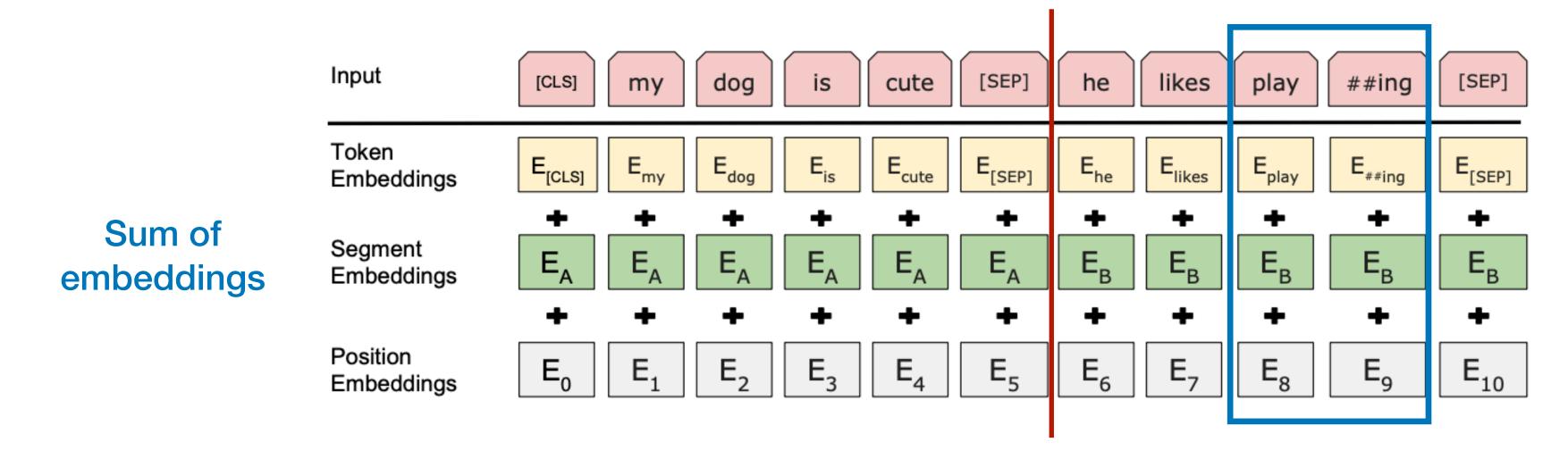
## Next sentence prediction (NSP)

Always sample two sentences, predict whether the second sentence is followed after the first one.

Recent papers show that NSP is not necessary...

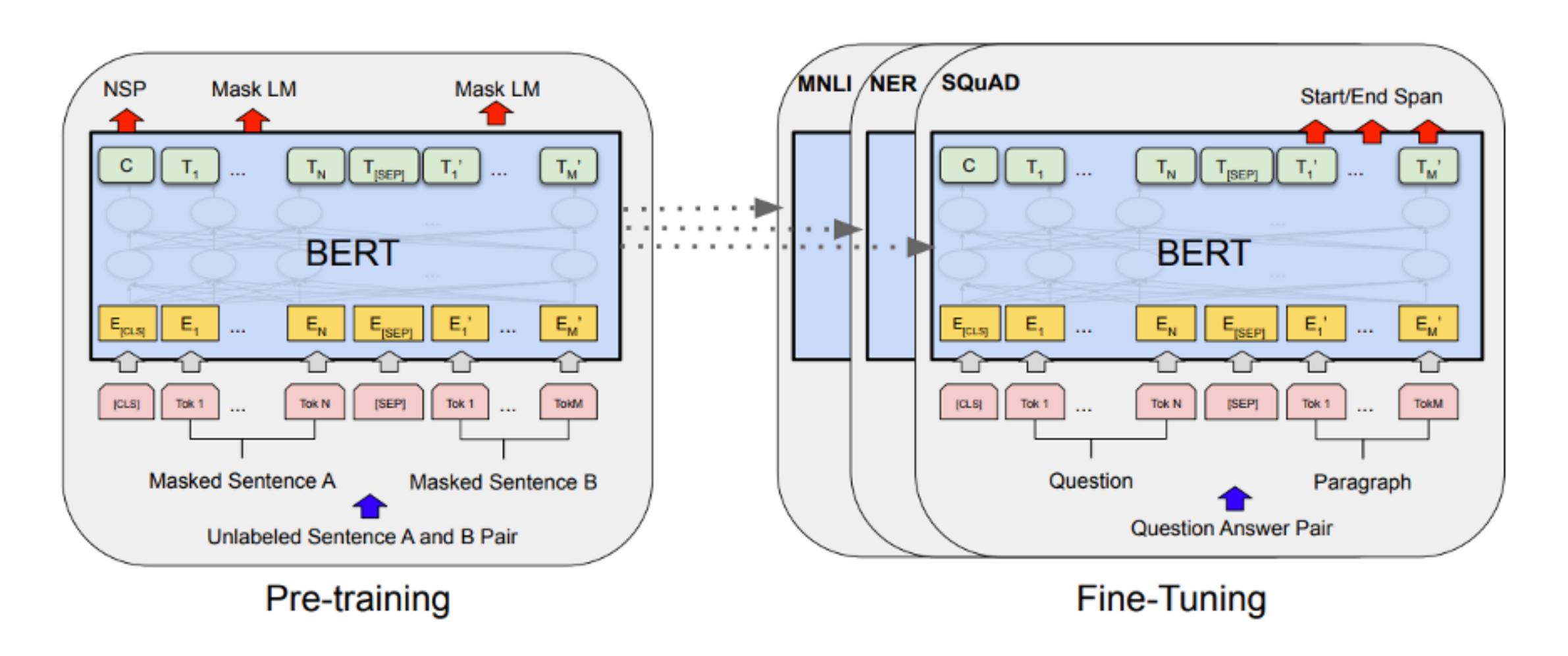
### More details

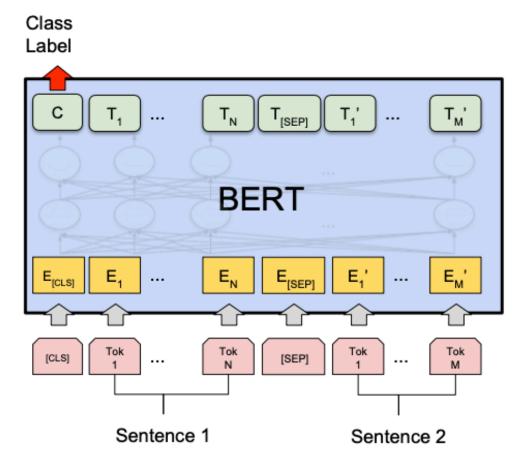
• Input representations



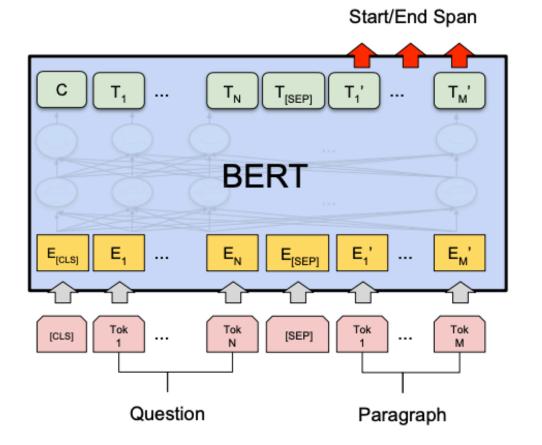
- Use word pieces instead of words: playing => play ##ing (30K token vocabulary)
- Segment length: 512 tokens
- Trained 40 epochs on Wikipedia (2.5B tokens) + BookCorpus (0.8B tokens)
- Released two model sizes: BERT\_base, BERT\_large

## Pre-training and fine-tuning

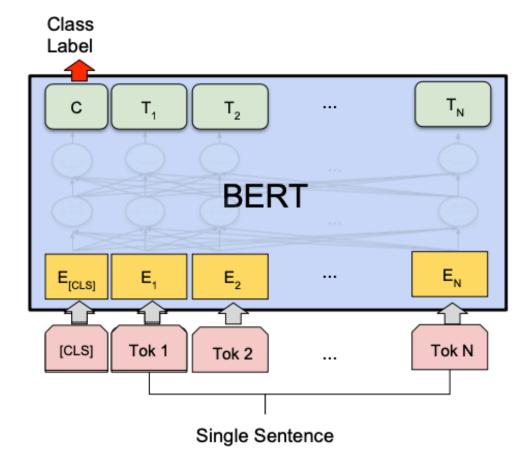




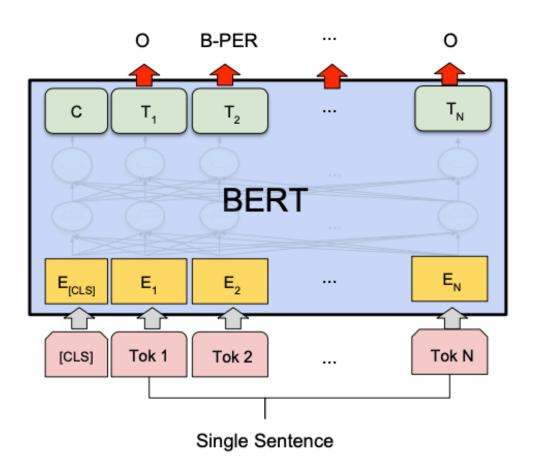
(a) Sentence Pair Classification Tasks: MNLI, QQP, QNLI, STS-B, MRPC, RTE, SWAG



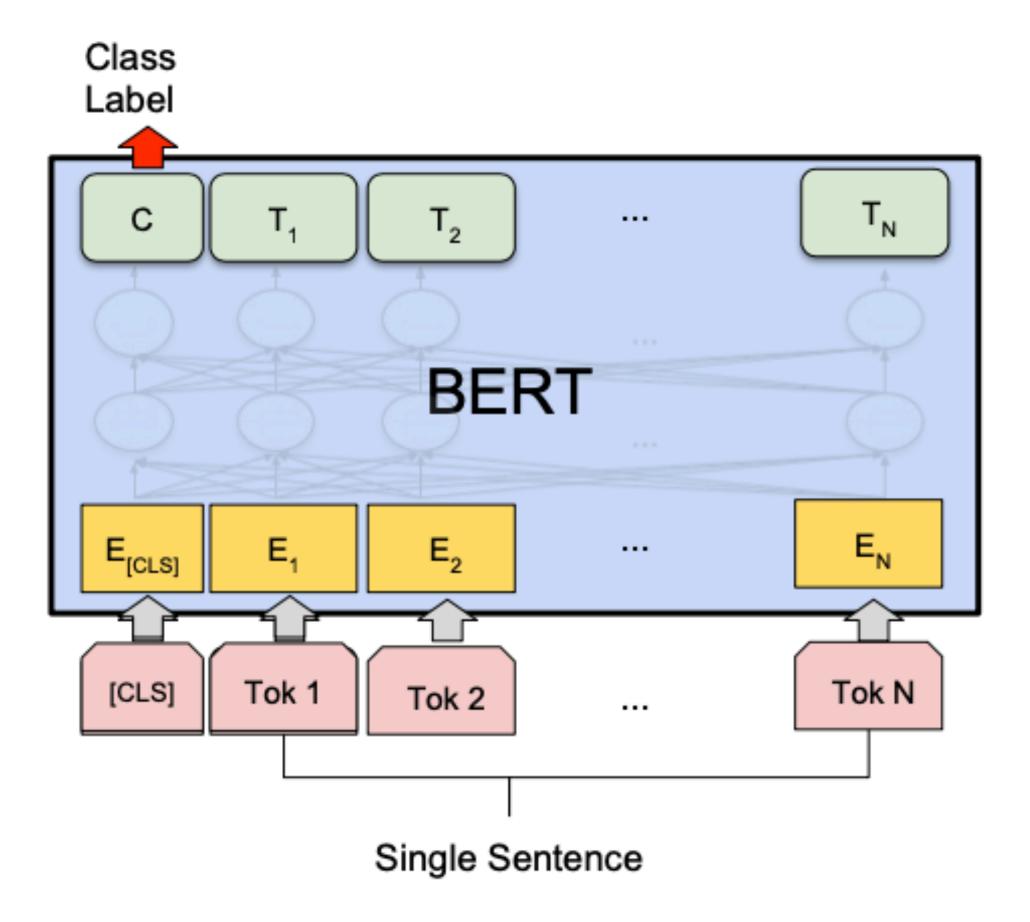
(c) Question Answering Tasks: SQuAD v1.1



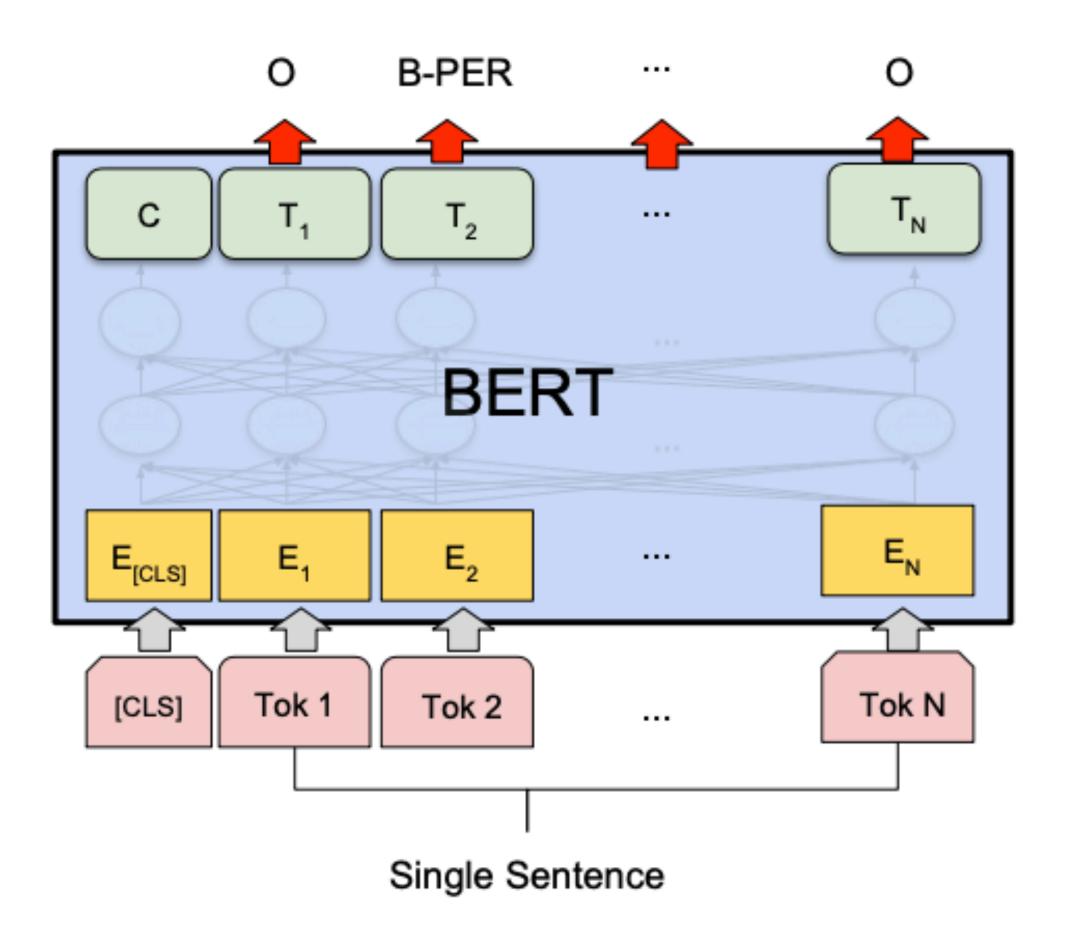
(b) Single Sentence Classification Tasks: SST-2, CoLA



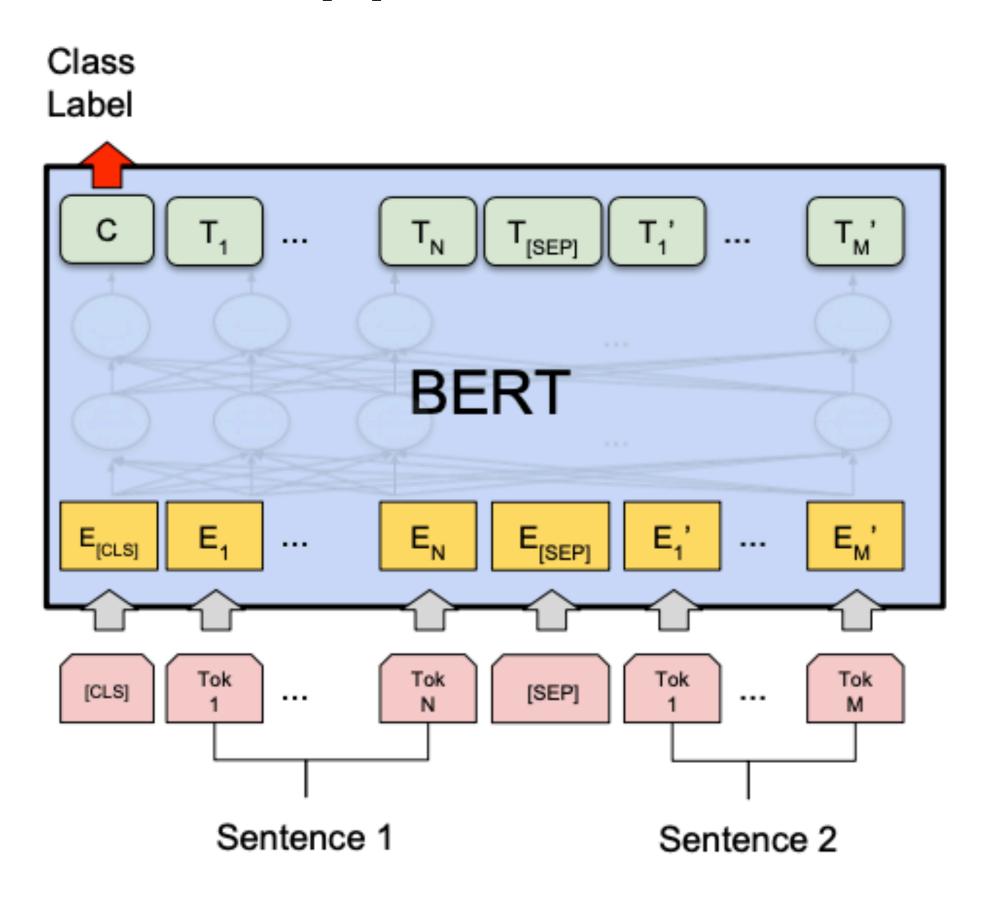
(d) Single Sentence Tagging Tasks: CoNLL-2003 NER



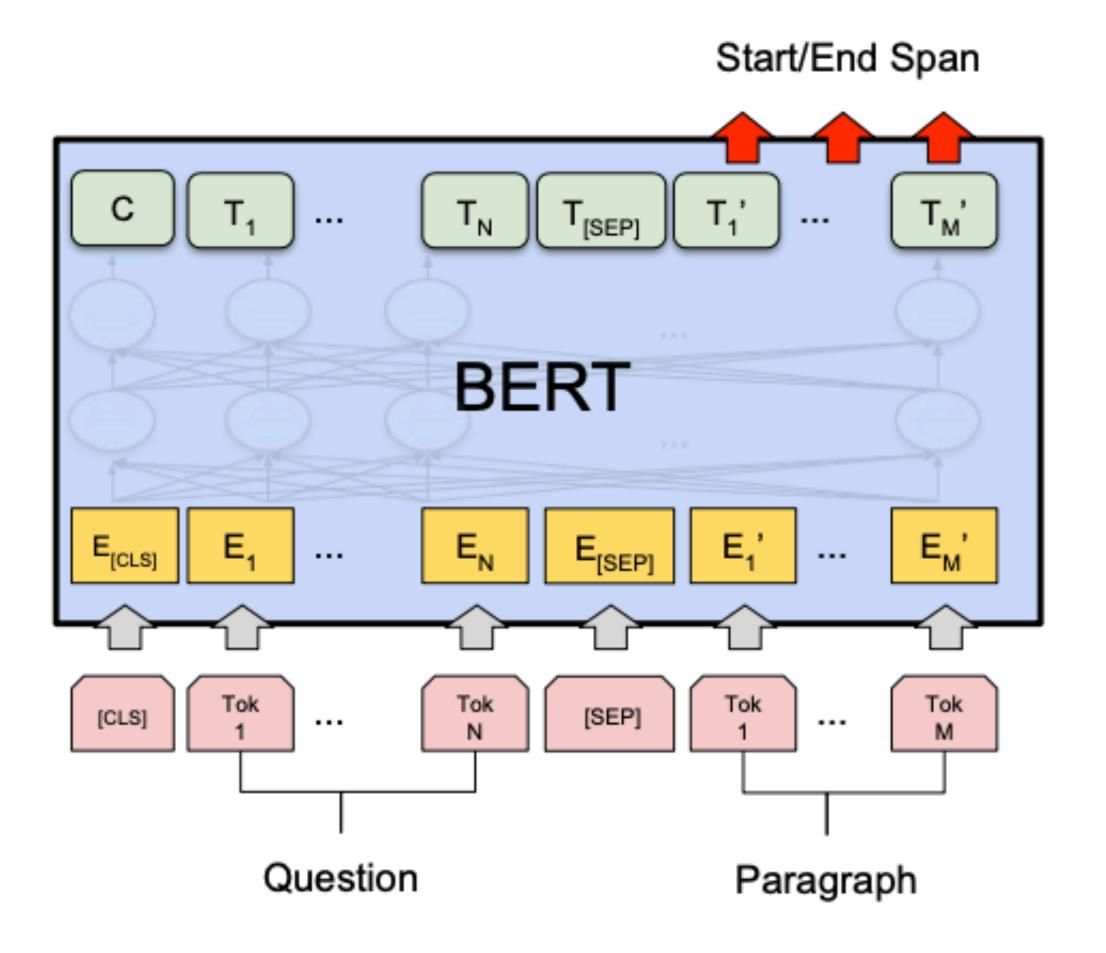
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(a) Sentence Pair Classification Tasks: MNLI, QQP, QNLI, STS-B, MRPC, RTE, SWAG



(c) Question Answering Tasks: SQuAD v1.1

### BERT Details

#### Two models were released:

- BERT-base: 12 layers, 768-dim hidden states, 12 attention heads, 110 million params.
- BERT-large: 24 layers, 1024-dim hidden states, 16 attention heads, 340 million params.

#### Trained on:

- BooksCorpus (800 million words)
- English Wikipedia (2,500 million words)

Pretraining is expensive and impractical on a single GPU.

- BERT was pretrained with 64 TPU chips for a total of 4 days.
- (TPUs are special tensor operation acceleration hardware)

Finetuning is practical and common on a single GPU

• "Pretrain once, finetune many times."

## Experimental results

BiLSTM: 63.9

#### Entailment

• MNLI: multilingual NLI

• QNLI: NLI with SQuAD data

• RTE: Textual Entailment

System	MNLI-(m/mm)	QQP	QNLI	SST-2	CoLA	STS-B	MRPC	RTE	Average
	392k	363k	108k	67k	8.5k	5.7k	3.5k	2.5k	-
Pre-OpenAI SOTA	80.6/80.1	66.1	82.3	93.2	35.0	81.0	86.0	61.7	74.0
BiLSTM+ELMo+Attn	76.4/76.1	64.8	79.9	90.4	36.0	73.3	84.9	56.8	71.0
OpenAI GPT	82.1/81.4	70.3	88.1	91.3	45.4	80.0	82.3	56.0	75.2
BERT <sub>BASE</sub>	84.6/83.4	71.2	90.1	93.5	52.1	85.8	88.9	66.4	79.6
BERT <sub>LARGE</sub>	86.7/85.9	<b>72.1</b>	91.1	94.9	60.5	86.5	89.3	<b>70.1</b>	81.9

### Similarity

• QQP: Quora Question Pairs

• STS-B: Semantic Textual Similarity

• MRPC: MS Research Paraphrase Corpus

### Other

• SST-2: sentiment analysis

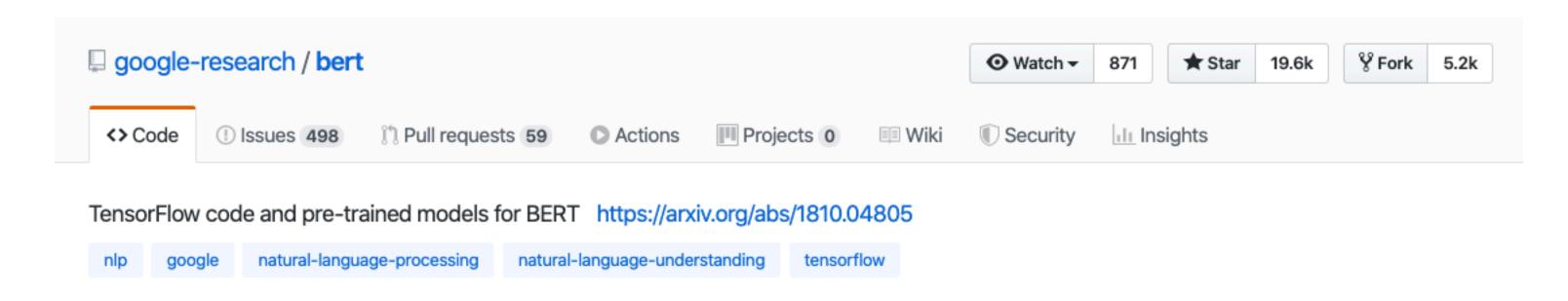
• CoLA: Linguistic acceptability

• SQuAD: question answering

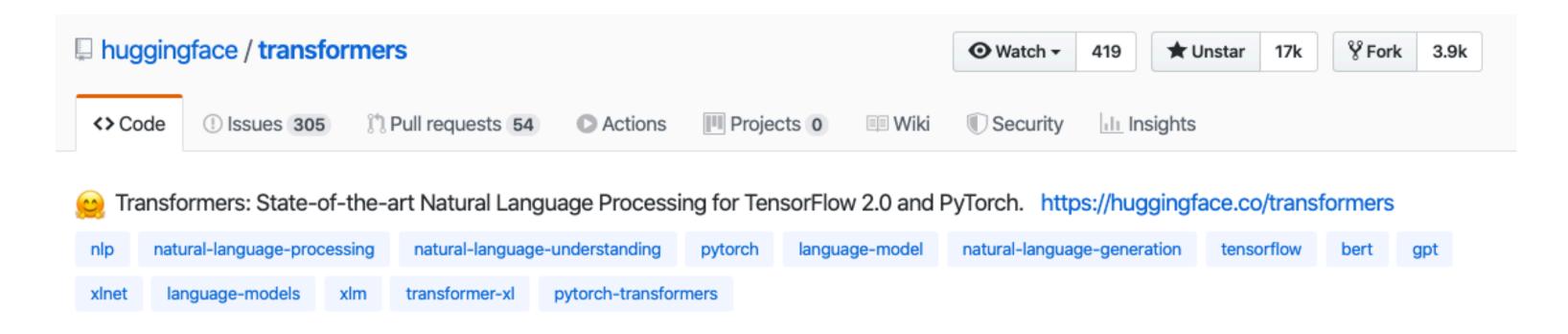
Model	data	bsz	steps	<b>SQuAD</b> (v1.1/2.0)	MNLI-m	SST-2
RoBERTa						
with BOOKS + WIKI	16GB	8K	100K	93.6/87.3	89.0	95.3
+ additional data (§3.2)	160GB	8K	100K	94.0/87.7	89.3	95.6
+ pretrain longer	160GB	8K	300K	94.4/88.7	90.0	96.1
+ pretrain even longer	160GB	8K	500K	94.6/89.4	90.2	96.4
$BERT_{LARGE}$						
with BOOKS + WIKI	13GB	256	1 <b>M</b>	90.9/81.8	86.6	93.7
$XLNet_{LARGE}$						
with BOOKS + WIKI	13GB	256	1 <b>M</b>	94.0/87.8	88.4	94.4
+ additional data	126GB	2K	500K	94.5/88.8	89.8	95.6

#### Use BERT in practice

**TensorFlow**: https://github.com/google-research/bert



**PyTorch**: <a href="https://github.com/huggingface/transformers">https://github.com/huggingface/transformers</a>



#### Contextualized word embeddings in context

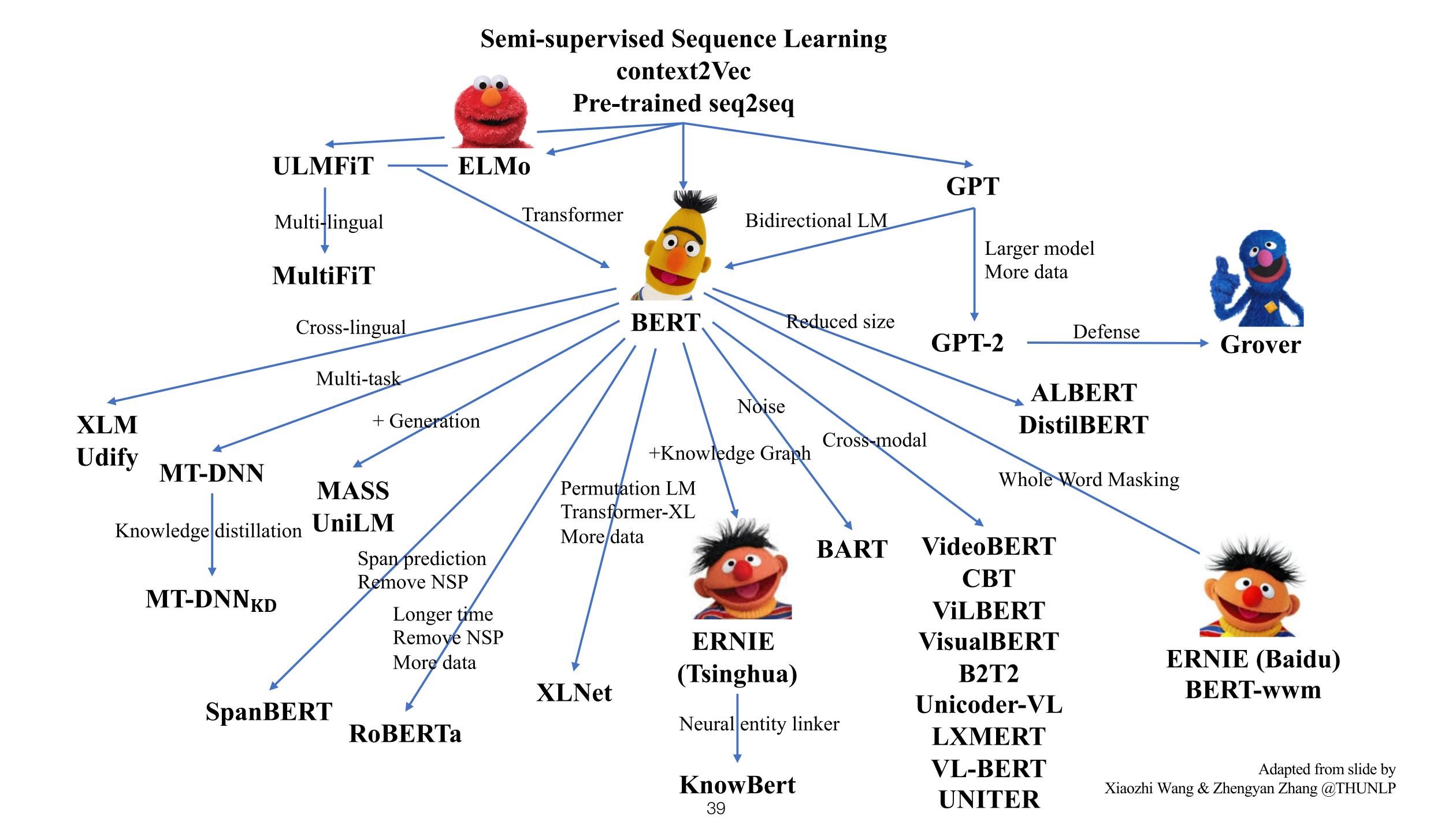
- TagLM (Peters et, 2017)
- CoVe (McCann et al. 2017)
- ULMfit (Howard and Ruder, 2018)
- ELMo (Peters et al, 2018)
- OpenAI GPT (Radford et al, 2018)
- BERT (Devlin et al, 2018)
- OpenAI GPT-2 (Radford et al, 2019)
- XLNet (Yang et al, 2019)
- SpanBERT (Joshi et al, 2019)
- RoBERTa (Liu et al, 2019)
- ALBERT (Lan et al, 2019)
- DistilBERT (Sanh et al, 2019)
- ELECTRA (Clark et al, 2020)

• ...



https://github.com/ huggingface/transformers

See <a href="https://huggingface.co/transformers/">https://huggingface.co/transformers/</a>
for more information and models



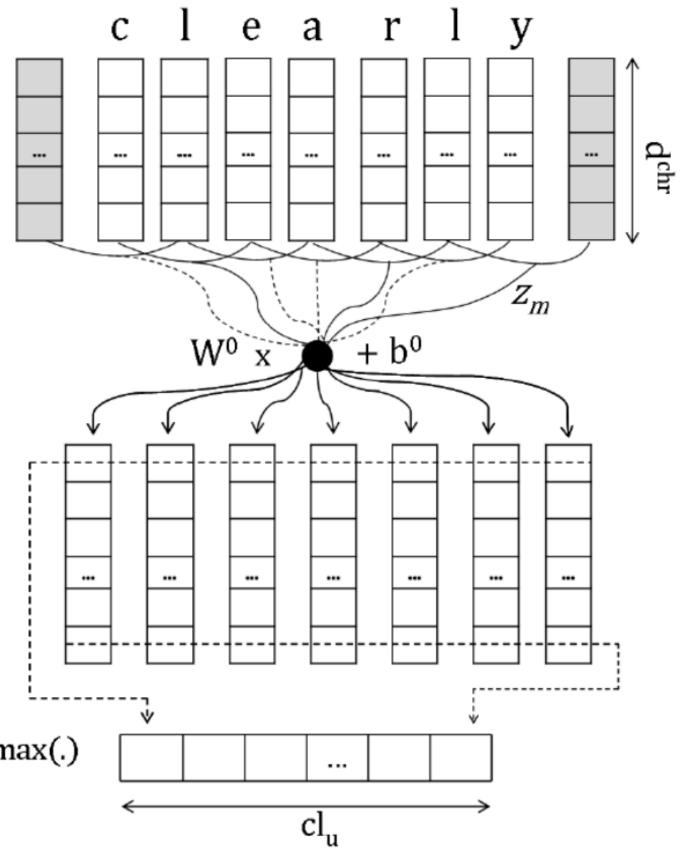
## Subword modeling

#### Subword modeling

- Why subword modeling?
  - Captures morphology
  - Helps with OOV words
    - New words, spelling variants, misspellings, and noisy text
- Ways of incorporating subword modeling
  - Use subwords (word-pieces) as tokens
  - Hybrid architecture where part of the word embeddings come from subword modeling
- Used in most SOTA NLP methods
  - Character CNNs in ELMo
  - BPE (Byte Pair Encoding) in original Transformer paper
  - Wordpiece / sentence piece (in BERT)

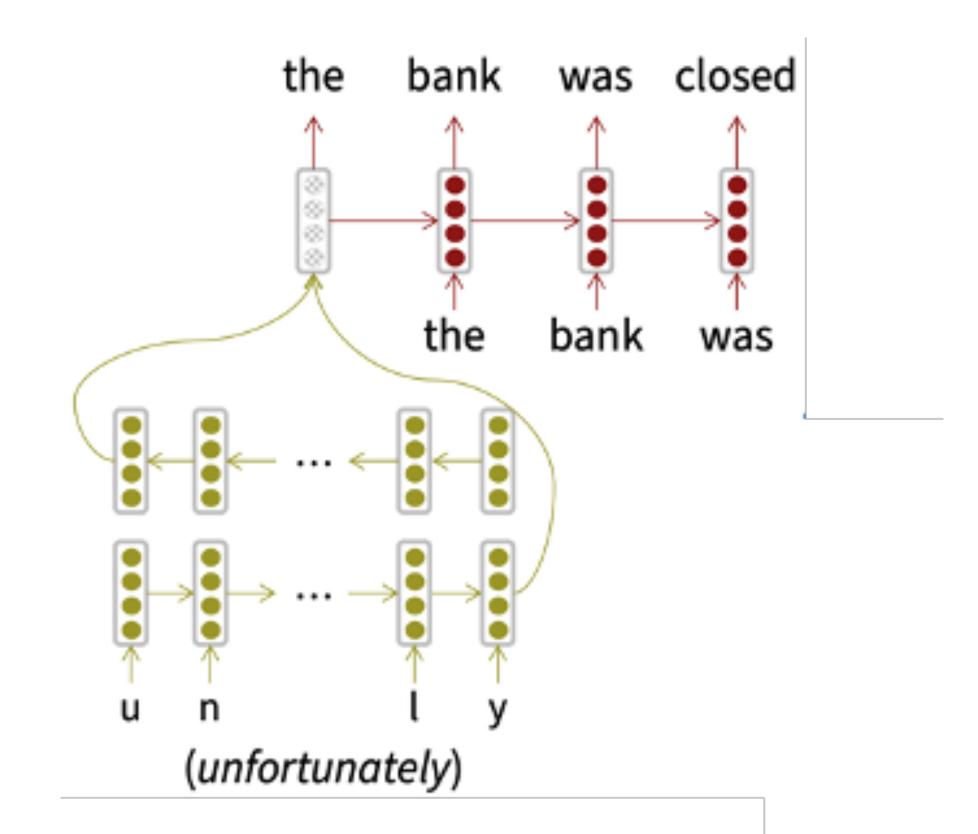
### NN over characters to build word representations

Convolution over characters to generate word embeddings



Fixed window of word embeddings used for PoS tagging

- Same objective as word2vec but with characters
- Bi-directional LSTM to compute embedding



Char2vec: A joint model for word embedding and word morphology (Cao and Rei, 2016)

Learning Character-level Representations for Part-of-Speech Tagging (Dos Santos and Zadrozny 2014)

- Originally a compression algorithm
  - Bottom up clustering
  - Most frequent byte pair -> a new byte
  - For words, replace bytes with character ngrams
- Automatically build vocabulary
  - Vocabulary is pieces of words (or character ngrams)
  - Deterministic algorithm that finds the common longest pieces of words to use in vocabulary

count	bigram
5 + 2	lo
5 + 2	O W
2 + 6	we
2	e r
6	ne
6	e w
6 + 3	e s
6 + 3	st
3	wi
3	i d
3	d e

- A word (character ngram) segmentation algorithm
  - Start with a vocabulary of characters
  - Take most frequent ngram pair -> add the new ngram the to vocabulary

#### Dictionary

5 Iow 2 Iower 6 newest

3 widest

#### Vocabulary

I, o, w, e, r, n, w, s, t, i, d

Start with all characters in vocabulary

count	bigram
5 + 2	Ιο
5 + 2	o w
2	we
2	er
6	n e
6	e w
6	w es
6 + 3	es t
3	wi
3	id
3	d es

- A word (character ngram) segmentation algorithm
  - Start with a vocabulary of characters
  - Take most frequent ngram pair -> add the new ngram the to vocabulary

#### Dictionary

5 low2 lower6 newest3 widest

#### Vocabulary

I, o, w, e, r, n, w, s, t, i, d, **es** 

Add a pair (e,s) with frequency 9

count	bigram
5 + 2	Ιo
5 + 2	O W
2	we
2	er
6	n e
6	e w
6	w est
3	wi
3	i d
3	d est

- A word (character ngram) segmentation algorithm
  - Start with a vocabulary of characters
  - Take most frequent ngram pair -> add the new ngram the to vocabulary

# Dictionary 5 low 2 lower 6 newest 3 widest

Vocabulary

I, o, w, e, r, n, w, s, t, i, d, es, **est** 

Add a pair (es,t) with frequency 9

count	bigram
5 + 2	lo w
2	w e
2	e r
6	n e
6	e w
6	w est
3	wi
3	i d
3	d est

- A word (character ngram) segmentation algorithm
  - Start with a vocabulary of characters
  - Take most frequent ngram pair -> add the new ngram the to vocabulary

#### Dictionary

5 **lo** w

2 **lo** wer

6 newest

3 widest

#### Vocabulary

I, o, w, e, r, n, w, s, t, i, d, es, est, **lo** 

Add a pair (I,o) with frequency 7

- When to stop
  - Have a target vocabulary size and stop when you reach it
- Deterministic, common longest piece segmentation of words
- Segmentation is only within words already identified by some prior tokenizers
- Automatically decide vocabulary to use (vocabulary is pieces of words - character ngrams)

#### Wordpiece/Sentencepiece

- Used in Google NMT
  - V1: wordpiece
  - V2: sentencepiece
- Difference way to select what ngram to add
  - Choose n-gram that maximally reduces perplexity
  - Greedy approximation to maximizing the language model log likelihood

#### Wordpiece/Sentencepiece

- Used in Google NMT
  - V1: wordpiece
  - V2: sentencepiece
- Variant of wordpiece model is used in BERT
  - Common words are in vocabulary: at, Fairfax, 1910s
  - Other words built from workpieces: Hypatia = h ##yp ##ati ##a

#### Wordpiece/Sentencepiece

- Used in Google NMT
  - V1: wordpiece only tokenizes inside words
  - V2: sentencepiece works directly on raw text (use special token \_ for whitespace)

Also accommodates Unigram

https://github.com/google/sentencepiece

#### Unigram

• Finds vocabulary V that gives the maximum likelihood for a given vocabulary size. For given segmentation  $T=(x_1,\ldots x_M)$  for input X, likelihood is given by

$$P(\mathbf{x}) = \prod_{i=1}^{M} p(x_i) \qquad x_i \in V \qquad \sum_{x \in V} p(x) = 1$$

- Need to estimate vocabulary V, possible segmentations, and token probabilities  $p(x_i)$  jointly: use EM
- With vocabulary V and input X, can get optimal segmentation using Viterbi, or output multiple segmentations with their probabilities (with sampling with subword regularization).

#### Unigram algorithm

- Start with heuristic seed vocabulary V (that is sufficiently large)
  - For example: all characters + frequent substrings (can also run BPE)
- ullet Repeat until |V| reaches the desired vocabulary size
  - Given V, optimize likelihood of text data (P(X)) and tokenization T using EM algorithm
  - Compute  $loss(x_i)$  for each token  $x_i \in V$  where loss is how much the likelihood is to be reduced if token  $x_i$  is removed
  - Sort by loss and keep top 80% tokens in V (note: always keep single character subwords to avoid OOV)