

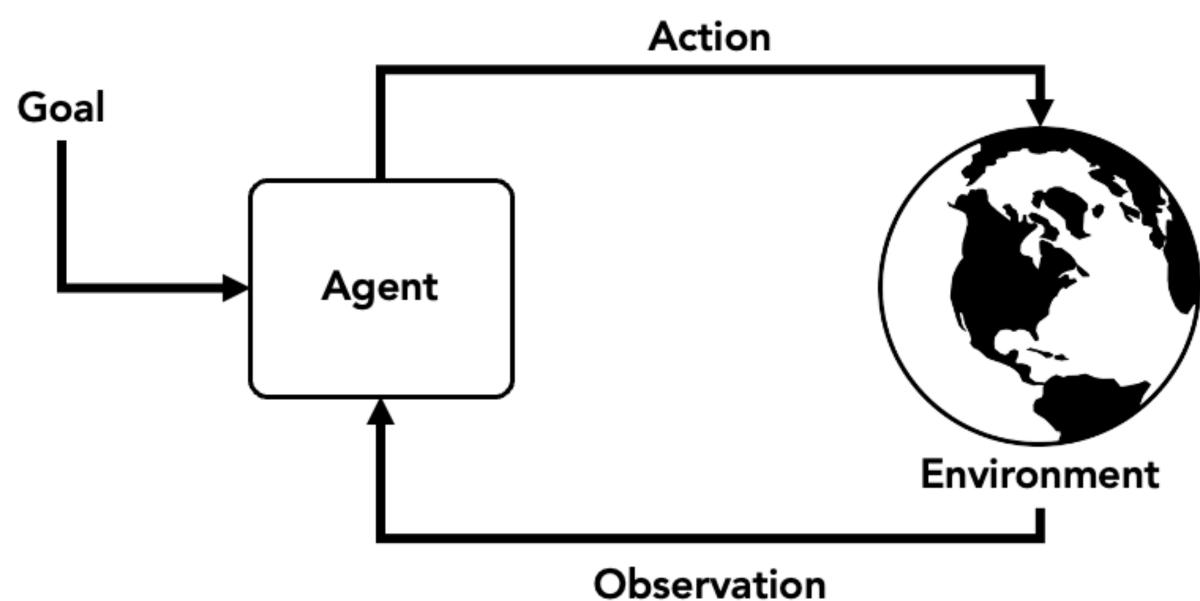
Spring 2024 2024-03-27

CMPT 413/713: Natural Language Processing

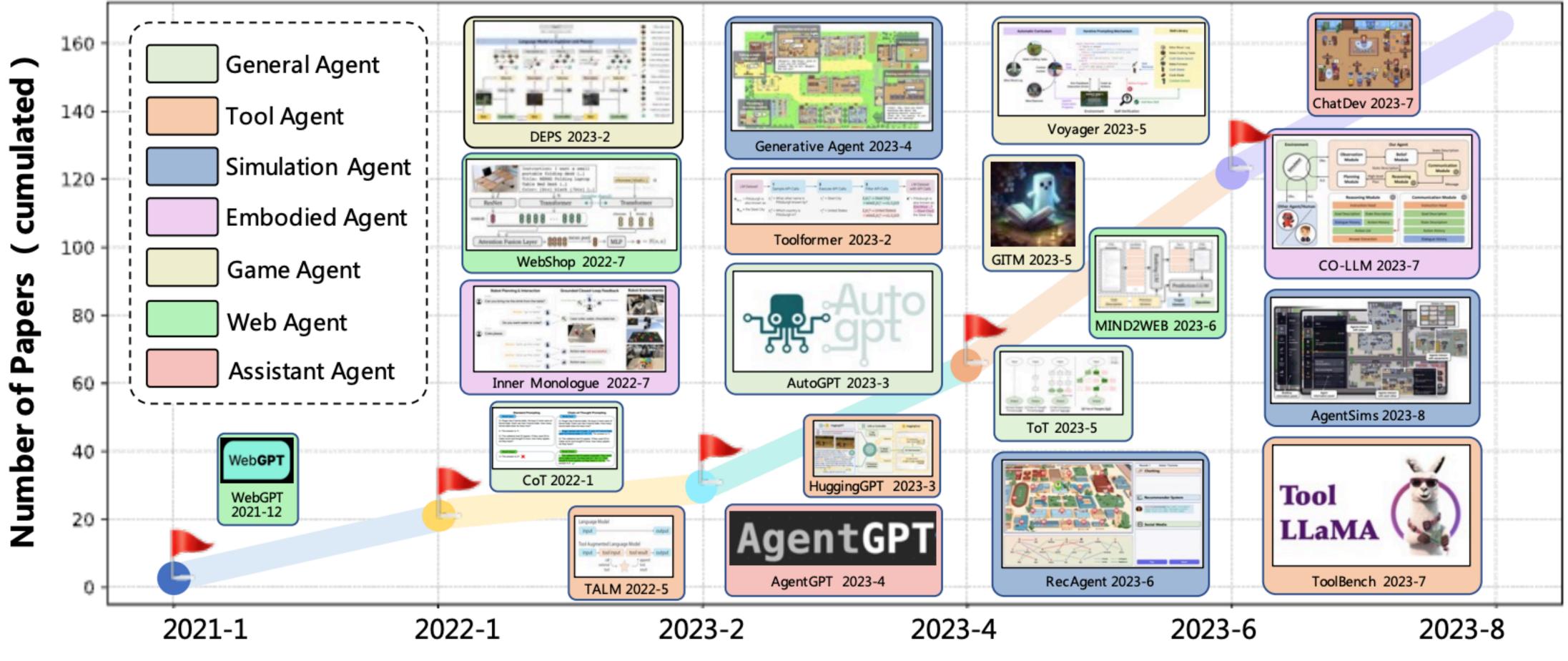
### LLM agents

- There is a lot of knowledge in LLMs
- But they can't "act"
- Can we leverage LLMs to build a "smart" agent that can interact with the environment to achieve given goals?

### LLMs as agents







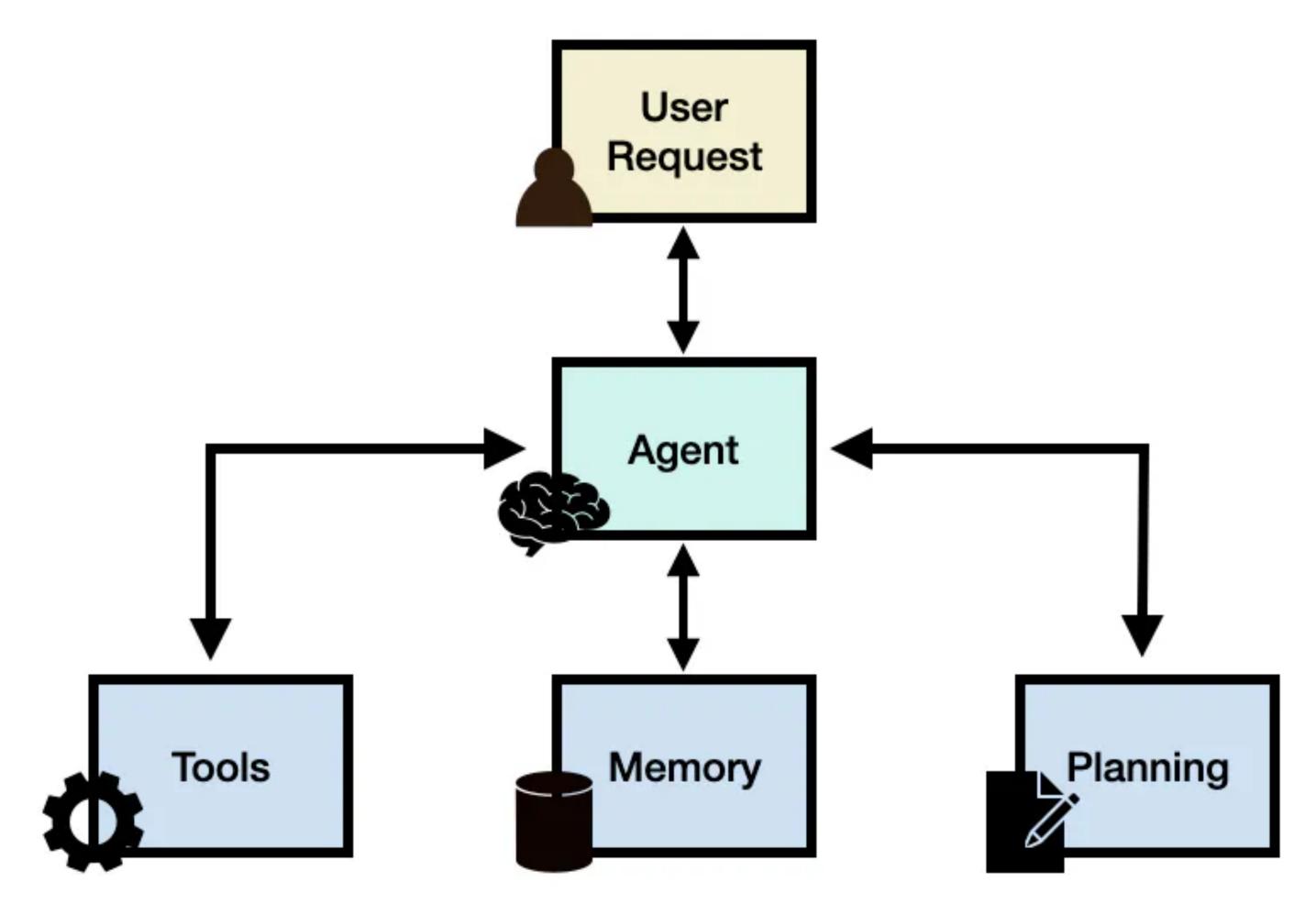
#### Time (Year-Month)

A Survey on Large Language Model based Autonomous Agents [Wang et al, 2023]

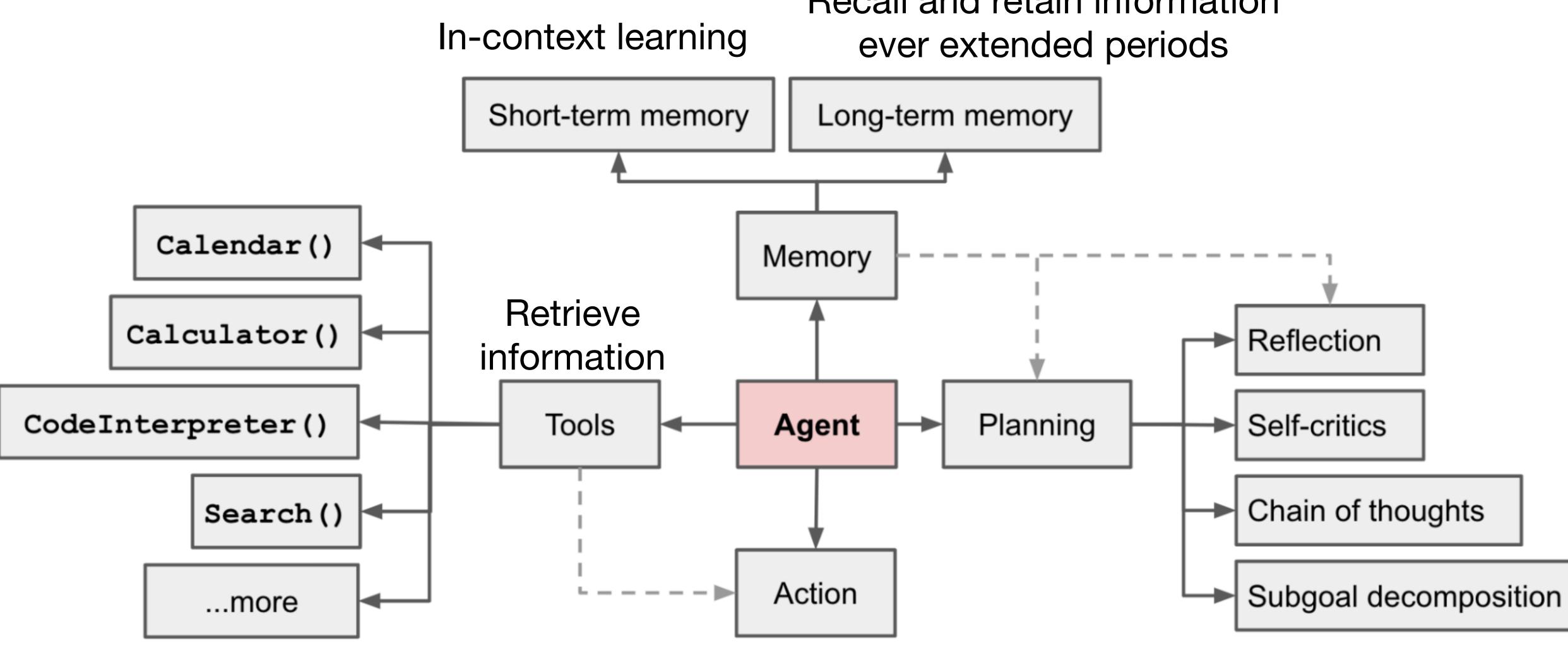
### Lots of work!

### LLM Agent Framework

API calls to external services, expert modules, etc



https://www.promptingguide.ai/research/llm-agents



## Recall and retain information

https://lilianweng.github.io/posts/2023-06-23-agent/



### Planning

- What does the agent need to do to accomplish a specified goal?
- Low-level vs high-level planning
  - High-level plan: Identify subgoals for a long-horizon task • Low-level plan (sometime low-level control): Identify sequence of actions
- Traditionally use symbolic reasoning
  - Hard to recover from errors
  - Difficult to convert expert knowledge into planning languages such as PDDL (Planning Domain Definition Language)
- Use of LLM for planning
  - Lot of expert domain knowledge already encoded in language
  - Can we use LLMs to help us plan?

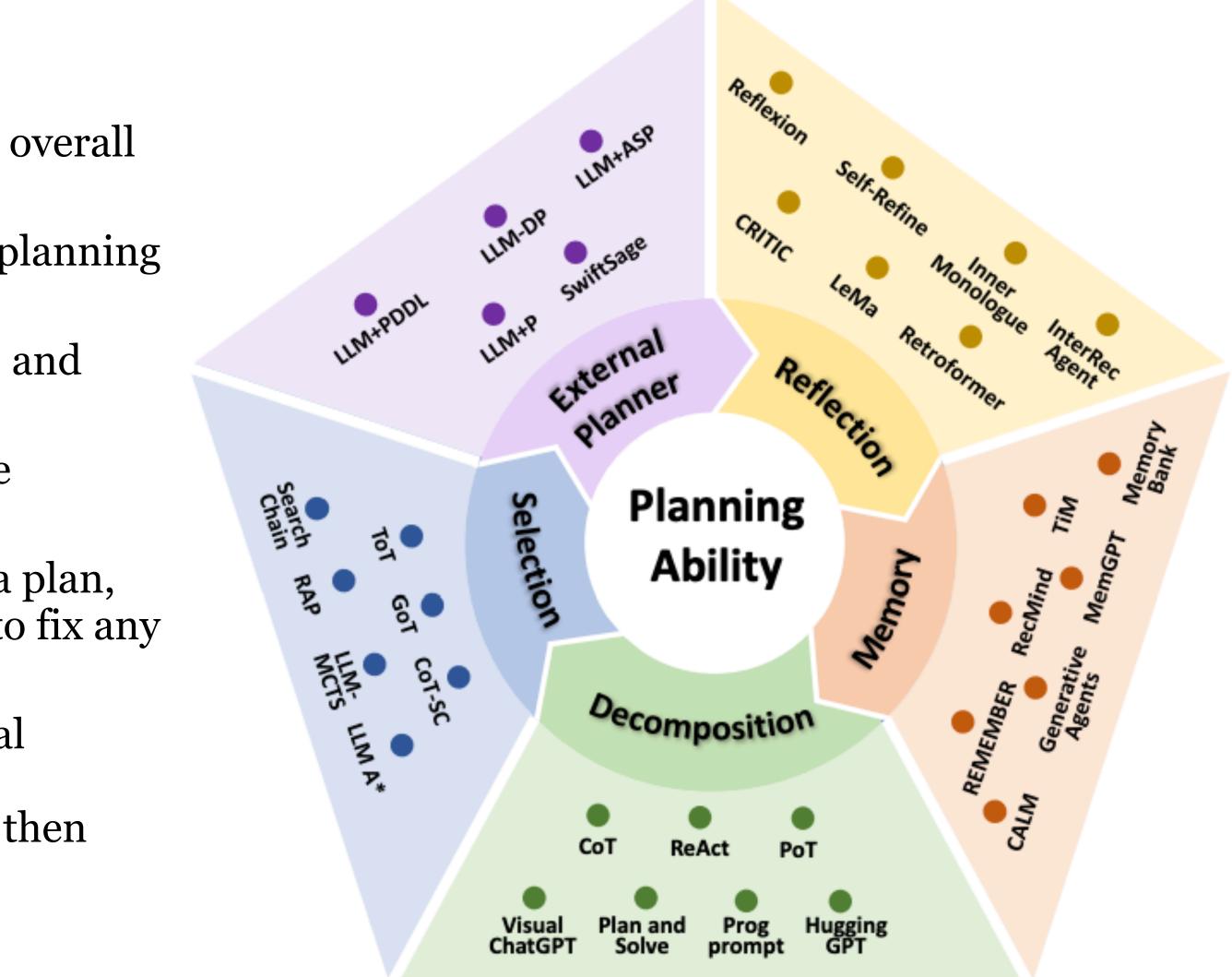
### Planning

## Taxonomy for planning with LLMs

Given environment and sequence of actions, and an overall task goal, identify subgoals and actions

- **Task decomposition** figure out subgoals, do planning for subgoals if needed
- **Multi-plan selection** generate multiple plans and then select one
- **External planner** LLM used to formalized the problem which is passed to an external planner
- **Reflection and refinement** After obtaining a plan, the LLM future reflects on the plan and refine it to fix any issues with the original plan
- **Memory-augmented planning** Uses external memory to retrieve information (common sense knowledge, domain-specific knowledge, etc) and then determines plan based on that

Understanding the planning of LLM agents: A survey [Huang et al, 2024]



### Self-Reflection

designed to interact with?

#### • ReAct: interleave thought, action, and observation

• Prompting to combine reasoning with actions

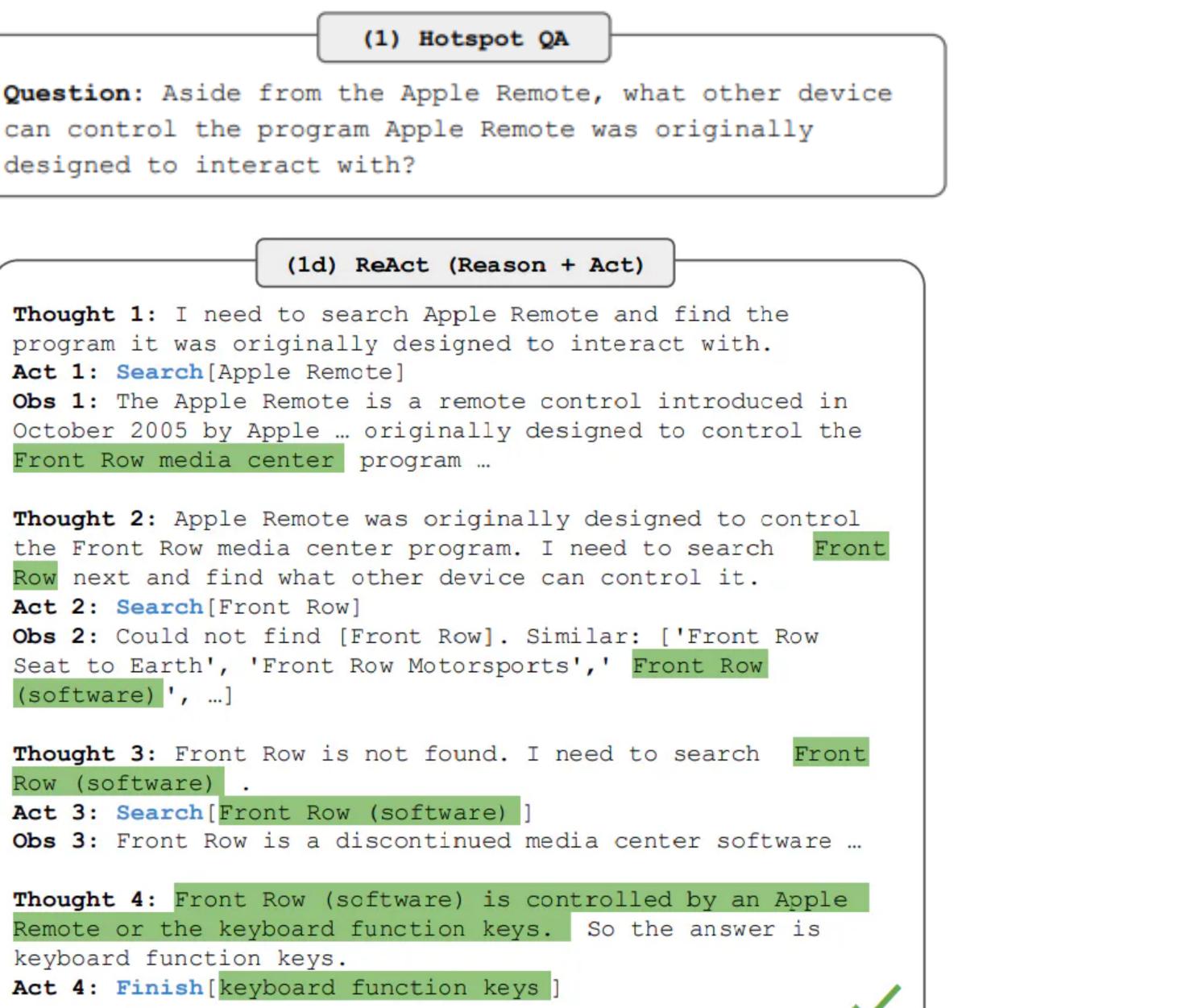
Act 1: Search [Apple Remote] Front Row media center program ...

Act 2: Search[Front Row] (software) ', ...]

Row (software) . Act 3: Search [Front Row (software)

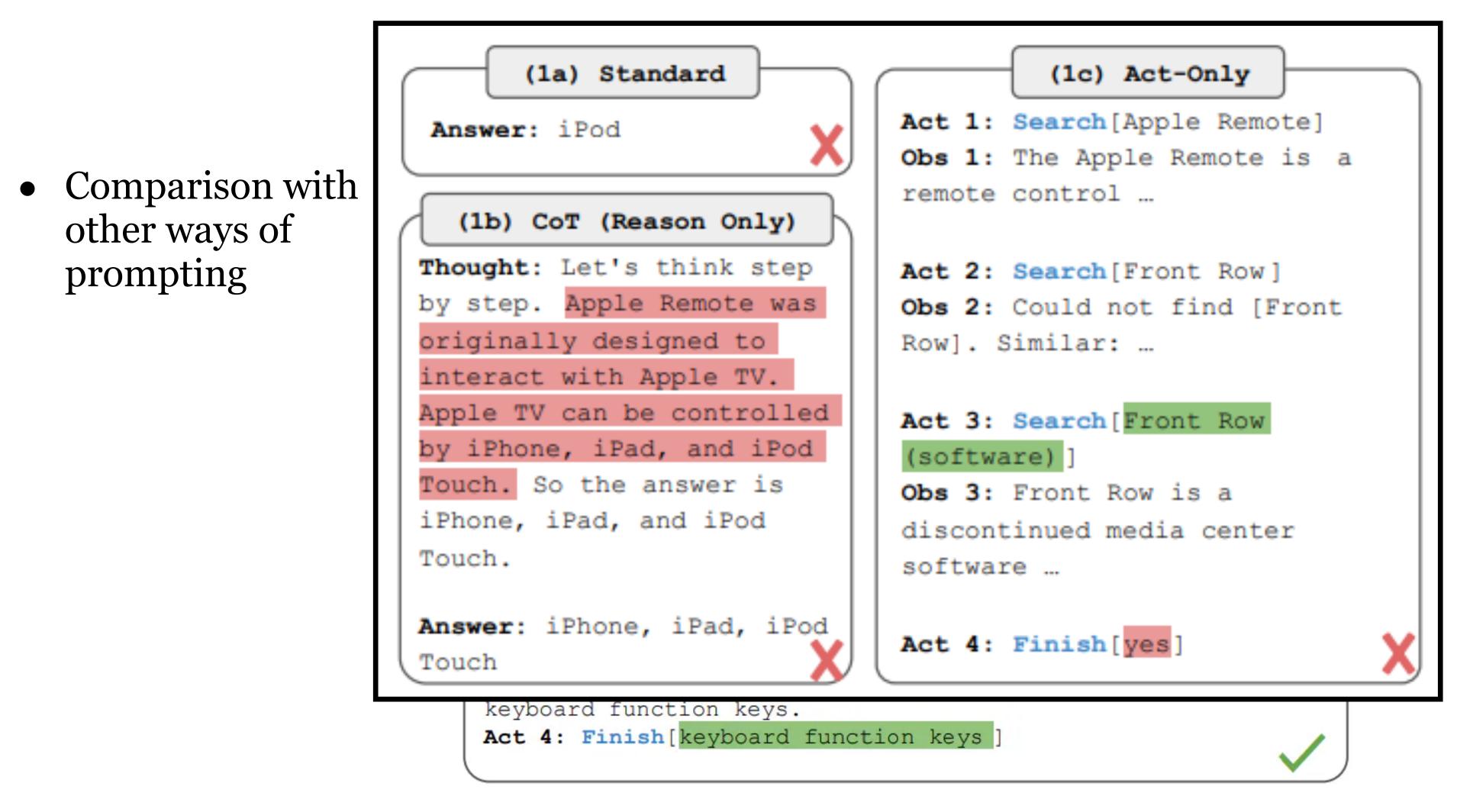
keyboard function keys. Act 4: Finish [keyboard function keys ]

ReAct: Synergizing Reasoning and Acting in Language Models [Yao et al. 2022]



### Self-Reflection

Question: Aside from the Apple Remote, what other device can control the program Apple Remote was originally designed to interact with?

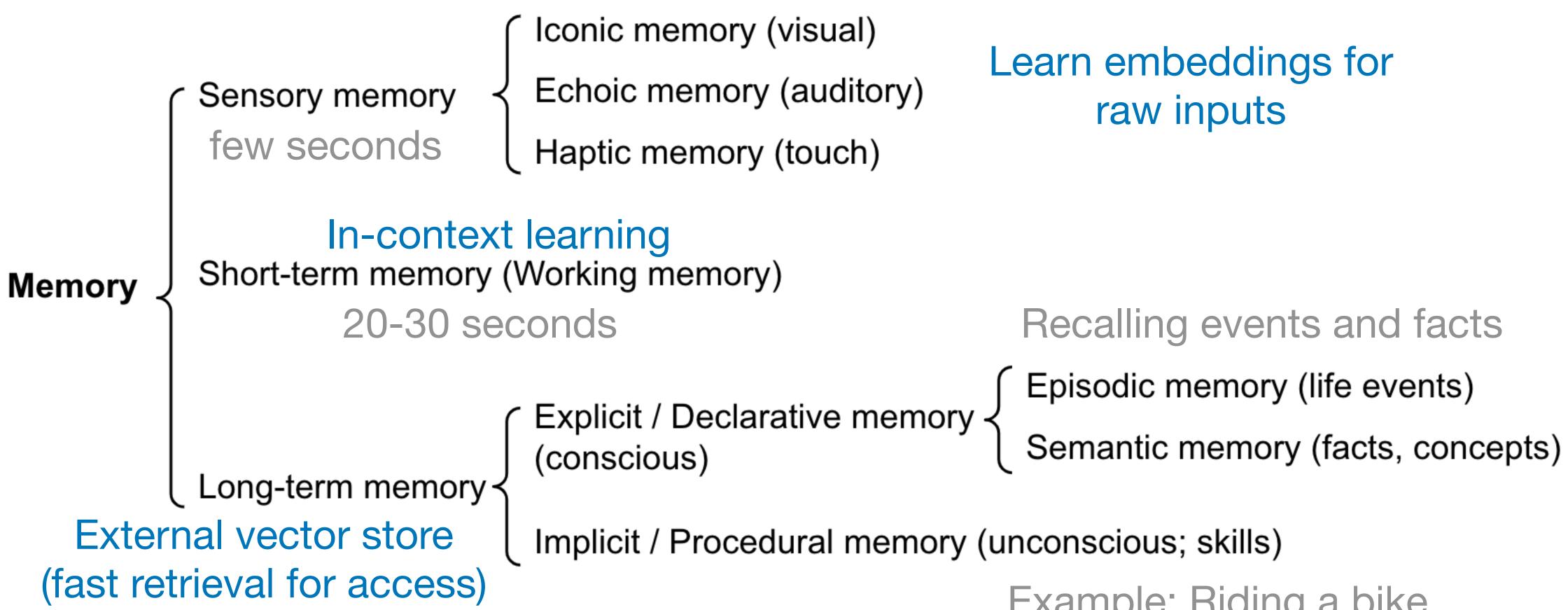


ReAct: Synergizing Reasoning and Acting in Language Models [Yao et al. 2022]

#### (1) Hotspot QA

### Memory

## Types of memory



https://lilianweng.github.io/posts/2023-06-23-agent/

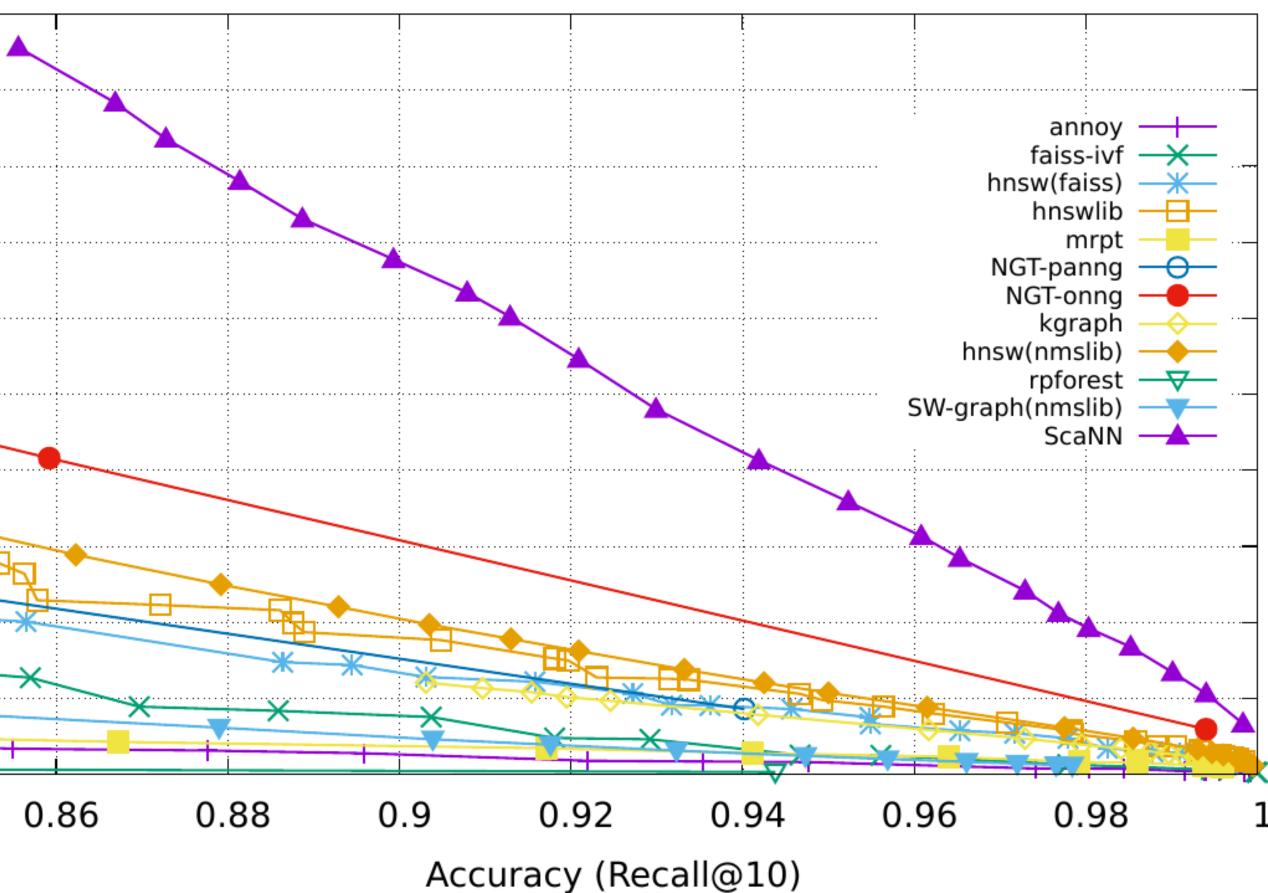
Example: Riding a bike

### Efficient retrieval from memory

Approximate nearest neighbours (ANN)		10000	
algorithms to return top k nearest neighbours using maximum inner product search (MIPS)		9000 -	
• LSH (Locality Sensitive Hashing) - hashing function so that similar inputs are mapped to	(pu	8000 -	
same buckets with high probability	Second)	7000	
• ANNOY (ANN Oh Yeah) - Random projection trees where nodes splits input space into half	per S	6000 -	
• HNSW (Hierarchical Navigable Small World)	ries	5000 -	
<ul> <li>Hierarchical layers of small-world graphs (points in the bottom layers)</li> </ul>	l (Queries	4000	
• Can be used with FAISS	beed	3000	
• FAISS (Facebook AI Similarity Search) -	Sp	2000	
vector quantization - partition vector space into clusters		1000	
• ScaNN (Scalable Nearest Neighbours) - Anisotropic vector quantization (quantize points while maintaining distances)		0	

https://lilianweng.github.io/posts/2023-06-23-agent/

https://blog.research.google/2020/07/announcing-scann-efficient-vector.html Note: <u>nmslib</u> focus is on non-metric spaces





### Tool use

- Expert models that can be called

• API calls to external services (math calculator, currency converter, etc)

## HuggingGPT: Task decomposition with model selection

x 🙄

HuggingGPT

Can you describe this picture and count how

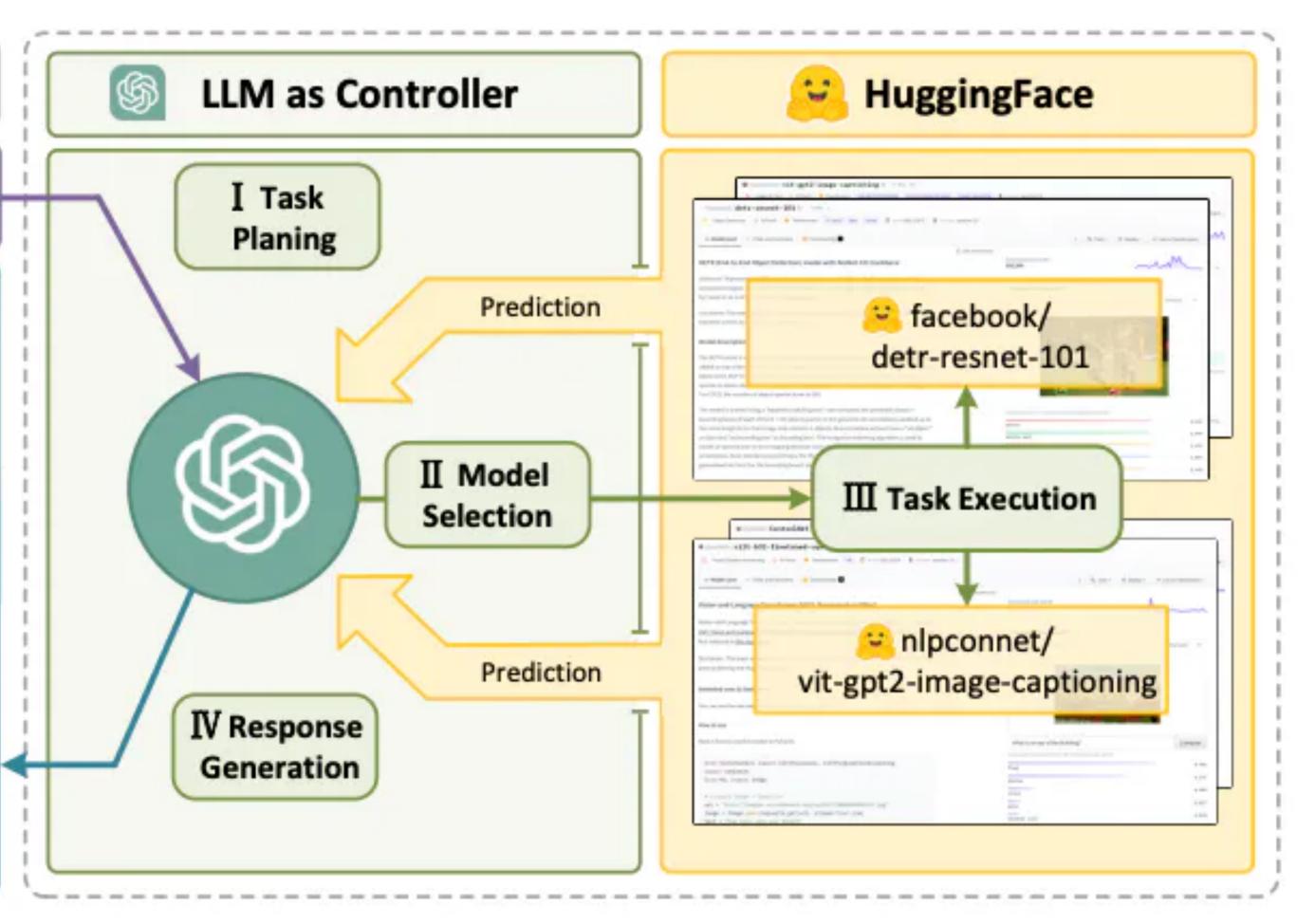
1. Task planning

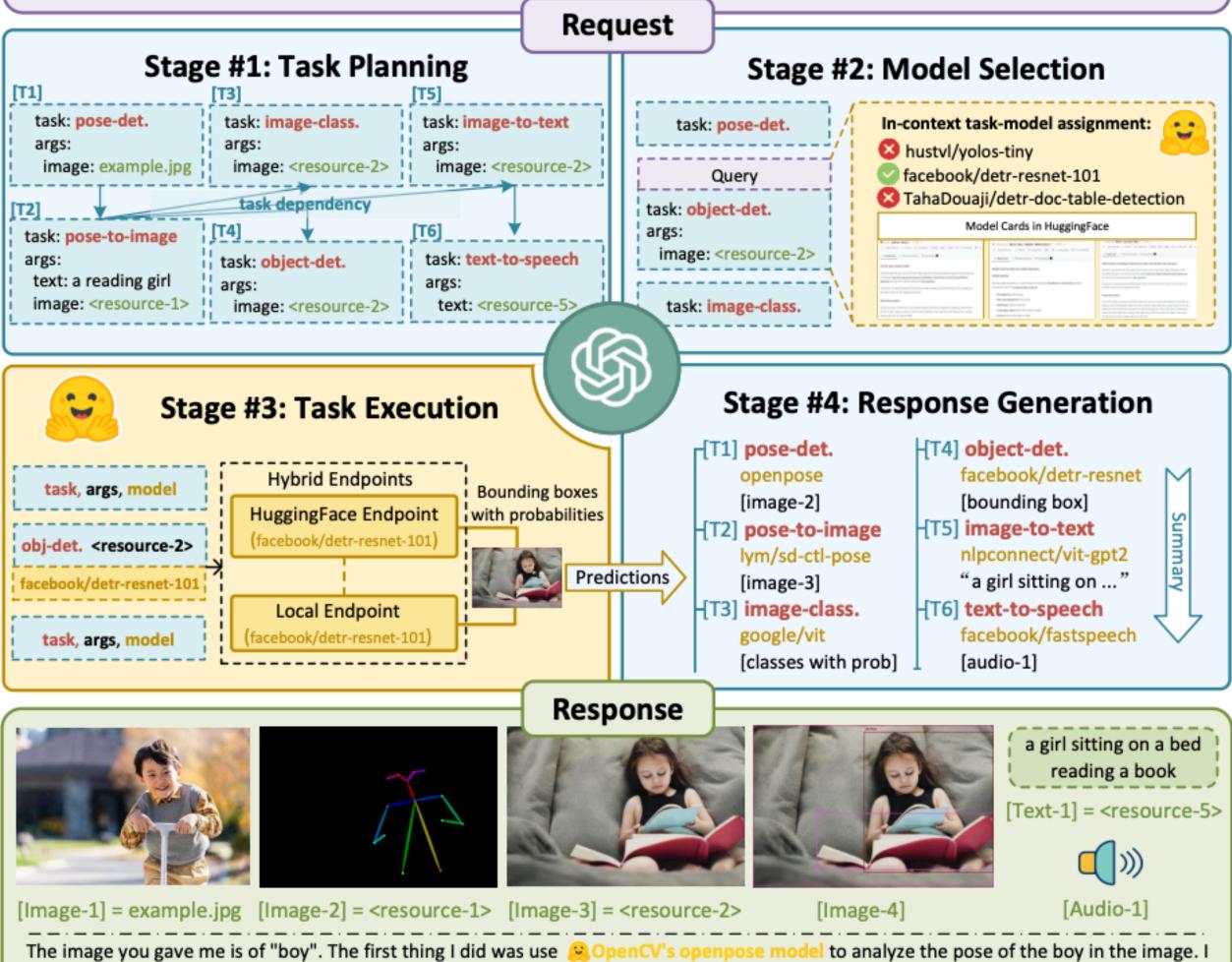
- 2. Model selection
- 3. Task execution

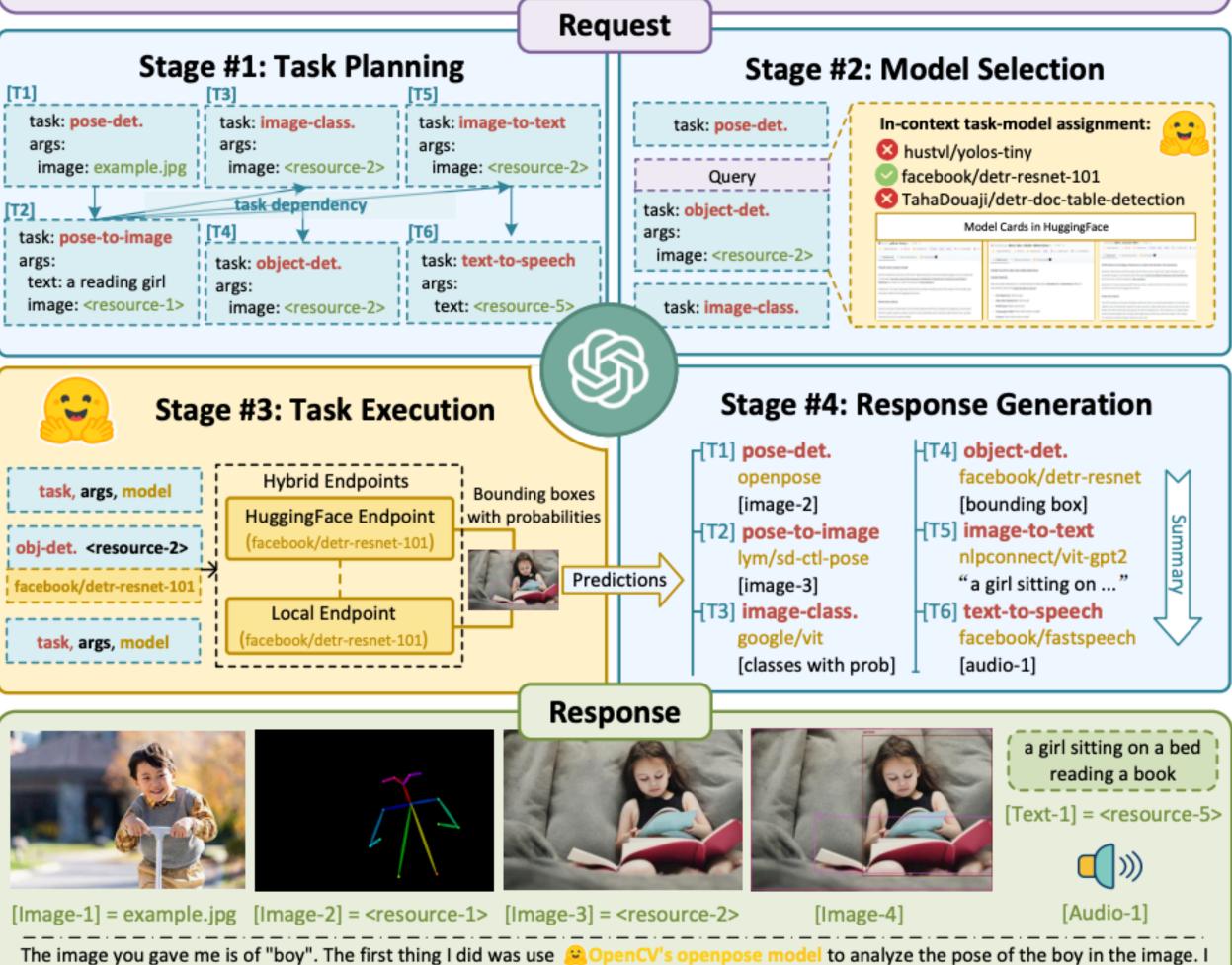
4. Response generation

many objects in the picture?

A text can describe the given image: a herd of B giraffes and zebras grazing in a fields. In addition, there are five detected objects as giraffe with score 99.9%, zebra with score 99.7%, zebra with 99.9%, giraffe with score 97.1% and zebra with score 99.8%. The bounding boxes are shown in the above image. I performed image classification, object detection and image caption on this image. Combining the predictions of Antpeonnet/vit-gpt2-imagecaptioning, 😕 facebook/detr-resnet-101 and 😕 google/vit models, I get the results for you.



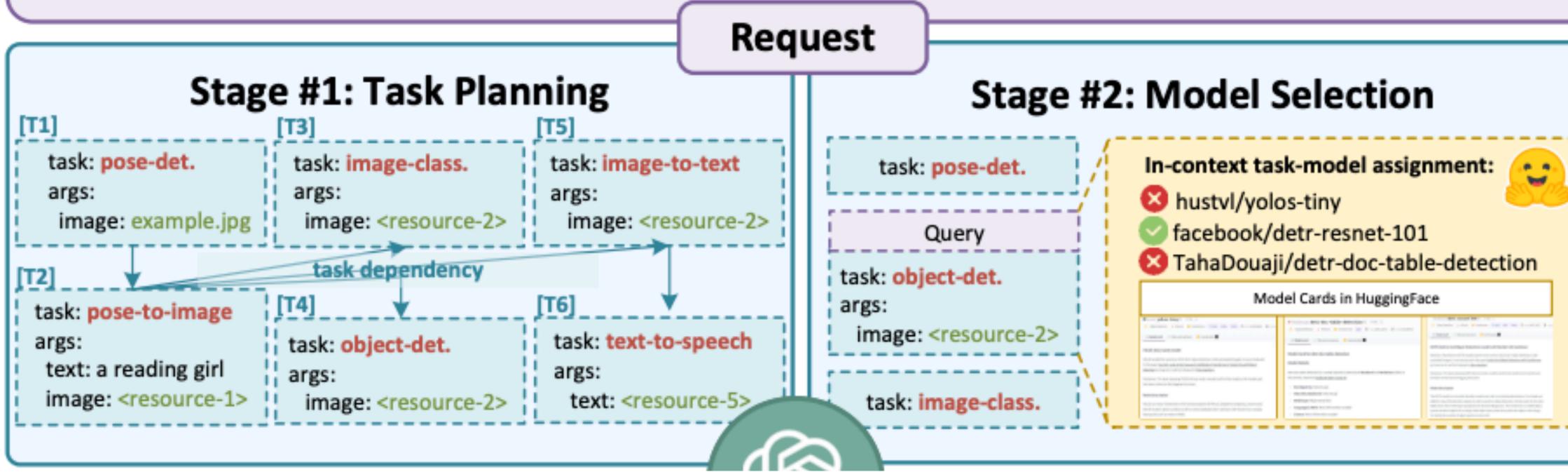




fed that image, specifically located at [Image-1], into the model to get an output image of the pose, located at [Image-2]. Then I take that pose and generate a new image, located at [Image-3], using 😕 Illyasviel/sd-controlnet-openpo and the instruction "a girl is reading". Next, I ran object detection on the image generated in the previous step, to get predictions of the objects present in the image, including the box location. These predictions were run through the 😕 facebook/detr-resnet-101 model. The box was drawn on the image, which is located at [Image-4]. Then, I used the predictions of a image classification model 😕 google/vit-base-patch16-224 and a image caption model a model to generate the caption for newly generated image. It generated text: "a girl sitting on a bed pconnect/vit-gpt2-image-captioni 81 reading a book". Lastly, I ran the 😕 facebook/fastspeech2-en-Ijspeech model to convert the generated text into audio, located at [Audio-1].

Please generate an image where a girl is reading a book, and her pose is the same as the boy in the image example.jpg, then please describe the new image with your voice.

Please generate an image where a girl is reading a book, and her pose is the same as the boy in the image example.jpg, then please describe the new image with your voice.





#### Task planning: figure out what task we want to solve, its id, dependencies, and **arguments** that are needed.

#1 Task Planning Stage - The AI assistant performs task parsing on user input, generating a list of tasks with the following format: [{"task": task, "id", task\_id, "dep": dependency\_task\_ids, "args": {"text": text, "image": URL, "audio": URL, "video": URL}}]. The "dep" field denotes the id of the previous task which generates a new resource upon which the current task relies. The tag "<resource>-task\_id" represents the generated text, image, audio, or video from the dependency task with the corresponding task\_id. The task must be selected from the following options: {{ *Available Task List* }}. Please note that there exists a logical connections and order between the tasks. In case the user input cannot be parsed, an empty JSON response should be provided. Here are several cases for your reference: {{ *Demonstrations* }}. To assist with task planning, the chat history is available as {{ *Chat Logs* }}, where you can trace the user-mentioned resources and incorporate them into the task planning stage.

Can you tell me how objects in e1.jpg?

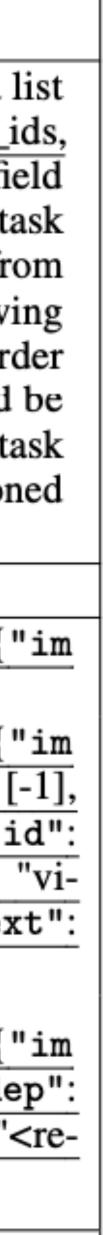
In e2.jpg, what's the and what's it doing?

First generate a HED of e3.jpg, then based HED image and a girl reading a book", a new image as a res

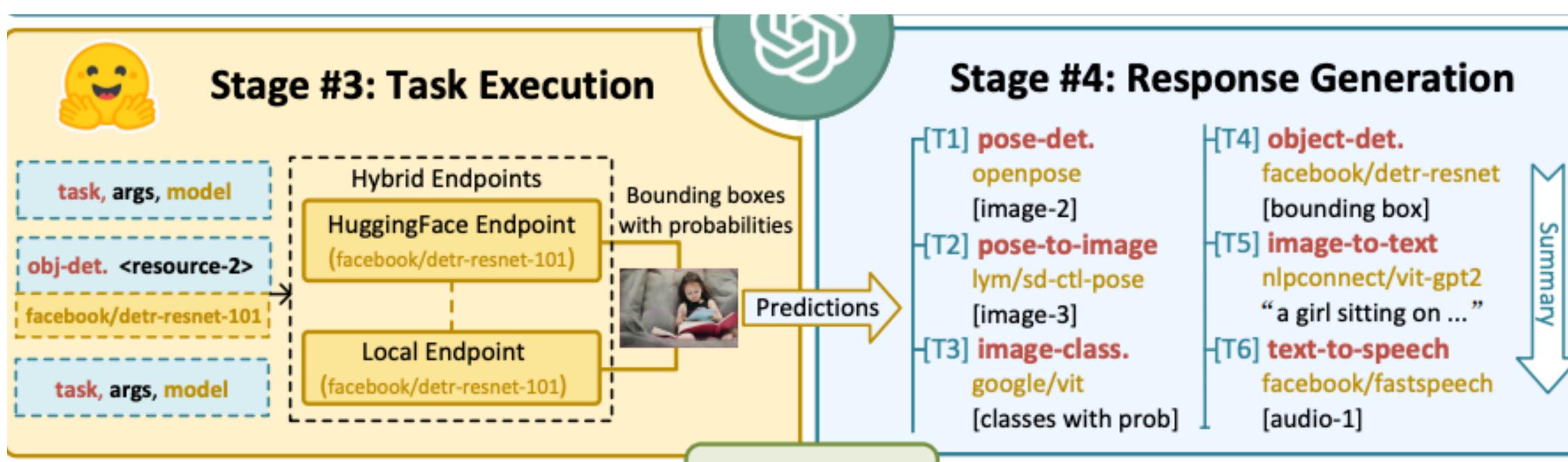
Task Planning

#### Prompt

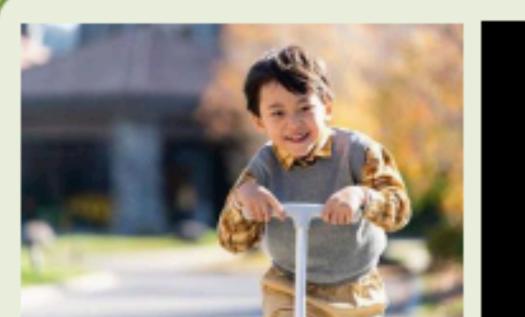
	Demonstrations
w many	[{"task": "object-detection", "id": 0, "dep": [-1], "args": {"
	age": "e1.jpg" }}]
	[{"task": "image-to-text", "id": 0, "dep":[-1], "args": {"
	age": "e2.jpg" }}, {"task":"image-cls", "id": 1, "dep": [
e animal	"args": {"image": "e2.jpg" }}, {"task":"object-detection", "i
?	2, "dep": [-1], "args": {"image": "e2.jpg" }}, {"task":
	<pre>sual-quesrion-answering", "id": 3, "dep":[-1], "args": {"tex</pre>
	"what's the animal doing?", "image": "e2.jpg" }}]
D image d on the	[{"task": "pose-detection", "id": 0, "dep": [-1], "args": {"
text "a	<pre>age": "e3.jpg" }}, {"task": "pose-text-to-image", "id": 1, "de</pre>
', create	[0], "args": {"text": "a girl reading a book", "image": "
sponse.	source>-0" }}]

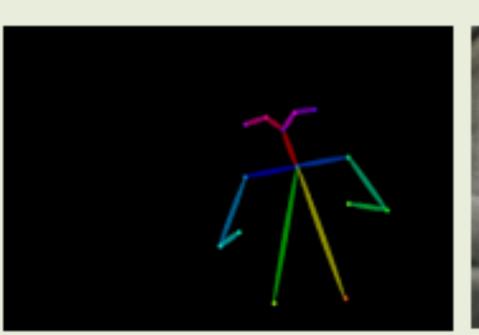


Please generate an image where a girl is reading a book, and her pose is the same as the boy in the image example.jpg, then please describe the new image with your voice.











The image you gave me is of "boy". The first thing I did was use 😕 OpenCV's openpose model to analyze the pose of the boy in the image. I fed that image, specifically located at [Image-1], into the model to get an output image of the pose, located at [Image-2]. Then I take that pose and generate a new image, located at [Image-3], using 😕 Illyasviel/sd-controlnet-openpose and the instruction "a girl is reading". Next, I ran object detection on the image generated in the previous step, to get predictions of the objects present in the image, including the box location. These predictions were run through the 😕 facebook/detr-resnet-101 model. The box was drawn on the image, which is located at [Image-4]. Then, I used the predictions of a image classification model 😕 google/vit-base-patch16-224 and a image caption model a 🔁 nlpconnect/vit-gpt2-image-captionin model to generate the caption for newly generated image. It generated text: "a girl sitting on a bed reading a book". Lastly, I ran the 😫 facebook/fastspeech2-en-ljspeech model to convert the generated text into audio, located at [Audio-1].

#### Response

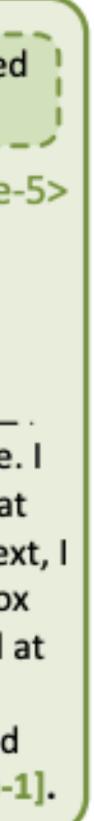




a girl sitting on a bed reading a book [Text-1] = <resource-5>

[Audio-1]

[Image-4]



Selection

Model

**Model selection**: figure out what model to invoke

. . .

#2 Model Selection Stage - Given the user request and the call command, the AI assistant helps the user to select a suitable model from a list of models to process the user request. The AI assistant merely outputs the model id of the most appropriate model. The output must be in a strict JSON format: {"id": "id", "reason": "your detail reason for the choice"}. We have a list of models for you to choose from {{ *Candidate Models* }}. Please select one model from the list. Candidate Models

{"model\_id": model id #1, "metadata": meta-info #1, "description": description of model #1} {"model\_id": model id #2, "metadata": meta-info #2, "description": description of model #2}

 $\{"model_id": model id \#K, "metadata": meta-info \#K, "description": description of model <math>\#K\}$ 

. . .

. . .

HuggingGPT: Solving AI Tasks with ChatGPT and its Friends in Hugging Face [Shen et al, 2023]

### Prompt

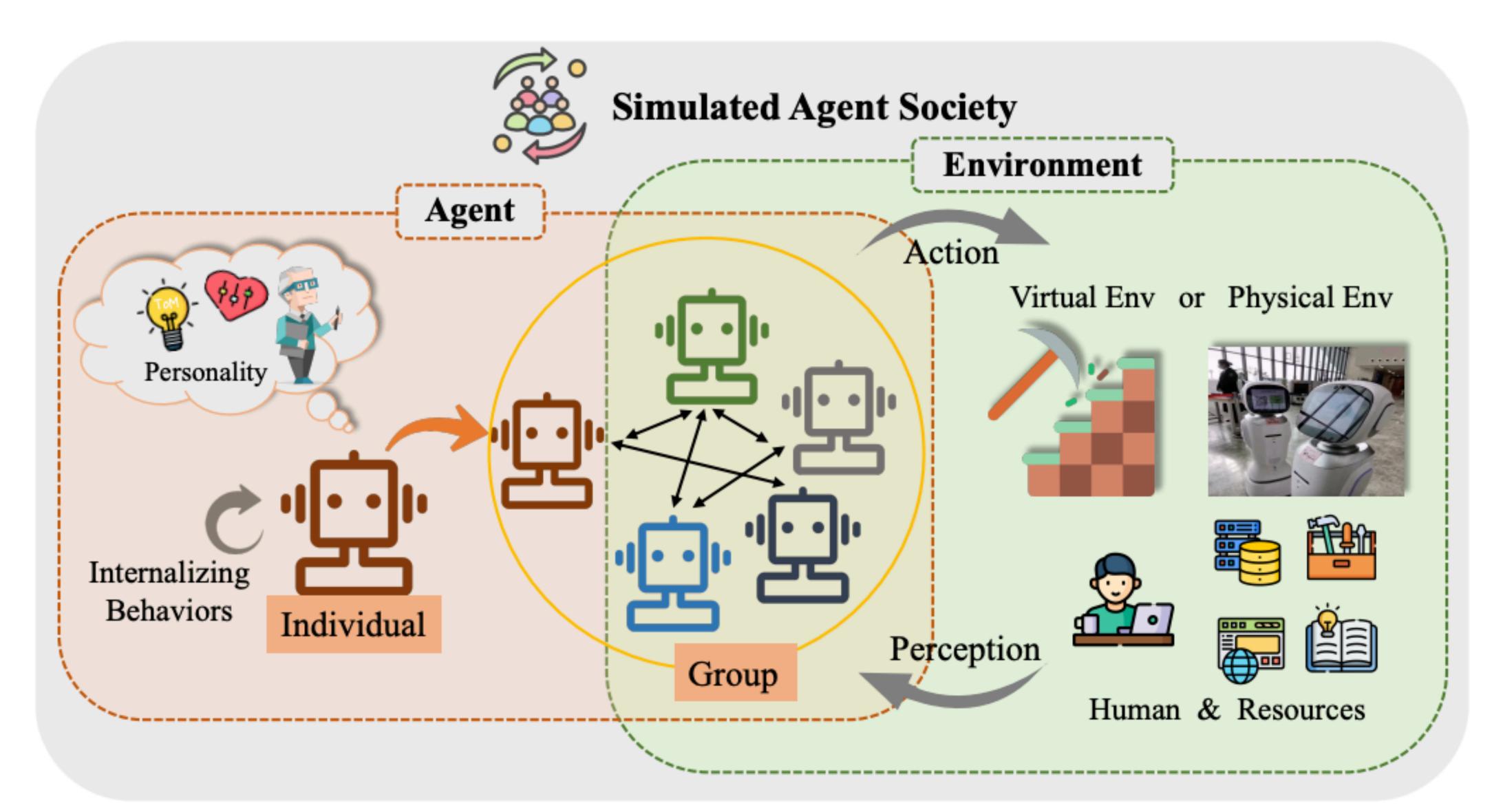
**Response generation**: respond to user the process and results

Response Generation Prompt #4 Response Generation Stage - With the input and the inference results, the AI assistant needs to describe the process and results. The previous stages can be formed as - User Input: {{ User Input }}, Task Planning: {{ Tasks }}, Model Selection: {{ Model Assignment }}, Task Execution: {{ *Predictions* }}. You must first answer the user's request in a straightforward manner. Then describe the task process and show your analysis and model inference results to the user in the first person. If inference results contain a file path, must tell the user the complete file path. If there is nothing in the results, please tell me you can't make it.





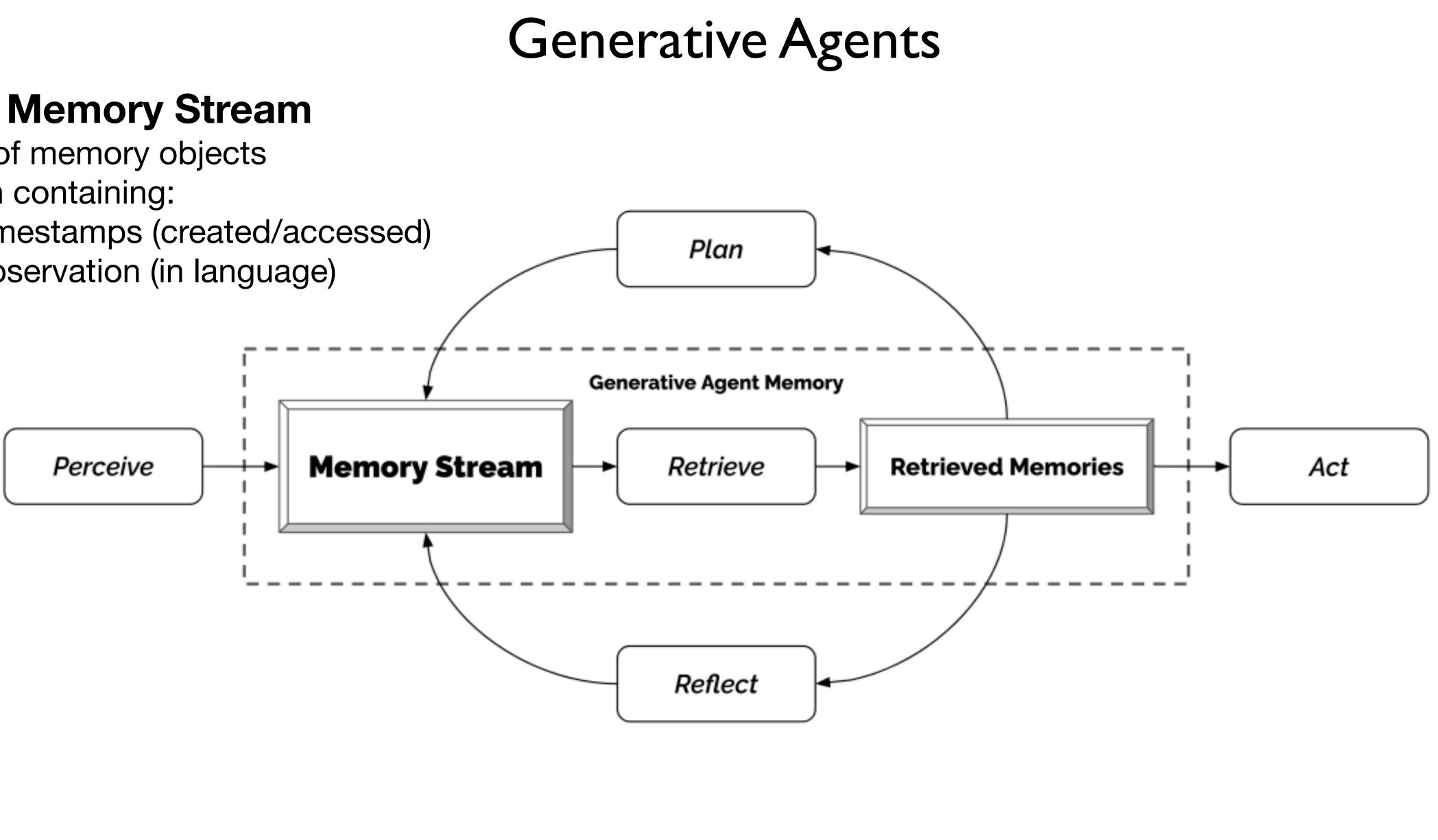
### Virtual worlds



The Rise and Potential of Large Language Model Based Agents: A Survey [Xi et al, 2023]



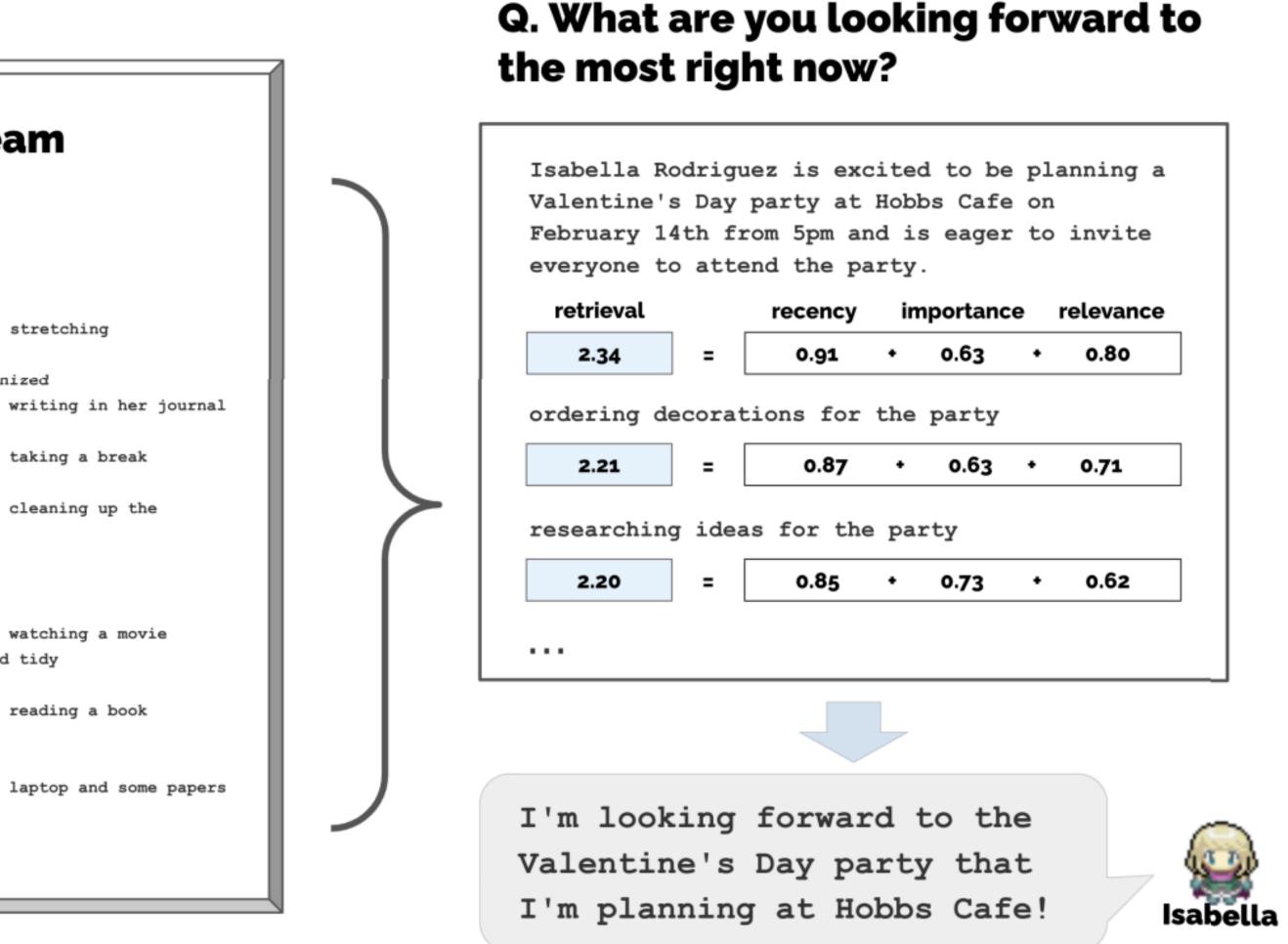
- List of memory objects
- Each containing: \_
  - Timestamps (created/accessed)
  - Observation (in language)



### **Retrieval extracts** subset of memories based on: **Recency**: exponential decay **Importance**: ask LLM to output score **Relevance**: cosine similarity of memory and query embeddings

#### Memory Stream

2023-02-13	22:48:20:	desk is idle
2023-02-13	22:48:20:	bed is idle
2023-02-13	22:48:10:	closet is idle
2023-02-13	22:48:10:	refrigerator is idle
2023-02-13	22:48:10:	Isabella Rodriguez is
2023-02-13	22:33:30:	shelf is idle
2023-02-13	22:33:30:	desk is neat and organ
2023-02-13	22:33:10:	Isabella Rodriguez is
2023-02-13	22:18:10:	desk is idle
2023-02-13	22:18:10:	Isabella Rodriguez is
2023-02-13	21:49:00:	bed is idle
2023-02-13	21:48:50:	Isabella Rodriguez is
kitchen		
2023-02-13	21:48:50:	refrigerator is idle
2023-02-13	21:48:50:	bed is being used
2023-02-13	21:48:10:	shelf is idle
2023-02-13	21:48:10:	Isabella Rodriguez is
2023-02-13	21:19:10:	shelf is organized and
2023-02-13	21:18:10:	desk is idle
2023-02-13	21:18:10:	Isabella Rodriguez is
2023-02-13	21:03:40:	bed is idle
2023-02-13	21:03:30:	refrigerator is idle
2023-02-13	21:03:30:	desk is in use with a
on it		



**Reflection:** additional memory that is synthesized from previous memories (generated periodically)

- Prompt LLM with 100 most on gentrification recent observations 3. Klaus Mueller is conversing with Ayesha Khan about exercising [...]
- Use to to generate 3 questions from which relevant memories are extracted
- LLM then prompted to extract insights from the memories

- Statements about Klaus Mueller
- 1. Klaus Mueller is writing a research paper
- 2. Klaus Mueller enjoys reading a book

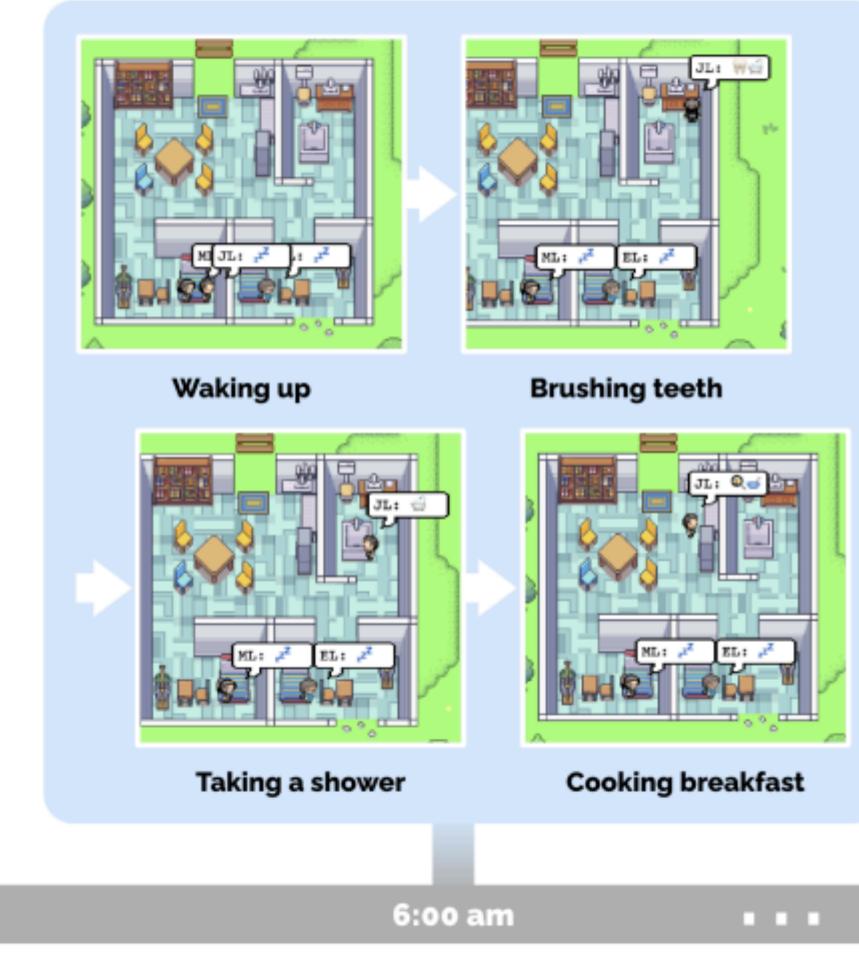
- What 5 high-level insights can you infer from the above statements? (example format: insight (because of 1, 5, 3))

- Generates rough-plan from agent's summary description and summary of previous day and has LLM complete is
- Converse as they interact with each other (conditioned on memories) about each other)
- LLM then prompted to extract insights from the memories

<u>Generative Agents: Interactive Simulacra of Human Behavior</u> [Park et al, 2023]

**Planning and reacting:** converts memories and observations into actions

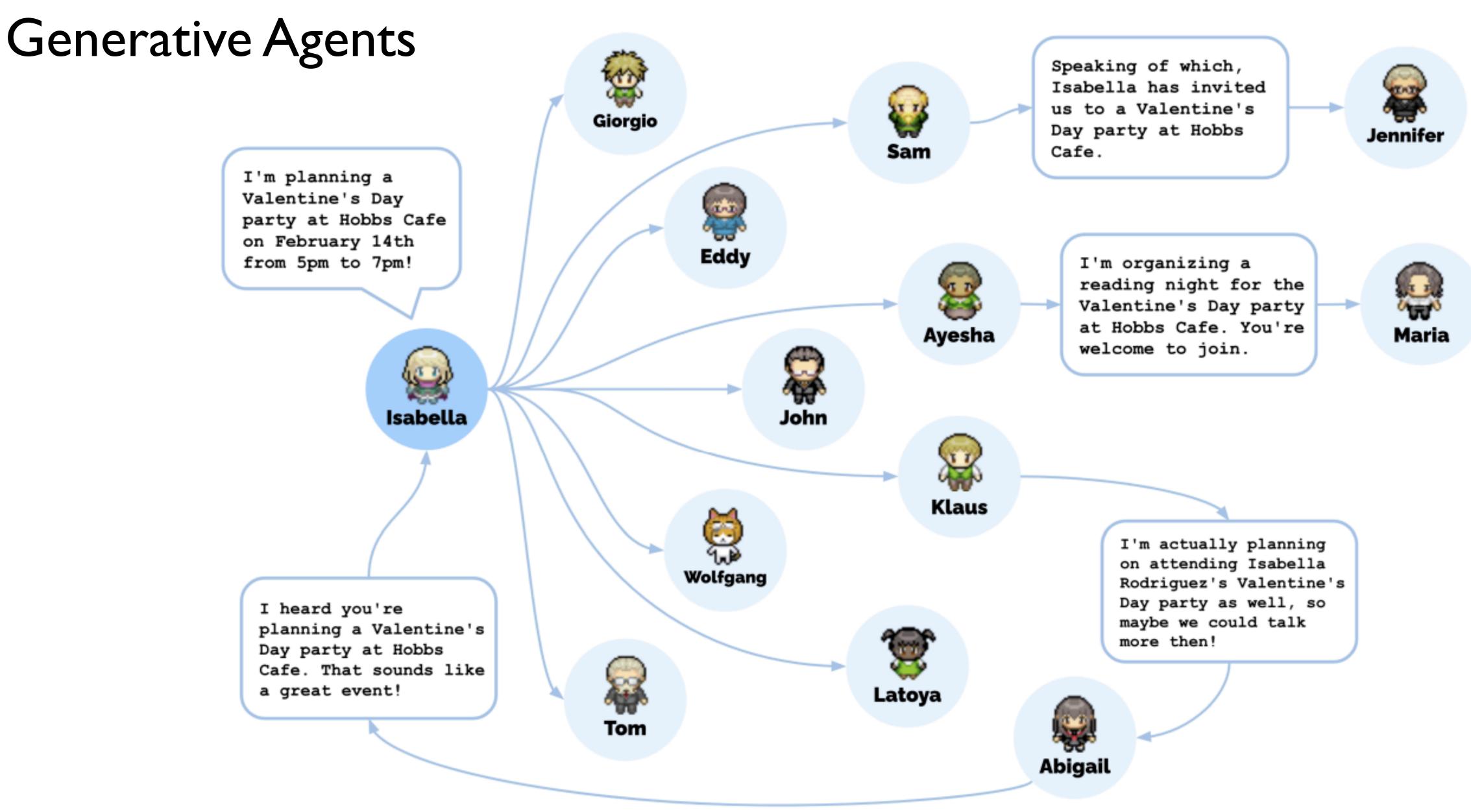
#### **Morning routine**



### Generative Agents: Interactive Simulacra of Human Behavior [Park et al, 2023]

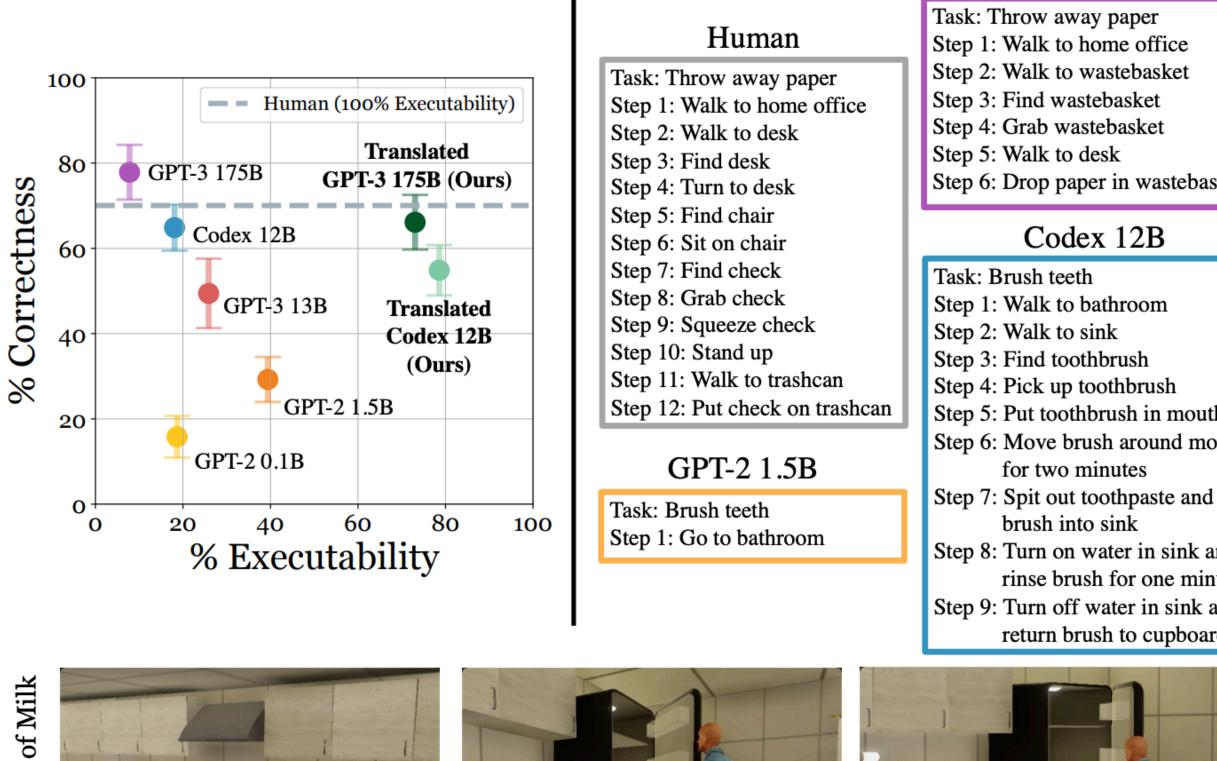
#### **Catching up Beginning workday** 8000 6000 EL: 🛁 JL: 💬 E ML: JL: 😂 Packing ML: 💼 EL: 8:00 am 7:45 am 7:30 am



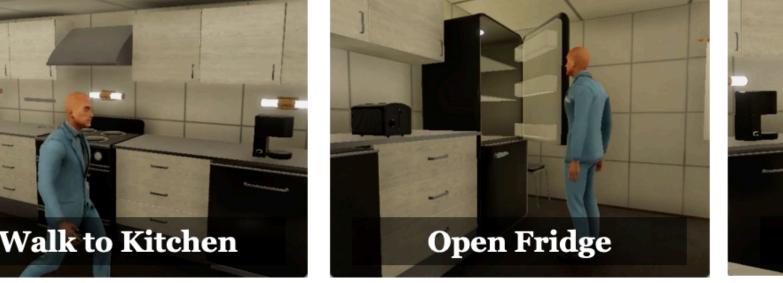


# Agents in more realistic scenarios (robotics and embodied AI)

## Planning via large language models



k: Get Glass of Milk Tas



Language Models as Zero-Shot Planners: Extracting Actionable Knowledge for Embodied Agents [Huang et al. ICML 202 https://wenlong.page/language-planner/ Rerkelev Google Brain





#### GPT-3 175B

Task: Throw away paper Step 1: Walk to home office Step 2: Walk to wastebasket Step 3: Find wastebasket Step 4: Grab wastebasket Step 5: Walk to desk Step 6: Drop paper in wastebasket

#### Codex 12B

- Step 1: Walk to bathroom Step 2: Walk to sink Step 3: Find toothbrush Step 4: Pick up toothbrush Step 5: Put toothbrush in mouth
- Step 6: Move brush around mouth
  - for two minutes
  - brush into sink
- Step 8: Turn on water in sink and rinse brush for one minute Step 9: Turn off water in sink and return brush to cupboard

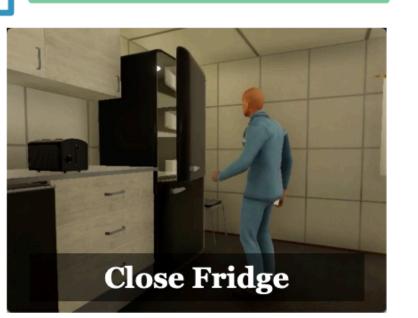
#### Translated GPT-3 175B

Task: Throw away paper Step 1: Walk to home office Step 2: Walk to table Step 3: Find table Step 4: Turn to table Step 5: Find paper Step 6: Grab paper Step 7: Walk to trashcan Step 8: Open trashcan Step 9: Put paper on trashcan Step 10: Close trashcan

#### Translated Codex 12B

- Task: Brush teeth
- Step 1: Walk to bathroom
- Step 2: Open door
- Step 3: Walk to sink
- Step 4: Put pot on sink
- Step 5: Put brush on toothbrush
- Step 6: Turn to toothpaste
- Step 7: Put toothpaste on toothbrush
- Step 8: Put teeth on toothbrush





### Throw away paper

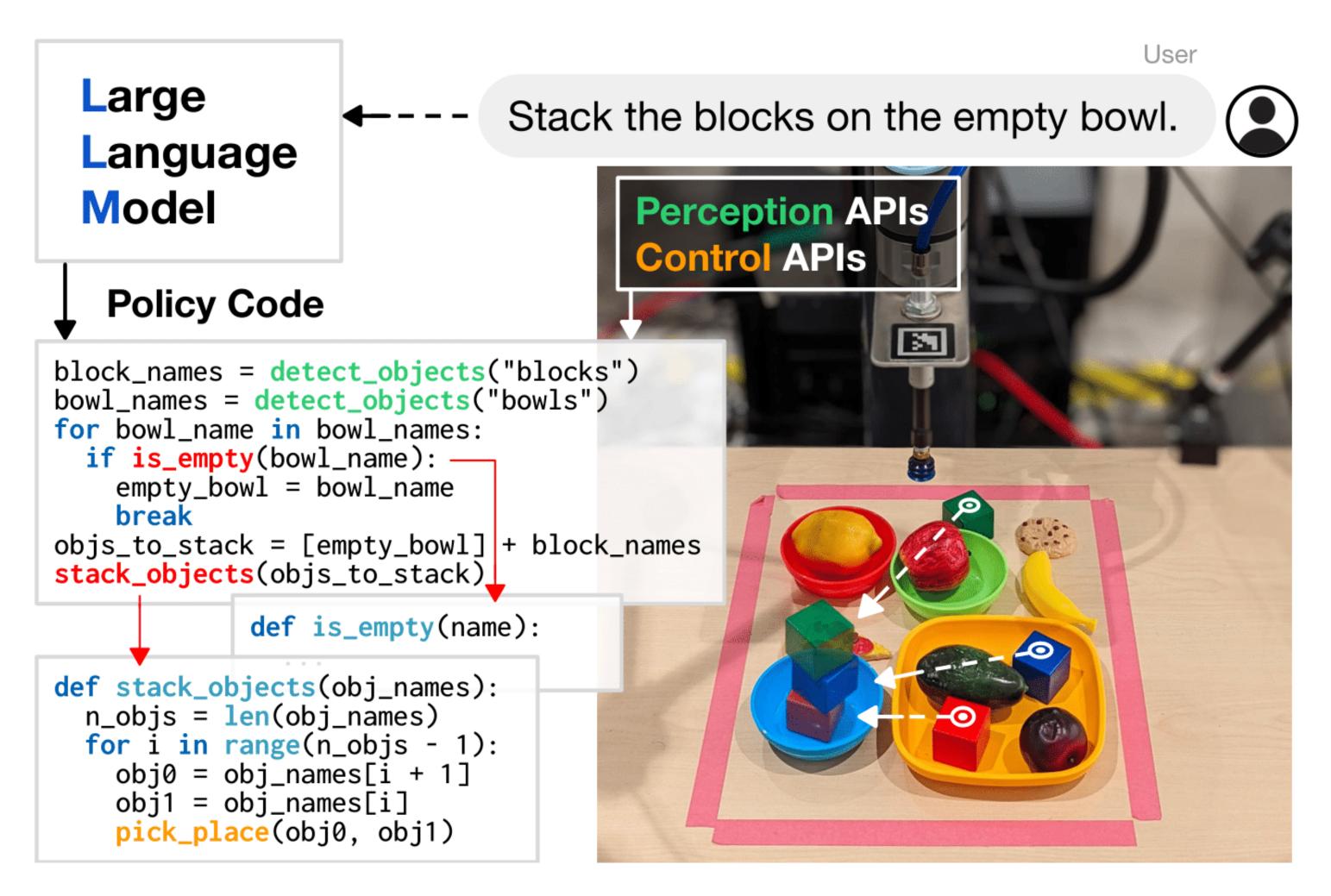
### Brush teeth

### Get Glass of Milk



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	4	J

### Control by code generation using LLMs



Code as Policies: Language Model Programs for Embodied Control [Liang et al. 2022] https://code-as-policies.github.io/



## Combining perception with planning

Instruction Relevance with LLMs

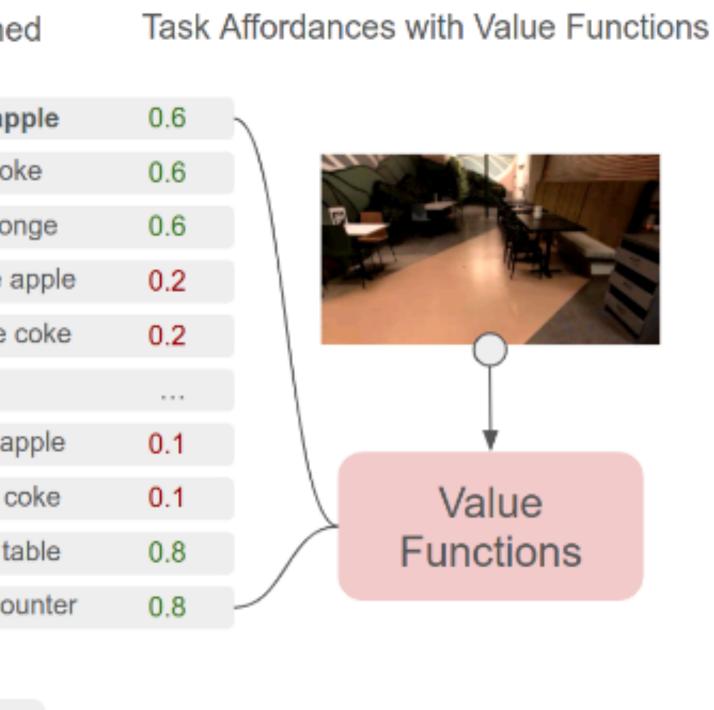
Combined

How would you put an apple on the table?	How would you put		-6	Find an ap
		-30	Find a co	
	table?		-30	Find a spo
	Lwould: 1		-4	Pick up the a
I would: 1			-30	Pick up the
	$\diamond$		-5	Place the a
	+		-30	Place the c
	LLM	4 1	-10	Go to the ta
		$\sim$	-20	Go to the co

I would: 1. Find an apple, 2.

Do As I Can, Not As I Say: Grounding Language in Robotic Affordances [Ahn et al. CORL 2022] https://say-can.github.io/

Le Everyday Robots

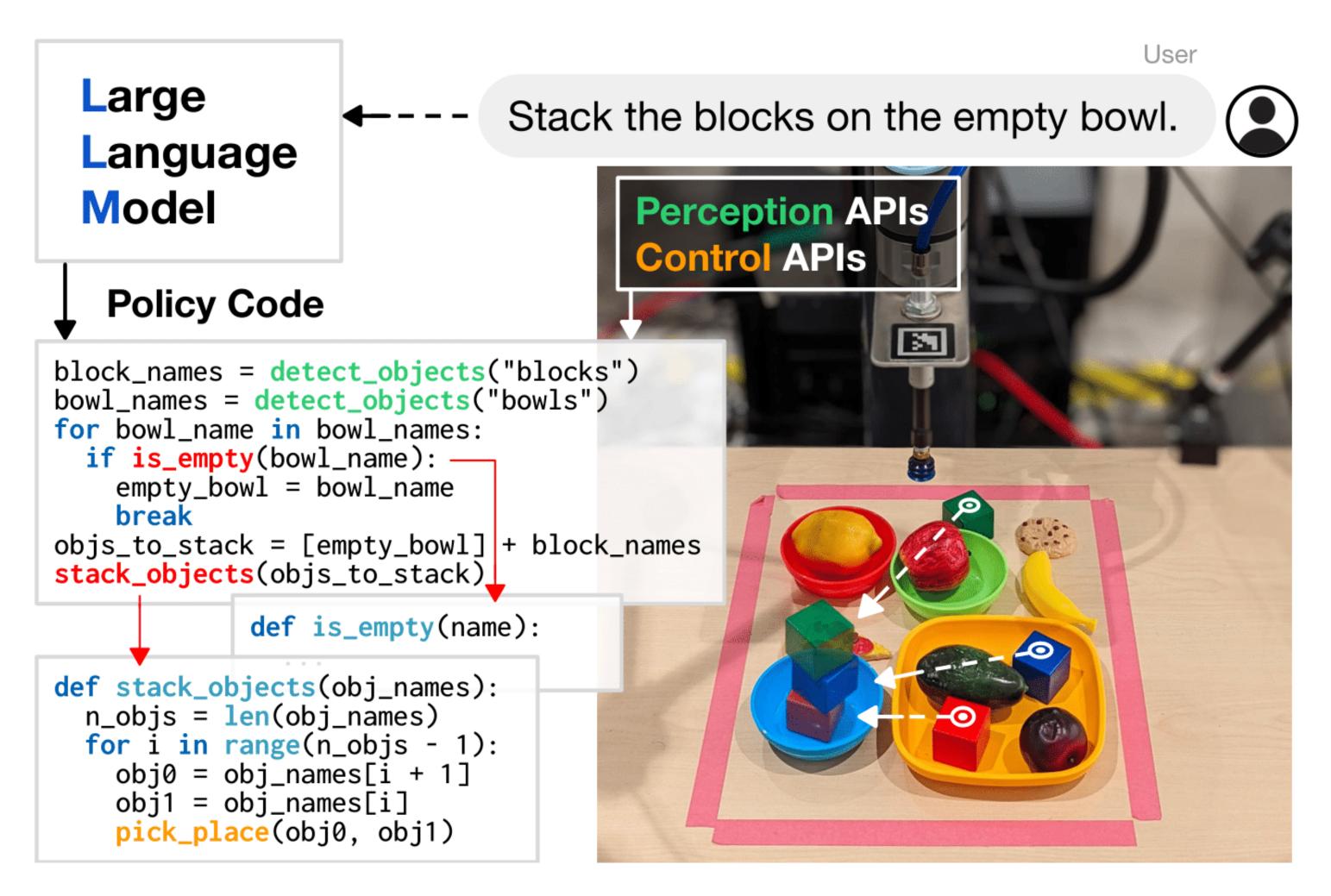


Use perception to determine what is possible





### Control by code generation using LLMs



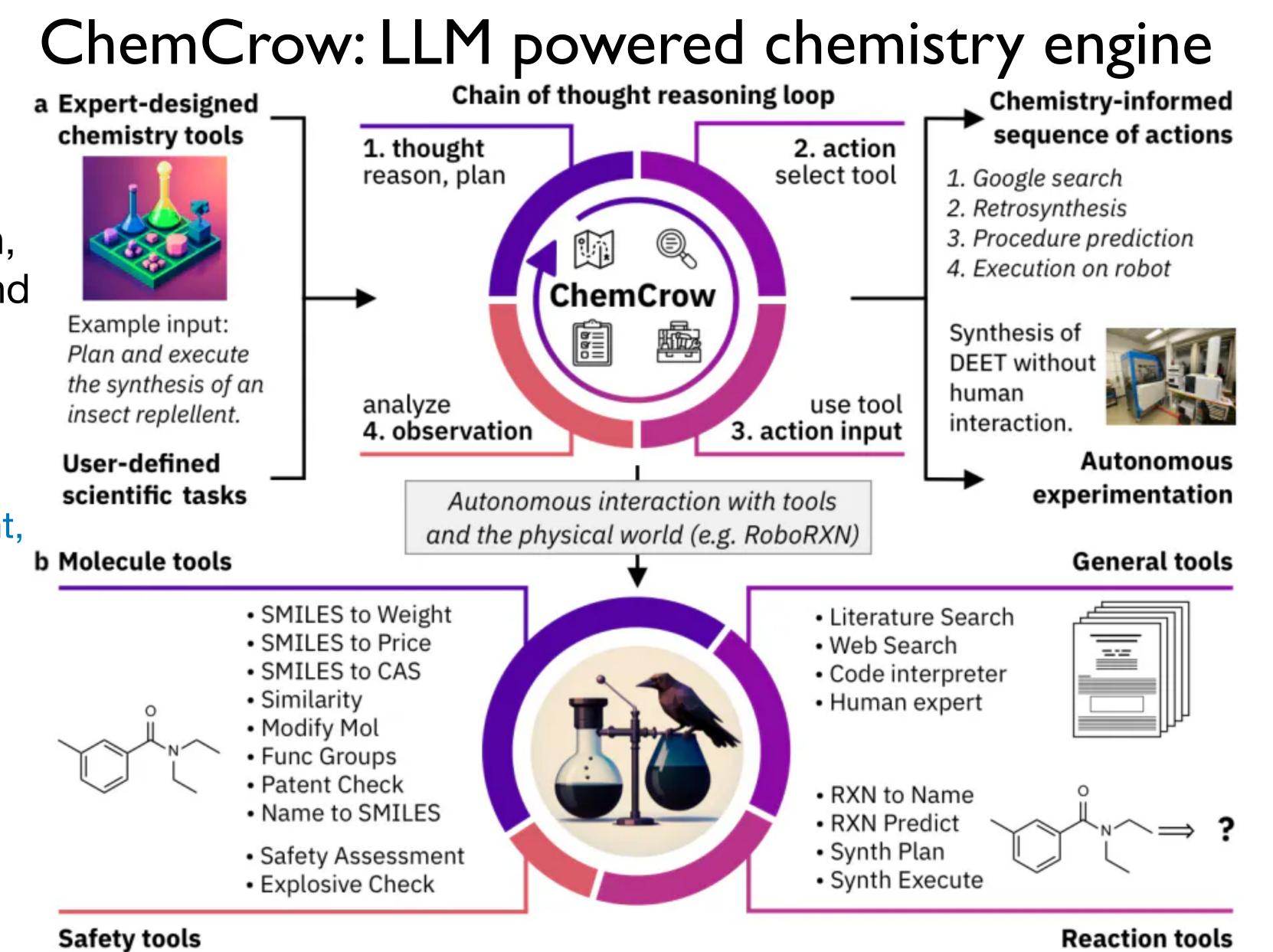
Code as Policies: Language Model Programs for Embodied Control [Liang et al. 2022] https://code-as-policies.github.io/



### Practical applications

List of tools with name, description, expected input and output

Use ReAct style prompting: thought, action, action input, observation



<u>ChemCrow: Augmenting large-language models with chemistry tools</u> [Bran et al, 2023]