



CMPT 413/713: Natural Language Processing

# Parameter efficient fine-tuning

Spring 2024  
2024-03-11

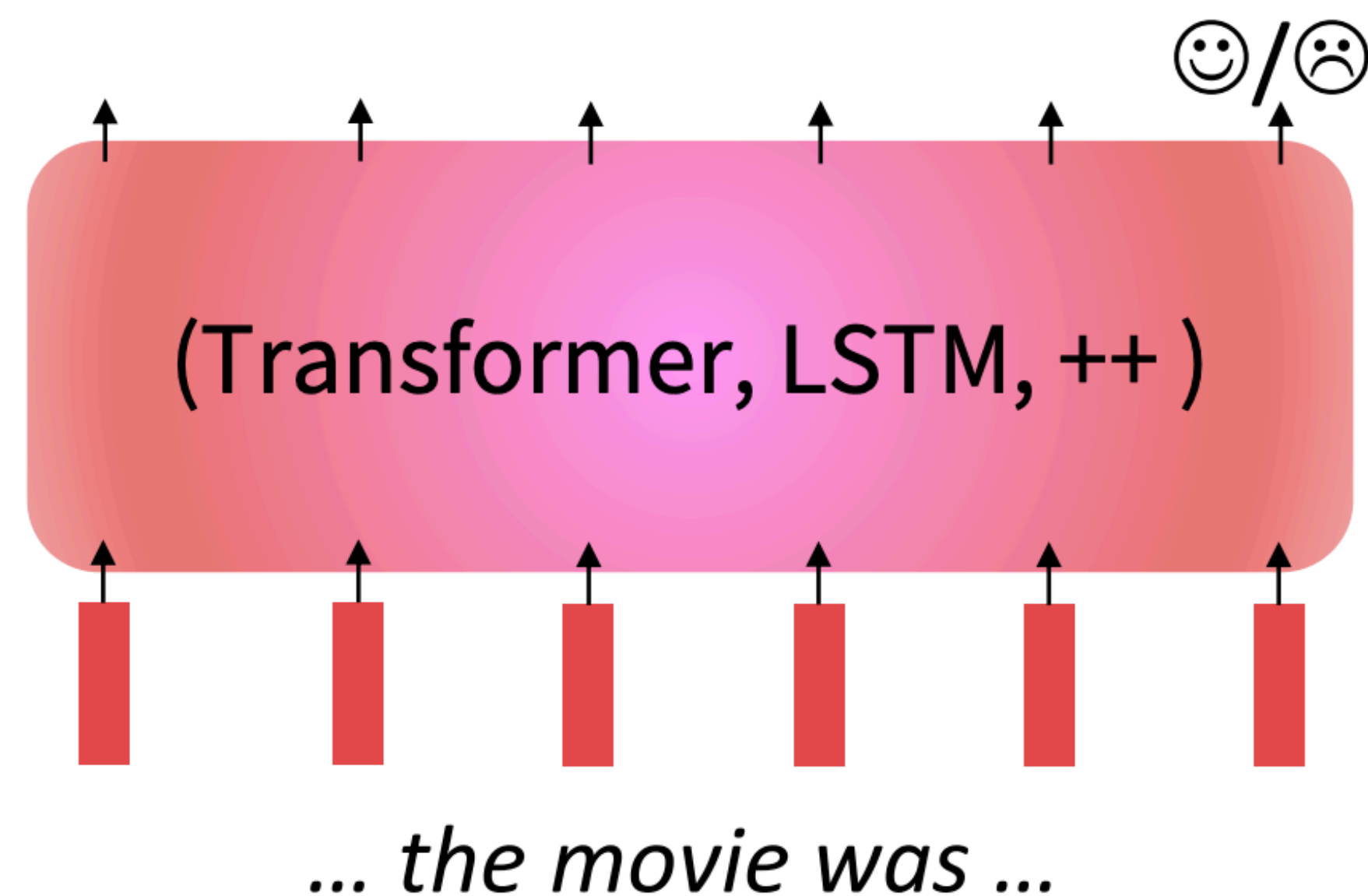
Slides adapted from Anoop Sarkar

# Full finetuning vs parameter efficient fine-tuning

- Finetuning every parameter in a pretrained model works well, but is memory-intensive.
- **Lightweight** finetuning methods adapt pretrained models in a constrained way.
- Leads to **less overfitting** and/or **more efficient finetuning and inference**.

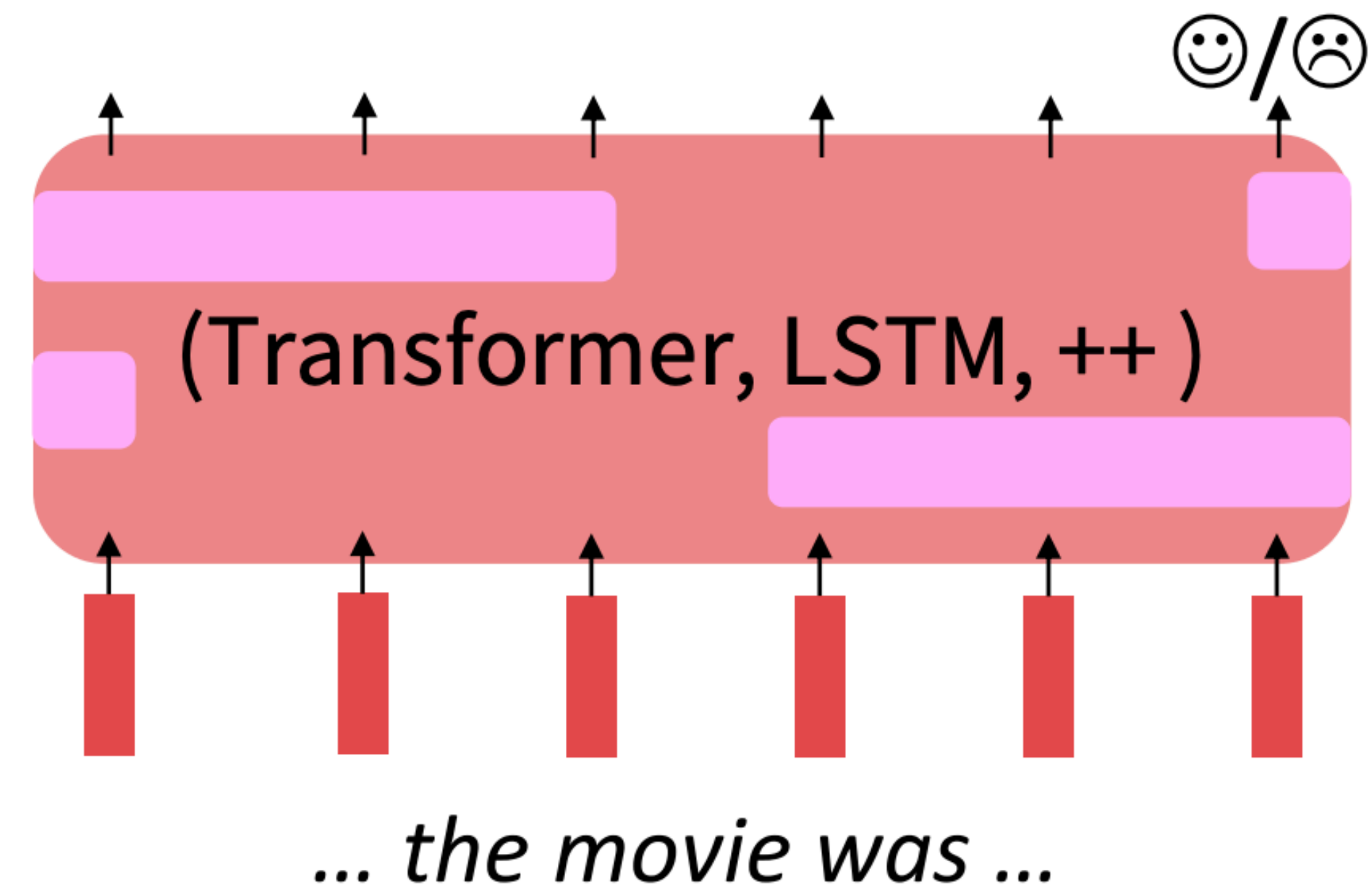
## Full Finetuning

Adapt all parameters



## Lightweight Finetuning

Train a few existing or new parameters

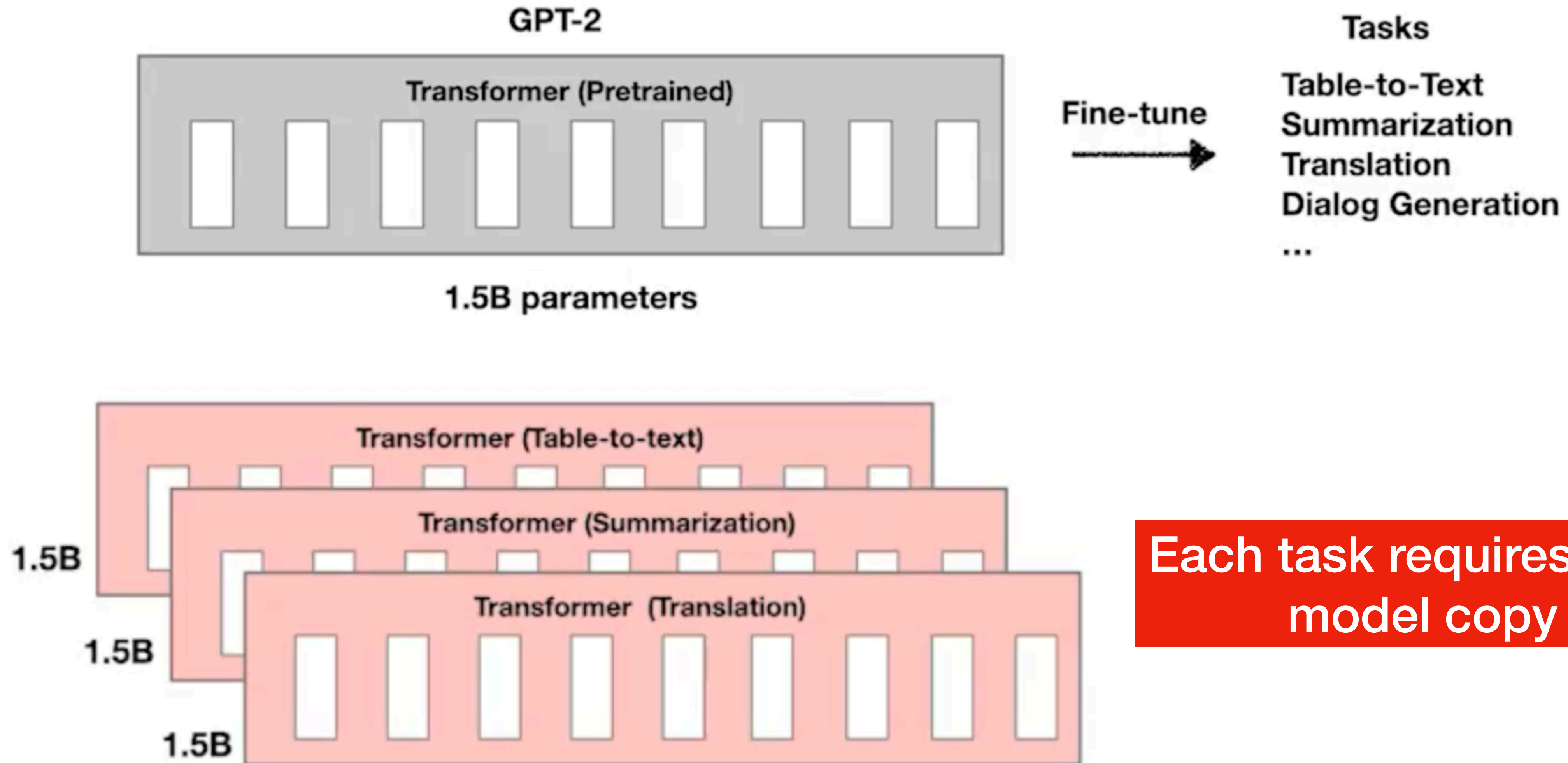


# Prefix Tuning

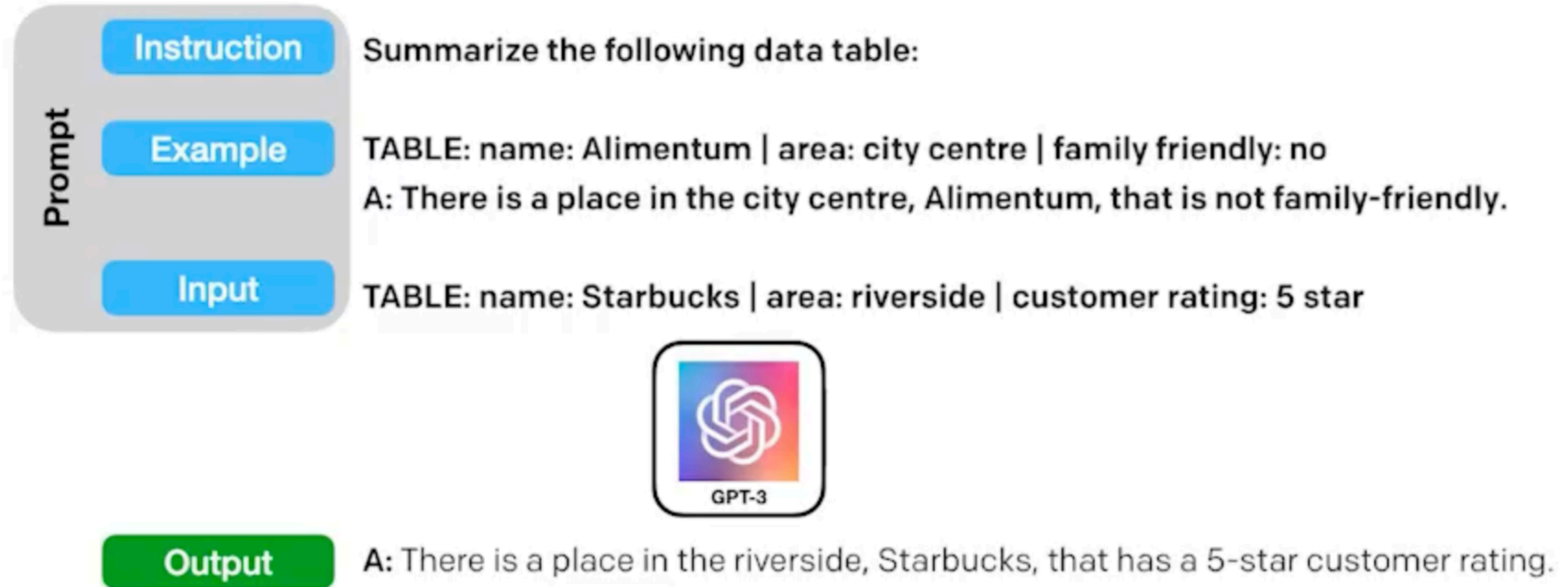
Li and Liang, ACL 2021

<https://aclanthology.org/2021.acl-long.353>

# Why not just use fine-tuning



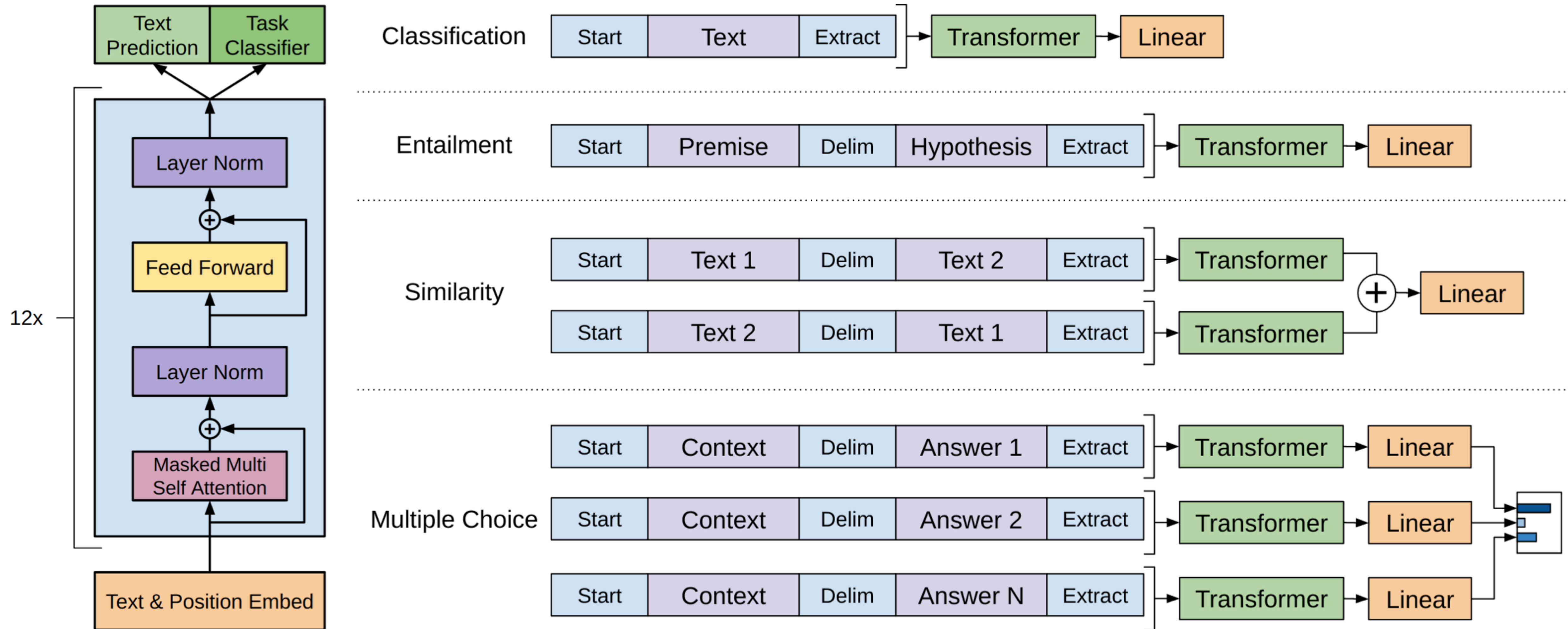
# In-context learning using prompts



- No task specific fine-tuning
- Preserves the LM

- Cannot use large training set
- Manual prompts can be suboptimal
- Cannot be used with smaller LMs like GPT-2

# In-context learning using prompts



# In-context learning using prompts

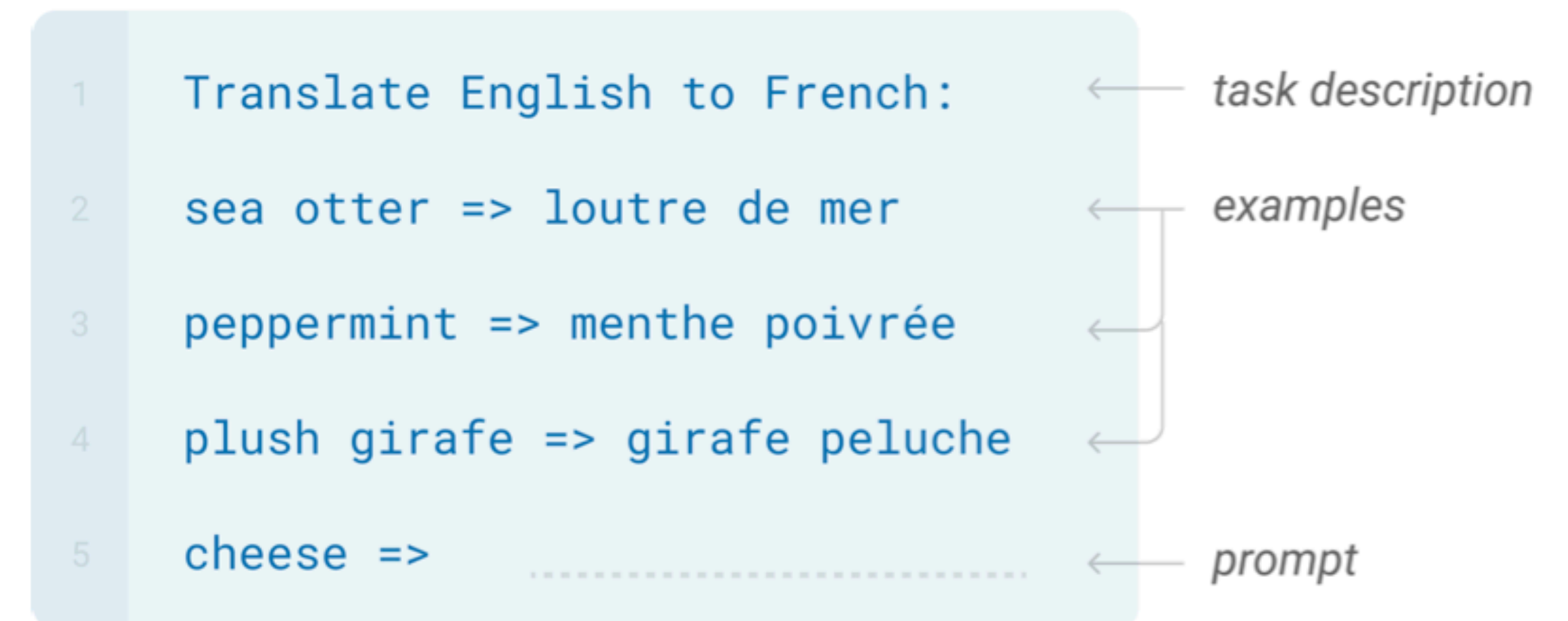
## Zero-shot

The model predicts the answer given only a natural language description of the task. No gradient updates are performed.



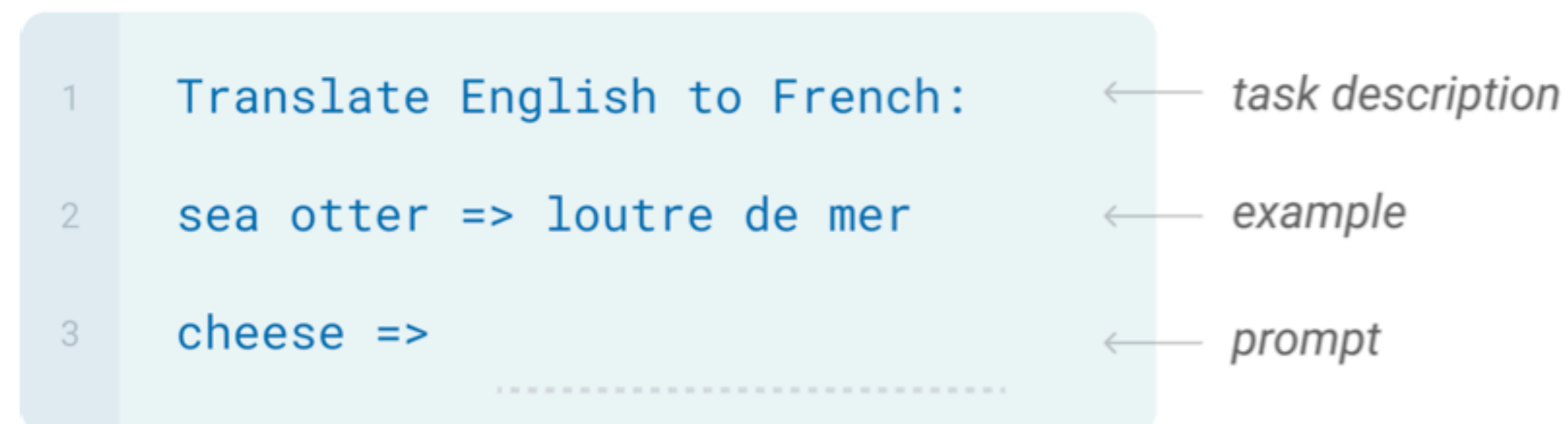
## Few-shot

In addition to the task description, the model sees a few examples of the task. No gradient updates are performed.

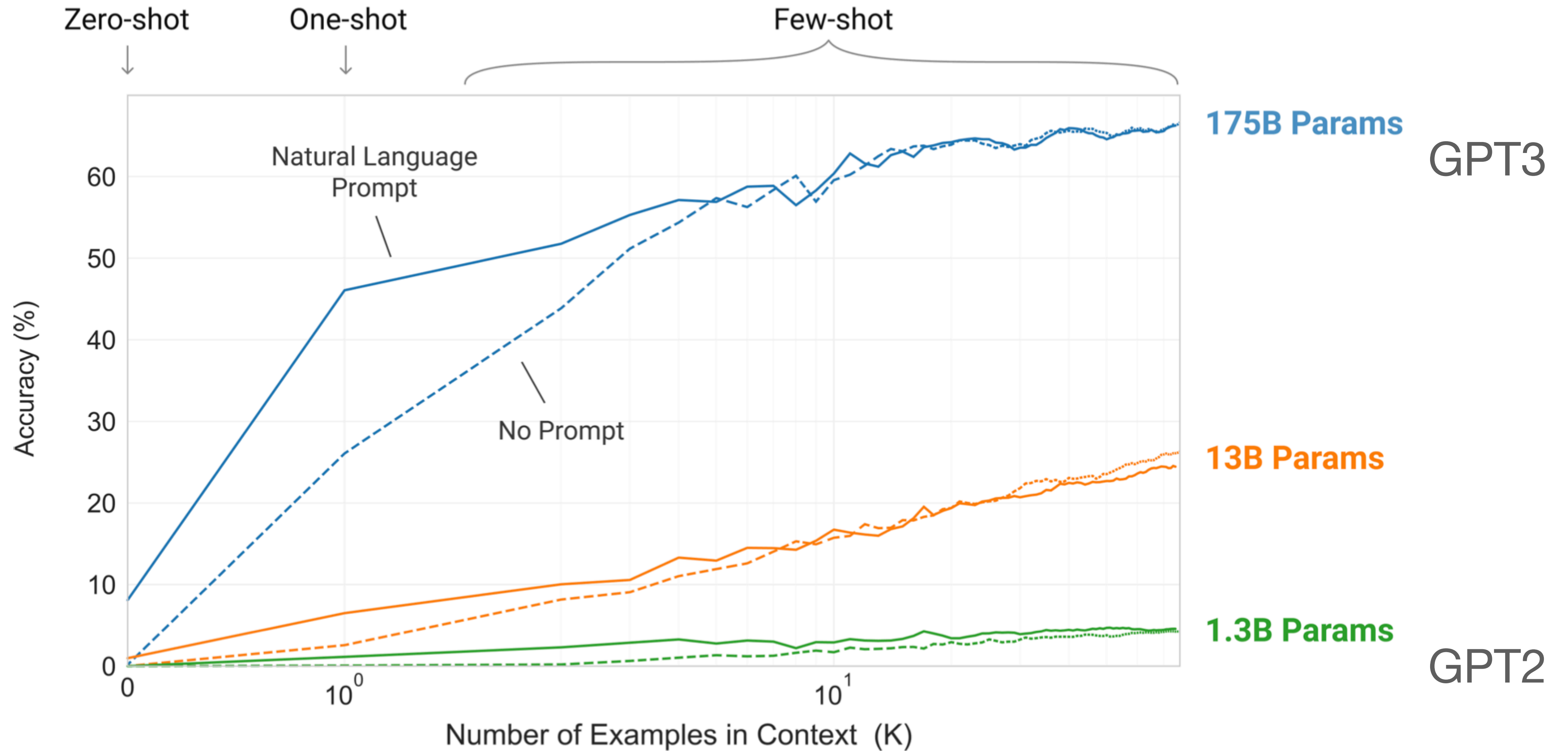


## One-shot

In addition to the task description, the model sees a single example of the task. No gradient updates are performed.



# In-context learning using prompts





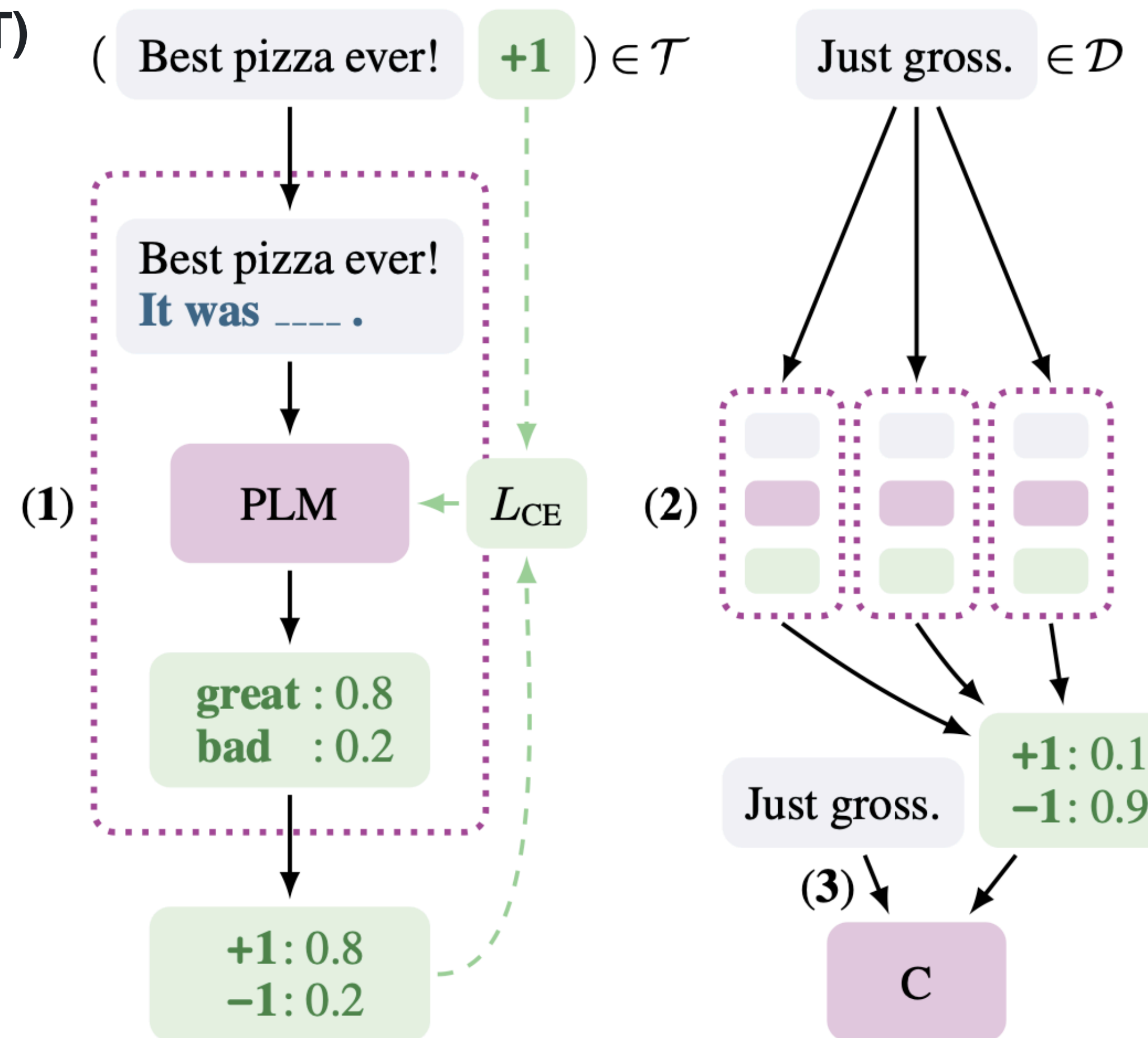
# Prompt tuning: enabling smaller LMs

## iPet: better prompts for each task improves accuracy for small LMs

Pattern-Exploiting Training (PET)  
for sentiment analysis

(1) **Patterns encoding task description** used to convert training examples to cloze questions

Pretrained LM is fine-tuned for each pattern



(2) **Ensemble of trained models** used to annotate unlabeled data

(3) **Classifier trained on resulting soft-labeled dataset**

# Prompt tuning: enabling smaller LMs

## iPet: better prompts for each task improves accuracy for small LMs

iPET: iterative PET - iteratively repeat to generate larger dataset

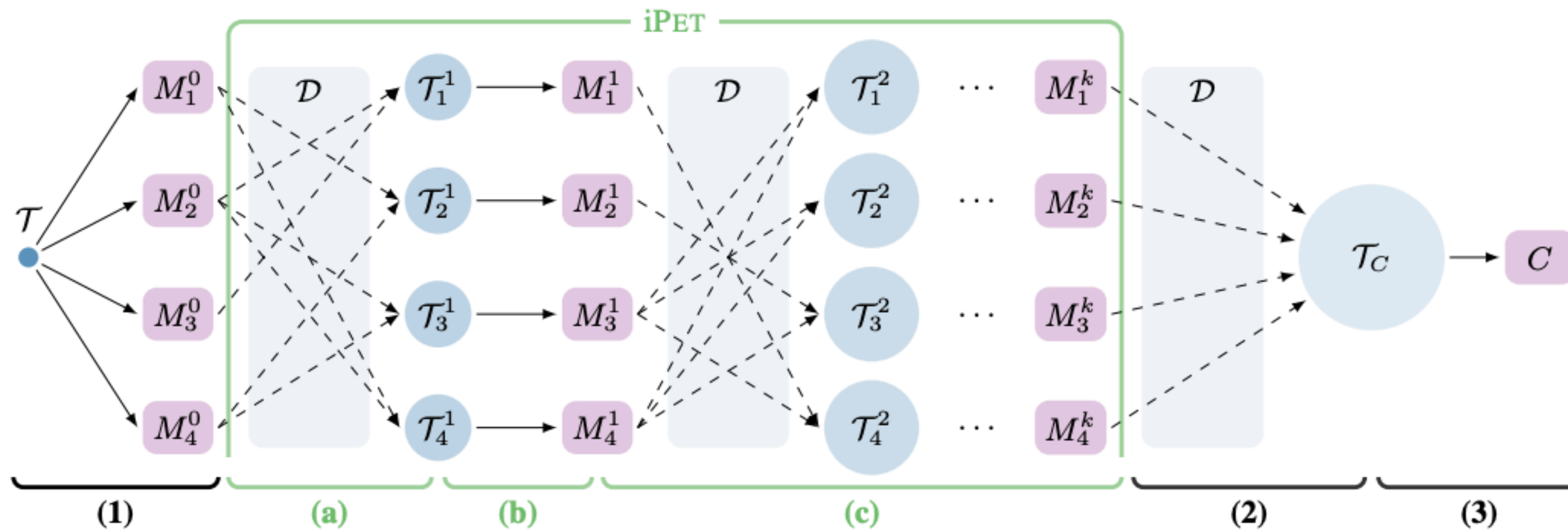


Figure 2: Schematic representation of PET (1-3) and iPET (a-c). **(1)** The initial training set is used to finetune an ensemble of PLMs. **(a)** For each model, a random subset of other models generates a new training set by labeling examples from  $\mathcal{D}$ . **(b)** A new set of PET models is trained using the larger, model-specific datasets. **(c)** The previous two steps are repeated  $k$  times, each time increasing the size of the generated training sets by a factor of  $d$ . **(2)** The final set of models is used to create a soft-labeled dataset  $\mathcal{T}_C$ . **(3)** A classifier  $C$  is trained on this dataset.

# Prompt tuning: enabling smaller LMs

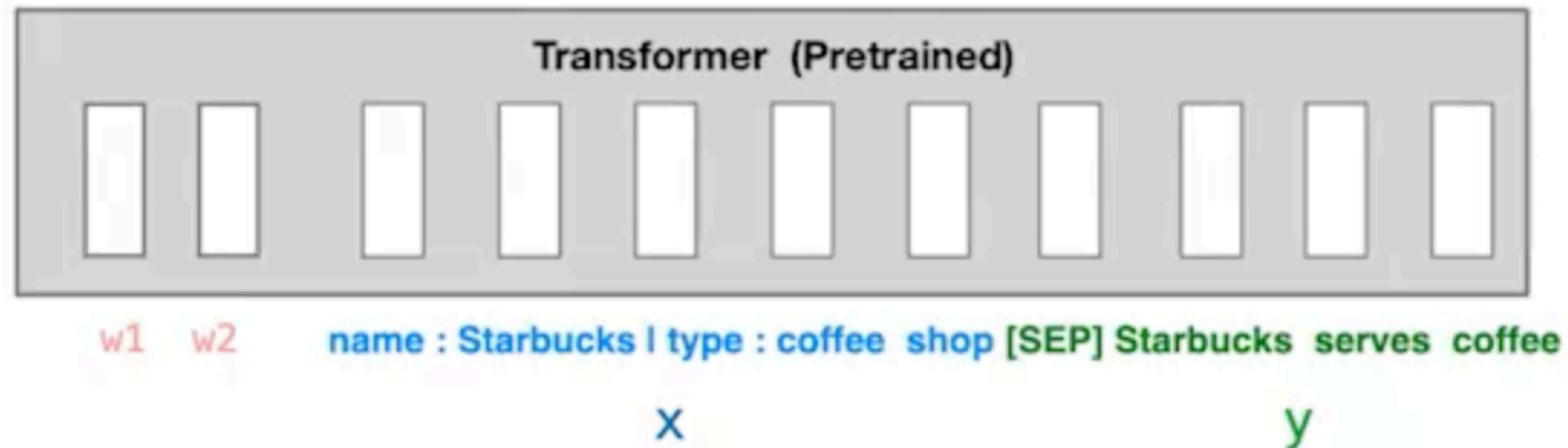
Line	Examples	Method	Yelp	AG's	Yahoo	MNLI (m/mm)
1	$ \mathcal{T}  = 0$	unsupervised (avg)	33.8 $\pm$ 9.6	69.5 $\pm$ 7.2	44.0 $\pm$ 9.1	39.1 $\pm$ 4.3 / 39.8 $\pm$ 5.1
2		unsupervised (max)	40.8 $\pm$ 0.0	79.4 $\pm$ 0.0	56.4 $\pm$ 0.0	43.8 $\pm$ 0.0 / 45.0 $\pm$ 0.0
3		iPET	<b>56.7</b> $\pm$ 0.2	<b>87.5</b> $\pm$ 0.1	<b>70.7</b> $\pm$ 0.1	<b>53.6</b> $\pm$ 0.1 / <b>54.2</b> $\pm$ 0.1
4	$ \mathcal{T}  = 10$	supervised	21.1 $\pm$ 1.6	25.0 $\pm$ 0.1	10.1 $\pm$ 0.1	34.2 $\pm$ 2.1 / 34.1 $\pm$ 2.0
5		PET	52.9 $\pm$ 0.1	87.5 $\pm$ 0.0	63.8 $\pm$ 0.2	41.8 $\pm$ 0.1 / 41.5 $\pm$ 0.2
6		iPET	<b>57.6</b> $\pm$ 0.0	<b>89.3</b> $\pm$ 0.1	<b>70.7</b> $\pm$ 0.1	<b>43.2</b> $\pm$ 0.0 / <b>45.7</b> $\pm$ 0.1
7	$ \mathcal{T}  = 50$	supervised	44.8 $\pm$ 2.7	82.1 $\pm$ 2.5	52.5 $\pm$ 3.1	45.6 $\pm$ 1.8 / 47.6 $\pm$ 2.4
8		PET	60.0 $\pm$ 0.1	86.3 $\pm$ 0.0	66.2 $\pm$ 0.1	63.9 $\pm$ 0.0 / 64.2 $\pm$ 0.0
9		iPET	<b>60.7</b> $\pm$ 0.1	<b>88.4</b> $\pm$ 0.1	<b>69.7</b> $\pm$ 0.0	<b>67.4</b> $\pm$ 0.3 / <b>68.3</b> $\pm$ 0.3
10	$ \mathcal{T}  = 100$	supervised	53.0 $\pm$ 3.1	86.0 $\pm$ 0.7	62.9 $\pm$ 0.9	47.9 $\pm$ 2.8 / 51.2 $\pm$ 2.6
11		PET	61.9 $\pm$ 0.0	88.3 $\pm$ 0.1	69.2 $\pm$ 0.0	74.7 $\pm$ 0.3 / 75.9 $\pm$ 0.4
12		iPET	<b>62.9</b> $\pm$ 0.0	<b>89.6</b> $\pm$ 0.1	<b>71.2</b> $\pm$ 0.1	<b>78.4</b> $\pm$ 0.7 / <b>78.6</b> $\pm$ 0.5
13	$ \mathcal{T}  = 1000$	supervised	63.0 $\pm$ 0.5	<b>86.9</b> $\pm$ 0.4	70.5 $\pm$ 0.3	73.1 $\pm$ 0.2 / 74.8 $\pm$ 0.3
14		PET	<b>64.8</b> $\pm$ 0.1	<b>86.9</b> $\pm$ 0.2	<b>72.7</b> $\pm$ 0.0	<b>85.3</b> $\pm$ 0.2 / <b>85.5</b> $\pm$ 0.4

Table 1: Average accuracy and standard deviation for RoBERTa (large) on Yelp, AG’s News, Yahoo and MNLI (m:matched/mm:mismatched) for five training set sizes  $|\mathcal{T}|$ .

# Prefix Tuning

## Intuition

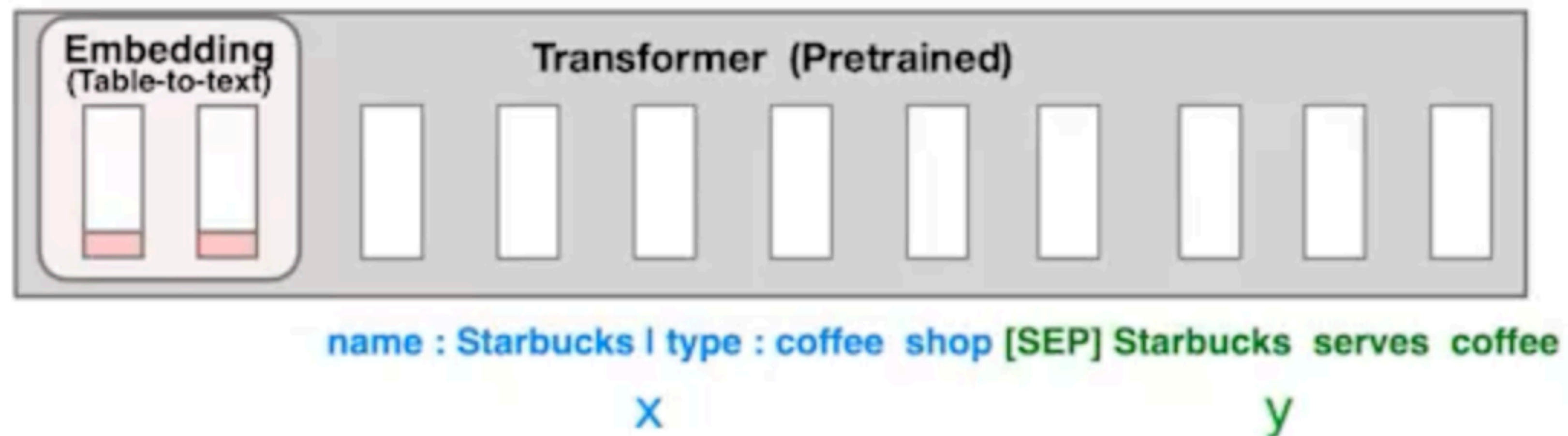
- Learn a good instruction that can steer the LM to produce the right output
- Optimize finding actual words
- Involves discrete optimization which is challenging and not expressive



# Prefix Tuning

## Intuition

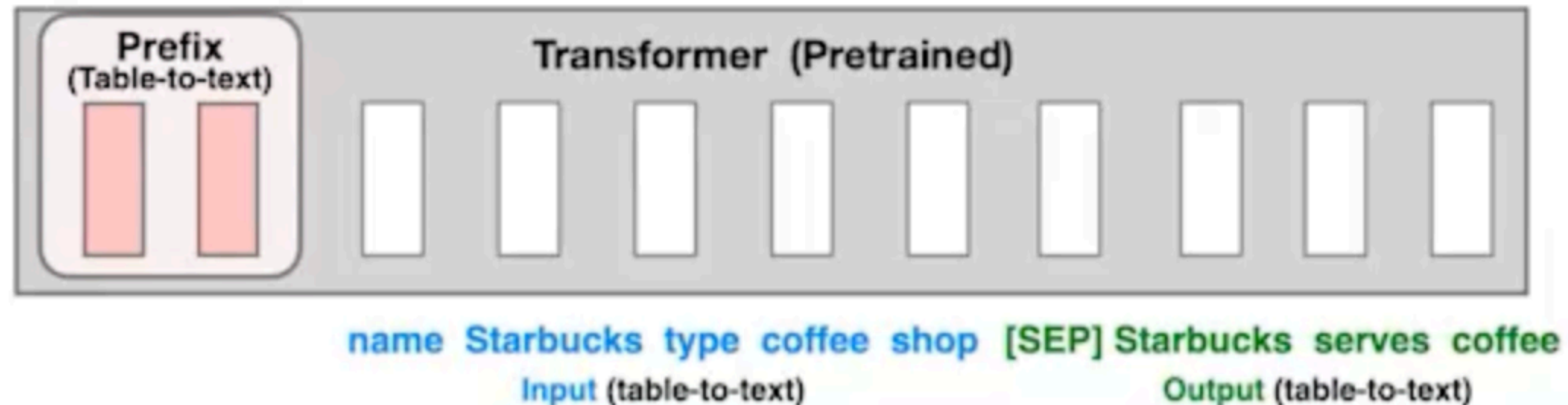
- Optimize the instruction as **continuous word embeddings**
- More expressive
- Limits the scope of the prompt to a input embeddings



# Prefix Tuning

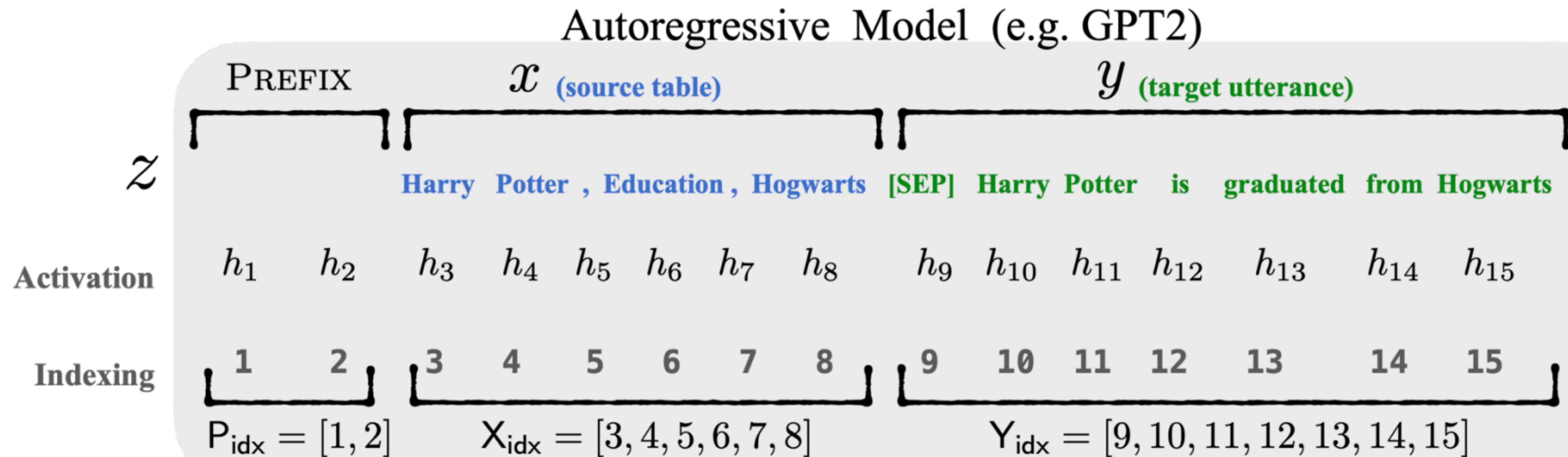
## Intuition

- Optimize the instruction as prefix activation for all layers in the instruction
- Very expressive
- **All the layers of the prefix can be tuned** to create the most expressive prompt



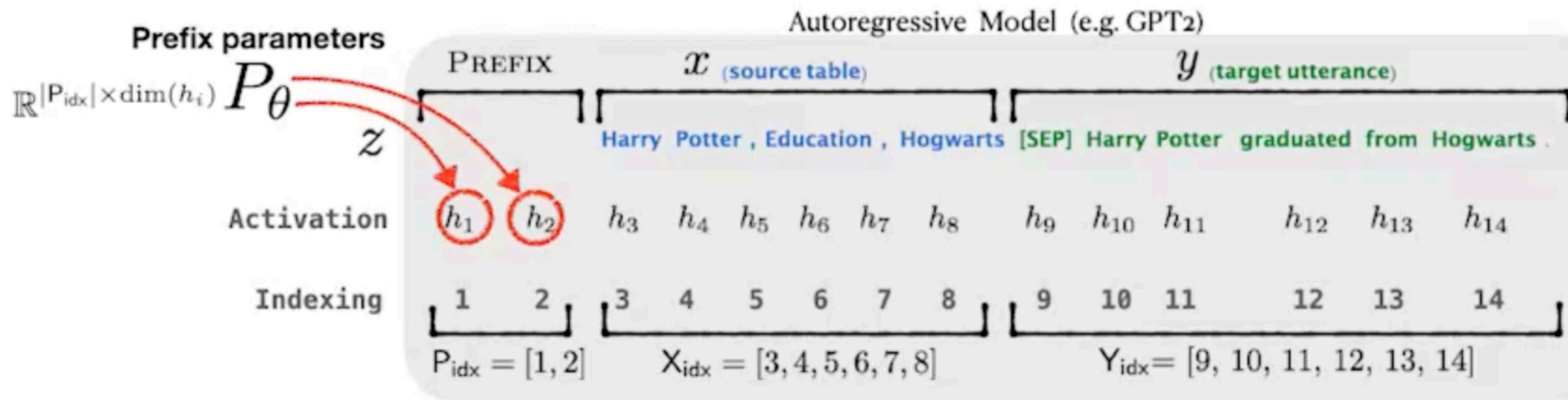
# Prefix Tuning

## Autoregressive Modelling



# Prefix Tuning

$$h_i = \begin{cases} P_\theta[i, :], & \text{if } i \in P_{\text{idx}}, \\ \text{LM}_\phi(z_i, h_{<i}), & \text{otherwise.} \end{cases}$$



$$\max_{\theta} \log p_{\phi, \theta}(y | x) = \sum_{i \in Y_{\text{idx}}} \log p_{\phi, \theta}(z_i | h_{<i})$$

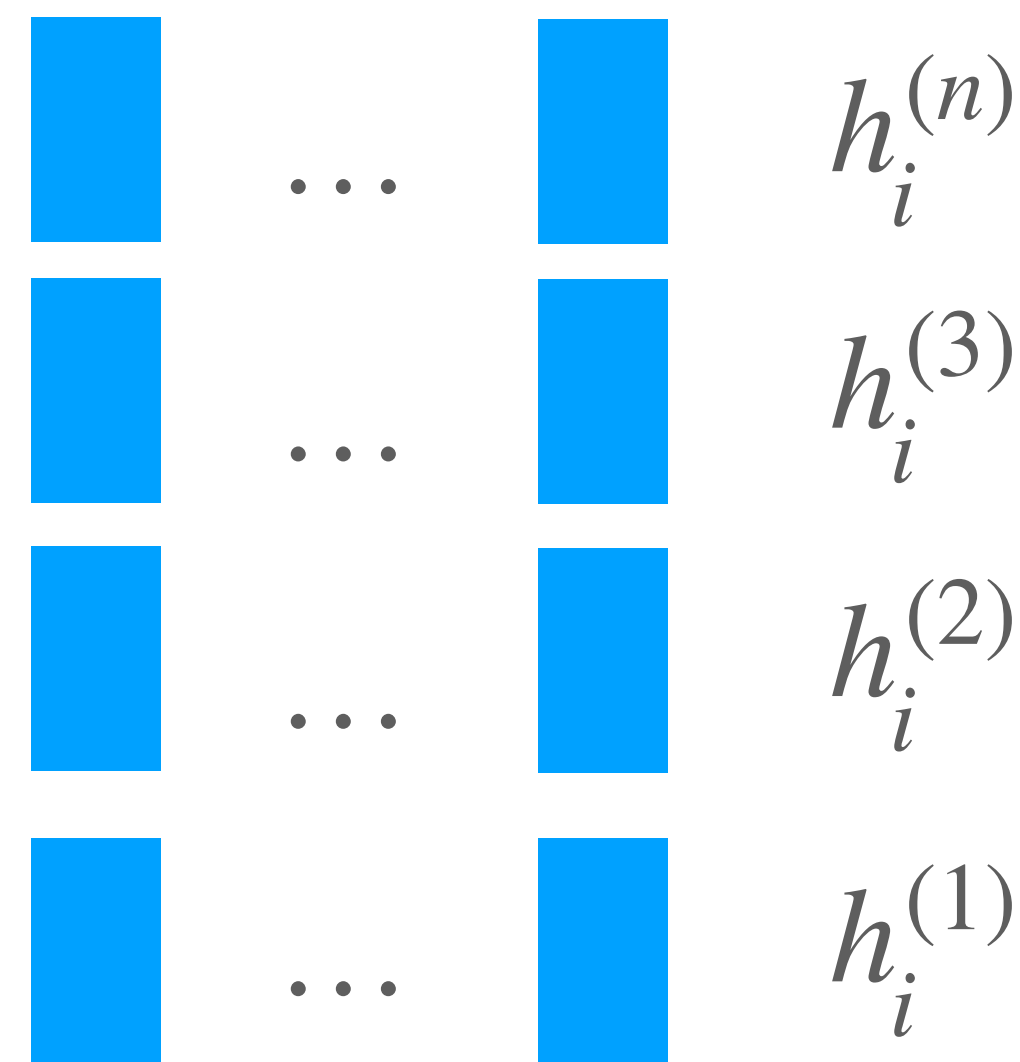
freeze LM parameters  $\phi$   
update prefix parameters  $\theta$



# Prefix Re-parametrization

$$h_i = \begin{cases} P_\theta[i, :], & \text{if } i \in P_{idx}, \\ \text{LM}_\phi(z_i, h_{<i}), & \text{otherwise.} \end{cases}$$

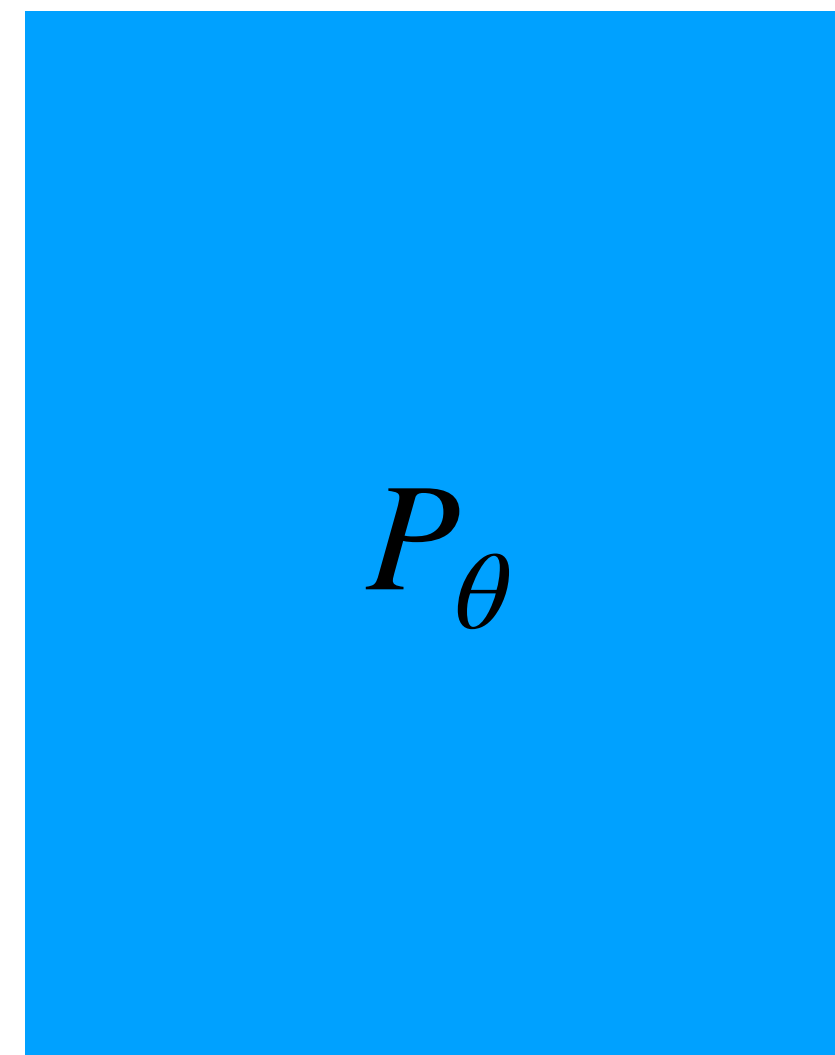
- Directly updating parameters  $P_\theta$  is unstable



$h_1 \dots h_{|P_{idx}|}$

$P_\theta[i, :]$

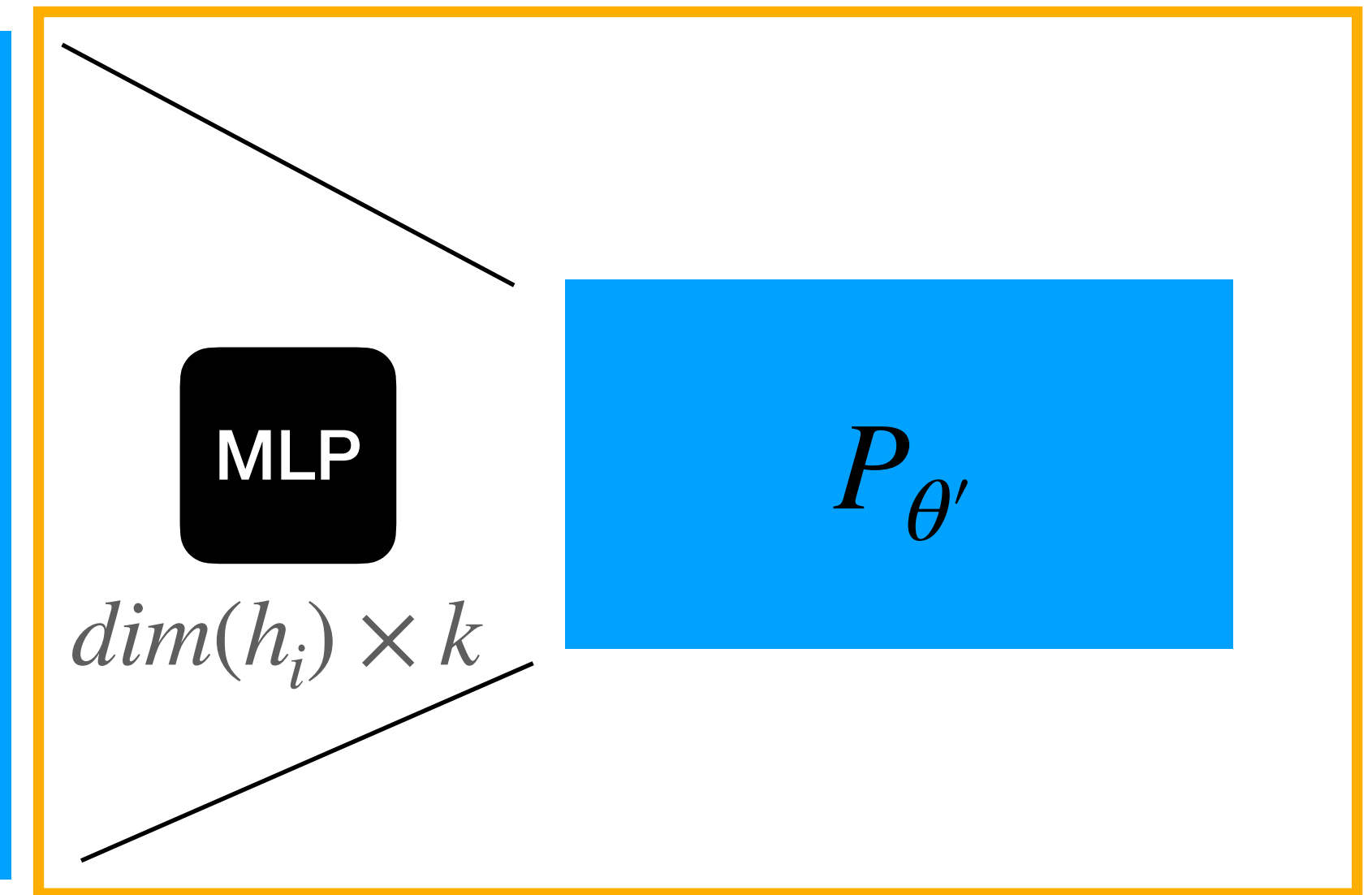
$|P_{idx}| \times \text{dim}(h_i)$



$|P_{idx}| \times \text{dim}(h_i)$

Once training is complete we store only  $P_\theta$  (throw away the MLP)

Train  $P_{\theta'}$  and MLP

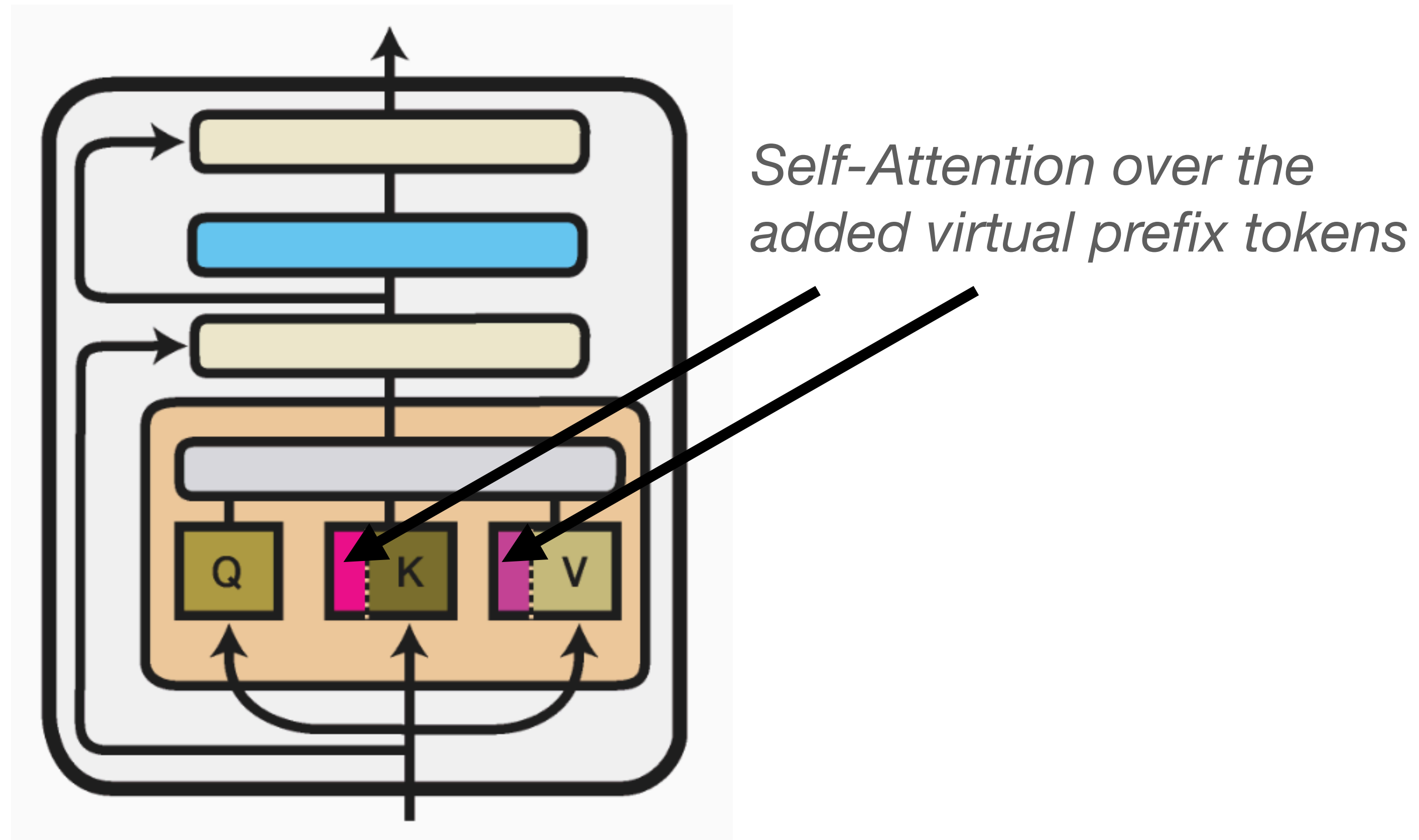


$\text{dim}(h_i) \times k$

$|P_{idx}| \times k$

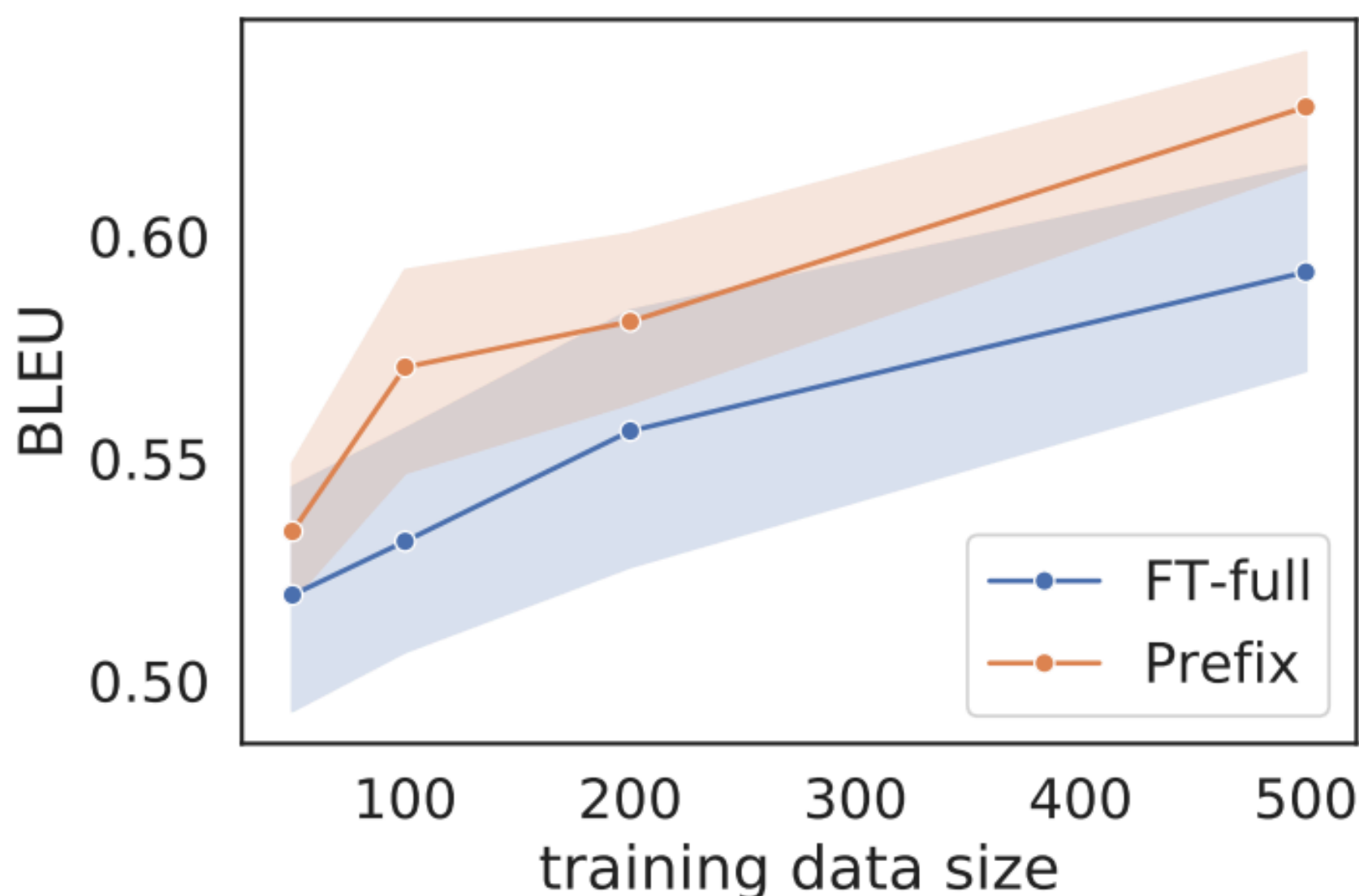
$k$  is 512 for table-to-text and 800 for summarization

# Effect of Prefix Tuning



# Prefix Tuning Vs. Finetuning

- Effective for small amount of training data, requires less parameters than full fine-tuning
- Slightly better (more faithful) outputs than full fine tuning



Source	name : The Eagle   type : coffee shop   food : Chinese   price : cheap   customer rating : average   area : riverside   family friendly : no   near : Burger King
Prefix (50)	The Eagle is a cheap Chinese coffee shop located near Burger King.
Prefix (100)	The Eagle is a cheap coffee shop located in the riverside near Burger King. It has average customer ratings.
Prefix (200)	The Eagle is a cheap Chinese coffee shop located in the riverside area near Burger King. It has average customer ratings.
Prefix (500)	The Eagle is a coffee shop that serves Chinese food. It is located in the riverside area near Burger King. It has an average customer rating and is not family friendly.
FT (50)	The Eagle coffee shop is located in the riverside area near Burger King.
FT (100)	The Eagle is a cheap coffee shop near Burger King in the riverside area. It has a low customer rating and is not family friendly.
FT (200)	The Eagle is a cheap Chinese coffee shop with a low customer rating. It is located near Burger King in the riverside area.
FT (500)	The Eagle is a cheap Chinese coffee shop with average customer ratings. It is located in the riverside area near Burger King.

\* The number in the parenthesis refers to the training size.

# Prefix Tuning

## Extrapolation to unseen categories

### Trained on 9 categories

Astronaut, University, Monument, **Building**,  
ComicsCharacter, Food, Airport,  
SportsTeam, City, and WrittenWork



### Test on 5 unseen categories

Athlete, **Artist**, MeanOfTransportation,  
CelestialBody, Politician

x: [103\_Colmore\_Row | architect | John\_Madin]  
[John\_Madin | birthPlace | Birmingham]  
[Birmingham | leaderName | Andrew\_Mitchell]

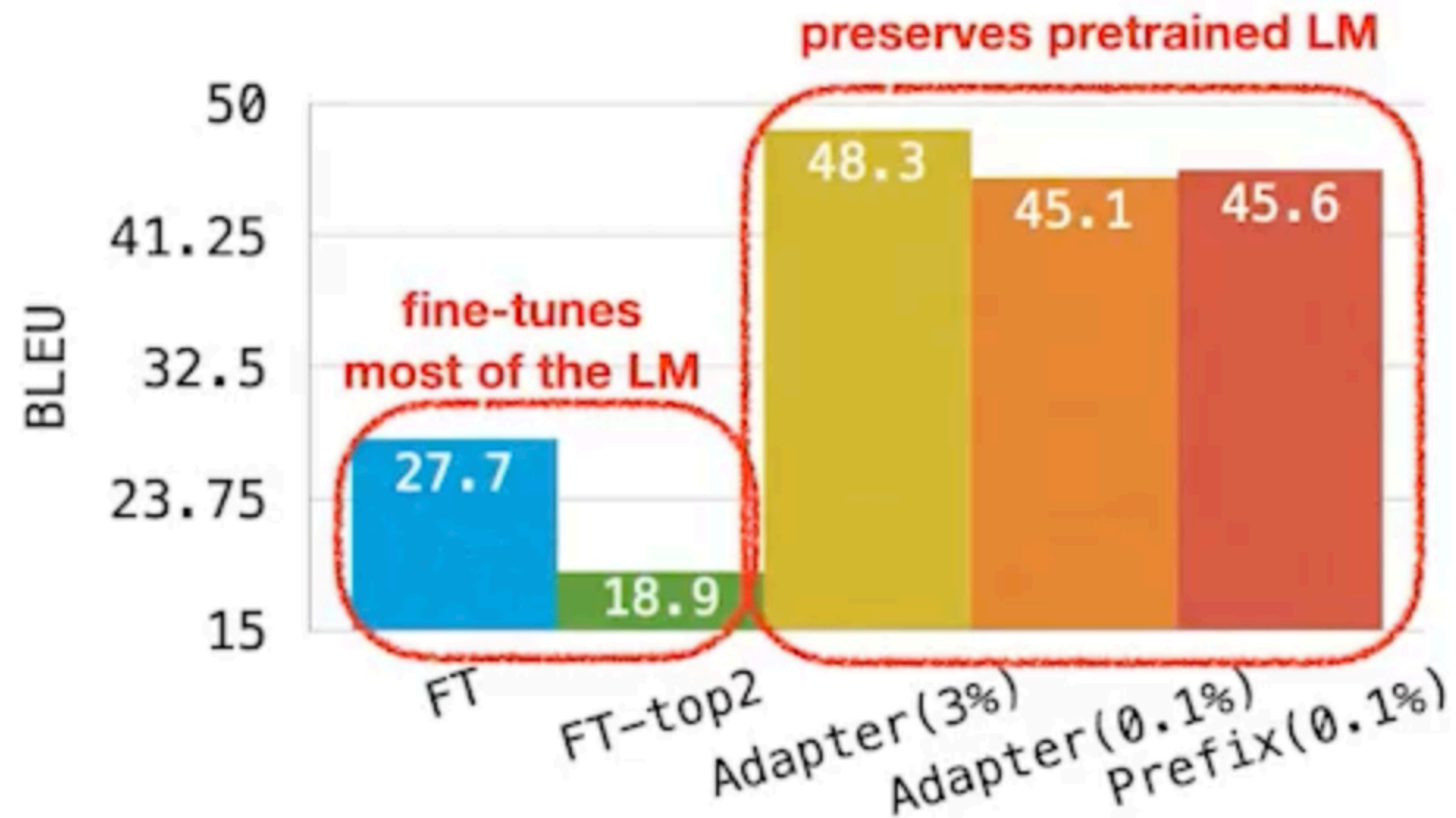
y: John Madin was born in Birmingham (with Andrew Mitchell as a key leader) and became an architect, designing 103 Colmore Row.

x: [Albennie\_Jones | genre | Rhythm\_and\_blues]  
[Albennie\_Jones | birthPlace | Errata,\_Mississippi]  
[Rhythm\_and\_blues | derivative | Disco]

y: Albennie Jones, born in Errata, Mississippi, is a performer of rhythm and blues, of which disco is a derivative.

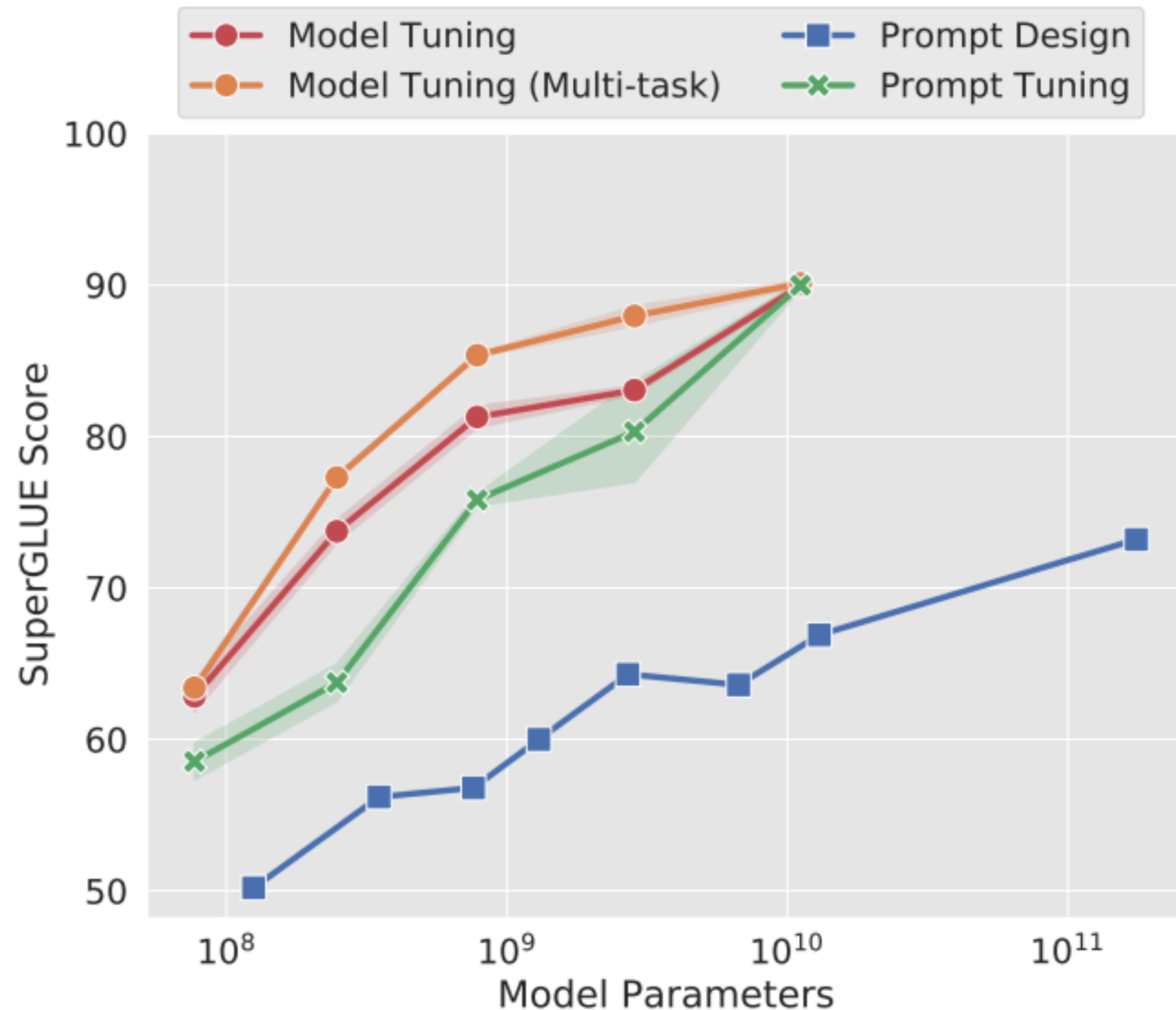
# Prefix Tuning

Extrapolation to unseen categories



# Prompt tuning works well at scale

- Only using trainable parameters at the input layer limits capacity for adaptation
- Prompt tuning performs poorly at smaller model sizes and on harder tasks



aka PaSTA

# Parameter-Efficient Tuning with Special Token Adaptation

**Xiacong Yang<sup>†\*</sup>, James Y. Huang<sup>‡</sup>, Wenxuan Zhou<sup>‡</sup> and Muhao Chen<sup>‡</sup>**

<sup>†</sup>Tsinghua University; <sup>‡</sup>University of Southern California

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<https://aclanthology.org/2023.eacl-main.60.pdf>

Special tokens  
typically capture  
information from  
global text



L5-H3

L5-H5

L5-H6

L5-H7

Attention is  
focused on these  
special tokens



L20-H3

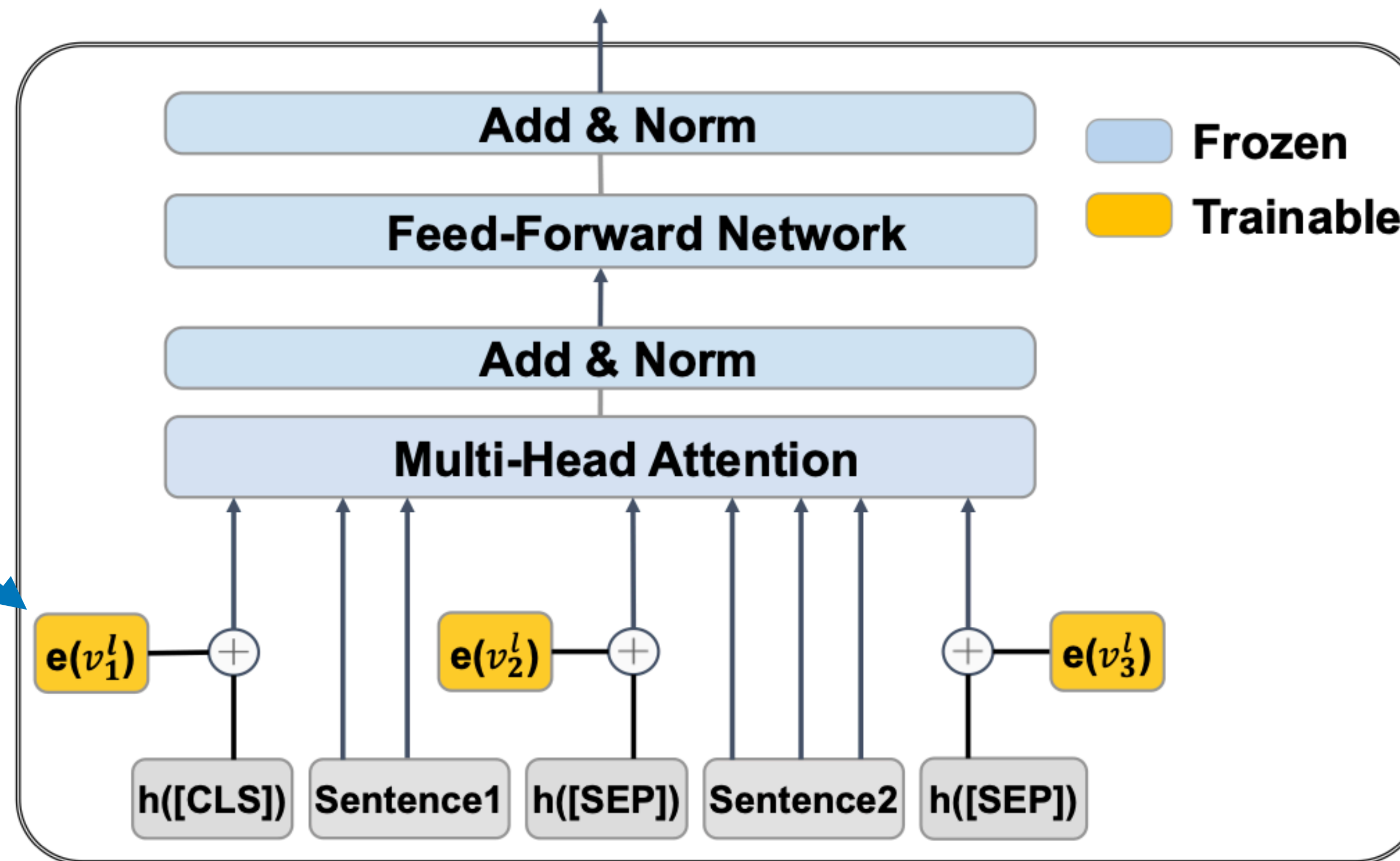
L20-H5

L20-H8

L20-H15

Figure 1: Examples of vertical attention heads in the 5-th and 20-th layer of BERT-large with a random sample from CoLA (Warstadt et al., 2019) as input. Heads in the first row and second row assign most of maximal attention weights to [CLS] and [SEP] respectively.





train a **hidden vector** for every special token

Self-attention allows for information to be spread to other tokens

Figure 2: Architecture of PASTA layer in Transformer. Skip-connections in Transformers are not shown for brevity. At layer  $l$  we add a trainable vector  $e(\mathbf{v}_p^l) \in \mathbb{R}^d$  to the hidden representation of the  $p$ -th special token in the input sequence, and freeze the weights of the PLM.

# Results on GLUE with BERT-large

	<b>%Param</b>	<b>RTE</b> acc.	<b>CoLA</b> mcc.	<b>STS-B</b> Spearman	<b>MRPC</b> F1	<b>SST-2</b> acc.	<b>QNLI</b> acc.	<b>MNLI(m/mm)</b> acc.	<b>QQP</b> F1	<b>Avg.</b>
Full Finetuning*	100%	70.1	60.5	86.5	89.3	<b>94.9</b>	92.7	<b>86.7/85.9</b>	<b>72.1</b>	<b>81.6</b>
Adapter**	3.6%	71.5	59.5	<b>86.9</b>	89.5	94.0	90.7	84.9/85.1	71.8	81.1
Diff-Prune†	0.5%	70.6	61.1	86.0	<b>89.7</b>	94.1	<b>93.3</b>	86.4/86.0	71.1	81.5
P-tuning v2	0.29%	70.1	60.1	86.8	88.0	94.6	92.3	85.3/84.9	70.6	81.0
BitFit‡	0.08%	<b>72.0</b>	59.7	85.5	88.9	94.2	92.0	84.5/84.8	70.5	80.9
PASTA	<b>0.015%-0.022%</b>	70.8	<b>62.3</b>	86.6	87.9	94.4	92.8	83.4/83.4	68.6	80.9

# Ablation study on GLUE and CoNLL-2003

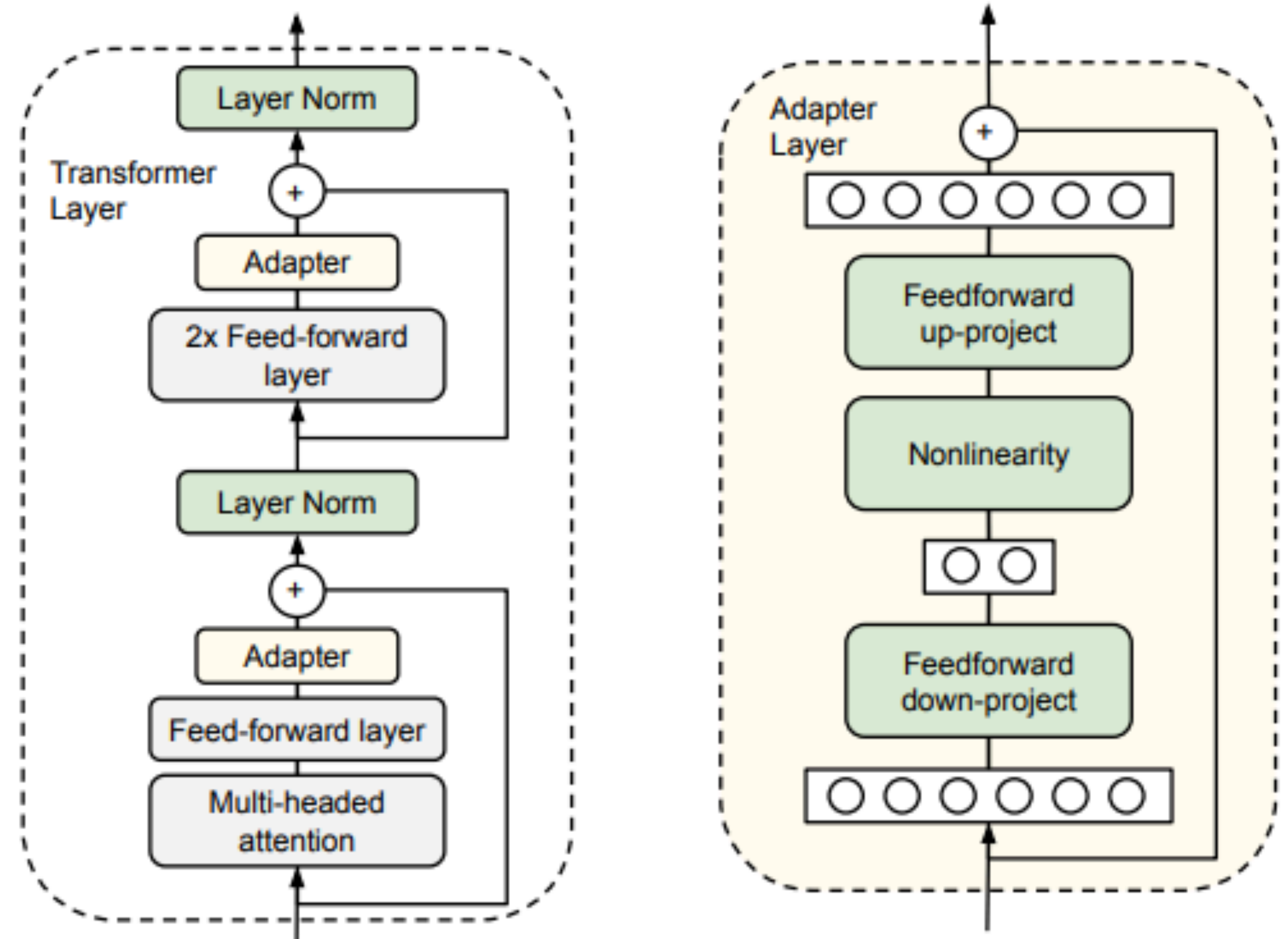
	CoLA	RTE	MRPC	STS-B	CoNLL2003
PASTA	<b>65.4</b>	<b>76.2</b>	89.7	<b>90.8</b>	<b>94.0</b>
- w/o [CLS]	58.8	72.6	91.4	90.2	93.7
- w/o [SEP]	64.5	71.1	91.9	90.3	93.7
- shared vector	64.7	74.7	<b>92.1</b>	90.0	93.9
- classifier only	36.5	54.2	81.5	64.9	77.4

Table 4: Performance of ablation study with BERT-large on GLUE and CoNLL2003 development sets.

# Adapters

# Adapters

- Insert function into model blocks to adapt it to a downstream task
- Adapter typically placed after the multi-head attention and/or after the feed-forward layer



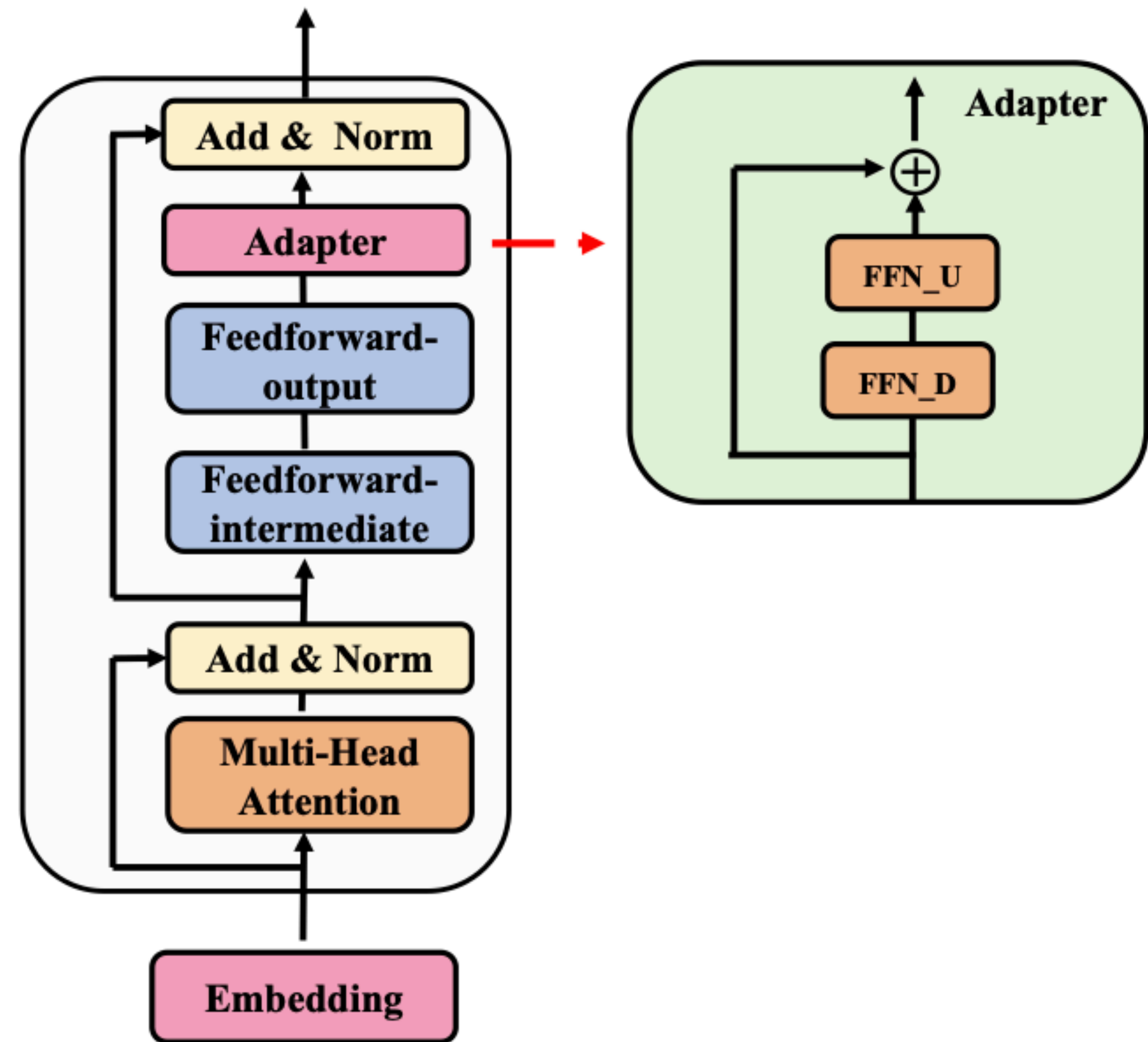
Parameter-Efficient Transfer Learning for NLP

[Houlsby et al., 2019]

<https://arxiv.org/abs/1902.00751>

# Bottleneck Adapters

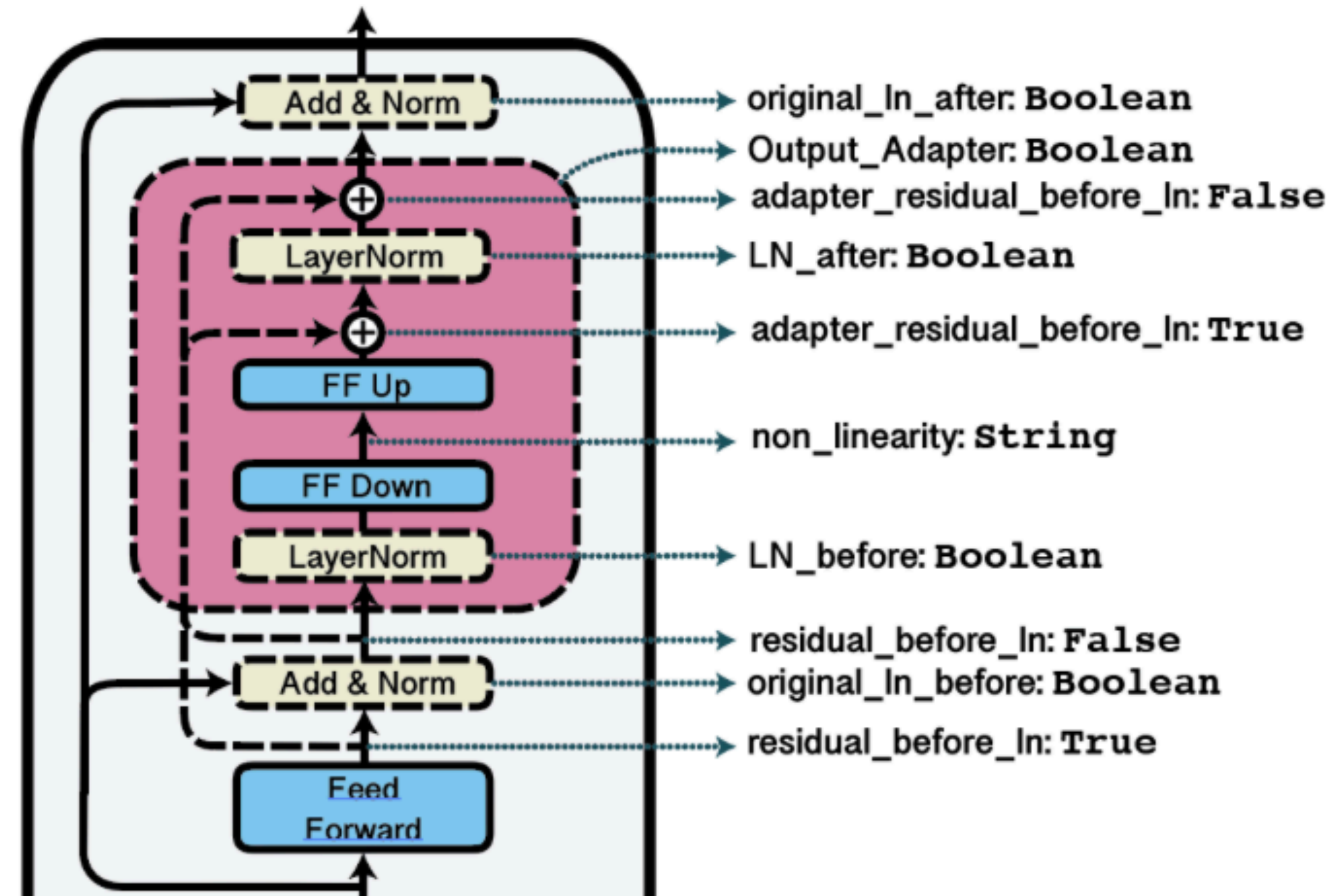
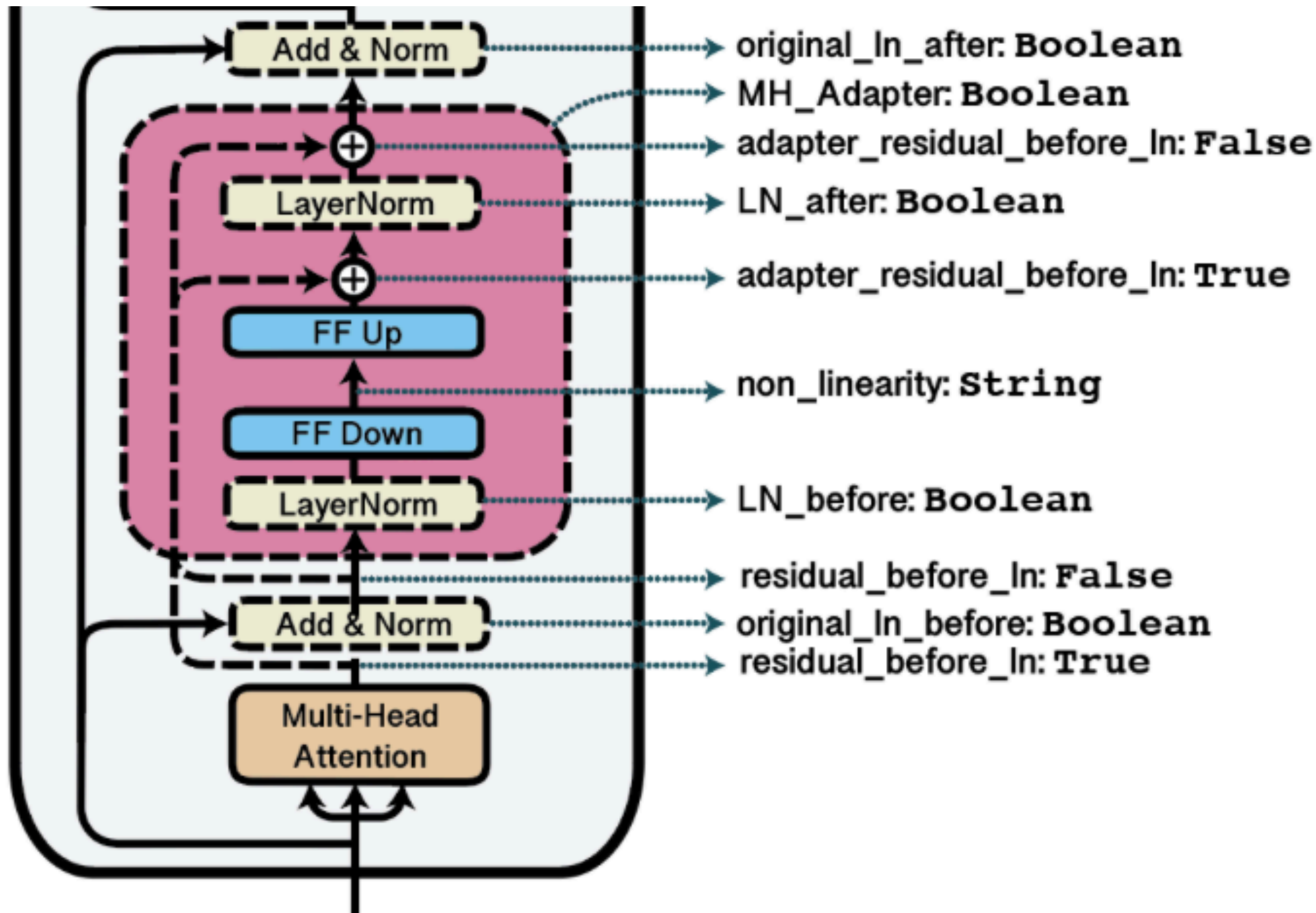
- Given a hidden layer  $h^\ell$  for layer  $\ell$  in a Transformer layer (before Add & Norm)
- $h^\ell \leftarrow h^\ell + f(h^\ell \cdot W_{\text{down}}) \cdot W_{\text{up}}$
- $W_{\text{down}}$  lowers the dimensionality from  $\dim(h^\ell)$  down to  $k$  where  $k \ll \dim(h^\ell)$
- $W_{\text{up}}$  raises the dimensionality from  $k$  back up to  $\dim(h^\ell)$
- $f$  is a non-linear function (GeLU)
- $h^{\ell+1} = \text{Add+LN}(h^\ell)$



<https://arxiv.org/abs/2205.12410>

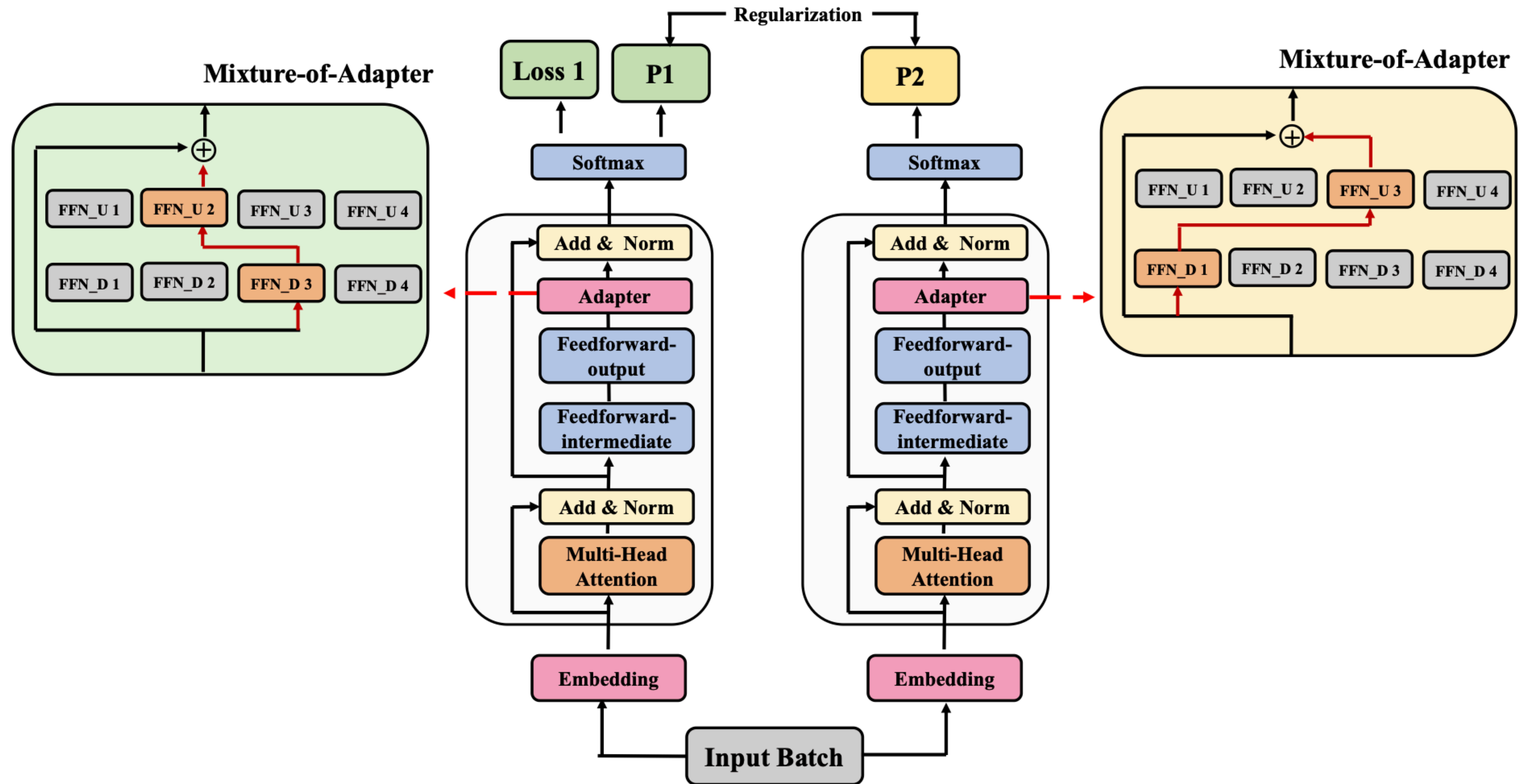
Also see: <https://www.cs.huji.ac.il/labs/learning/Papers/allerton.pdf>

# Bottleneck Adapters



# Mixture of Adapters

<https://arxiv.org/abs/2205.12410>





# Mixture of Adapters

## Regularization loss

- For each layer  $\ell$  use  $M$  different feed-forward networks for projecting down to  $k$  and for projecting up to  $\dim(h^\ell)$
- $A_\ell = \{W_{\text{down}}^{\ell,j}, W_{\text{down}}^{\ell,k}\}$  and  $B_\ell = \{W_{\text{up}}^{\ell,j}, W_{\text{up}}^{\ell,k}\}$
- where  $j, k \in [0, M - 1]$
- $h^\ell \leftarrow h^\ell + f(h^\ell \cdot W_{\text{down}}^{\ell,i}) \cdot W_{\text{up}}^{\ell,j}$
- Pick  $i, j$  at random
- Pick  $i, j$  twice for each input batch.

# Mixture of Adapters

## Regularization loss

- Fine tuning loss:  $\mathcal{L} = - \sum_{c=1}^C \delta(x, \hat{x}) \log \text{softmax}(z^{\mathcal{A}}(x))$
- where  $\delta$  is 1 if the two arguments are equal
- $\hat{x}$  is the right answer for input  $x$
- $z^{\mathcal{A}}(x)$  are the logits for the fine-tuning output softmax activation (using adapter  $\mathcal{A}$ )

# Mixture of Adapters

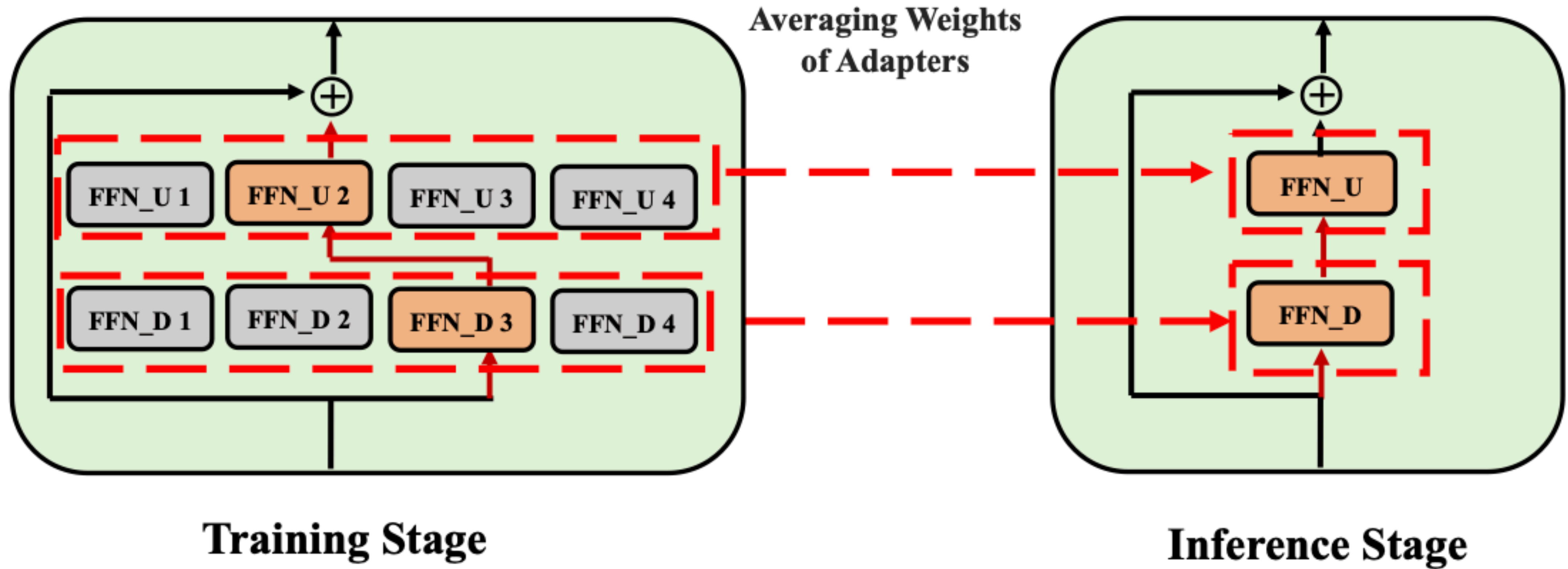
## Regularization loss

- Let  $\mathcal{A} = \{A_{\ell=1}^L\}$  and  $\mathcal{B} = \{B_{\ell=1}^L\}$  be the adapter modules.
- Pick  $i, j$  twice for each input batch.
- Let  $D(\mathcal{X}, \mathcal{Y}) = \text{KL}(z^{\mathcal{X}}(x) || z^{\mathcal{Y}}(x))$  where  $x$  is the input to the LLM with frozen parameters; only  $\mathcal{X}, \mathcal{Y}$  are trained against fine-tuning prediction loss.
- Add following consistency loss to fine-tuning a LLM

$$\bullet \mathcal{L} \leftarrow \mathcal{L} + \frac{1}{2}(D(\mathcal{A}, \mathcal{B}) + D(\mathcal{B}, \mathcal{A}))$$

# Mixture of Adapters

<https://arxiv.org/abs/2205.12410>



$$W_{\text{down}}^{\ell} = \frac{1}{M} \sum_{j=1}^M W_{\text{down}}^{\ell,j}$$

$$W_{\text{up}}^{\ell} = \frac{1}{M} \sum_{j=1}^M W_{\text{up}}^{\ell,j}$$

# Results on GLUE with ROBERTa-large

Model	#Param.	MNLI Acc	QNLI Acc	SST2 Acc	QQP Acc	MRPC Acc	CoLA Mcc	RTE Acc	STS-B Pearson	Avg.
Full Fine-tuning <sup>†</sup>	355.0M	90.2	94.7	96.4	92.2	90.9	68.0	86.6	<b>92.4</b>	88.9
Pfeiffer Adapter <sup>†</sup>	3.0M	90.2	94.8	96.1	91.9	90.2	68.3	83.8	92.1	88.4
Pfeiffer Adapter <sup>†</sup>	0.8M	90.5	94.8	96.6	91.7	89.7	67.8	80.1	91.9	87.9
Houlsby Adapter <sup>†</sup>	6.0M	89.9	94.7	96.2	92.1	88.7	66.5	83.4	91.0	87.8
Houlsby Adapter <sup>†</sup>	0.8M	90.3	94.7	96.3	91.5	87.7	66.3	72.9	91.5	86.4
LoRA <sup>†</sup>	0.8M	90.6	94.8	96.2	91.6	90.2	68.2	85.2	92.3	88.6
AdaMix Adapter	0.8M	<b>90.9</b>	<b>95.4</b>	<b>97.1</b>	<b>92.3</b>	<b>91.9</b>	<b>70.2</b>	<b>89.2</b>	<b>92.4</b>	<b>89.9</b>

# Results on GLUE with BERT-base

Model	#Param.	Avg.
Full Fine-tuning <sup>†</sup>	110M	82.7
Houlsby Adapter <sup>†</sup>	0.9M	83.0
BitFit <sup>◇</sup>	0.1M	82.3
Prefix-tuning <sup>†</sup>	0.2M	82.1
LoRA <sup>†</sup>	0.3M	82.2
UNIPELT (AP) <sup>†</sup>	1.1M	83.1
UNIPELT (APL) <sup>†</sup>	1.4M	83.5
AdaMix Adapter	0.9M	<b>84.5</b>

# Results on E2E with GPT2-medium

Model	#Param.	BLEU	NIST	MET	ROUGE-L	CIDEr
Full Fine-tuning <sup>†</sup>	354.92M	68.2	8.62	46.2	71.0	2.47
Lin AdapterL <sup>†</sup>	0.37M	66.3	8.41	45.0	69.8	2.40
Lin Adapter <sup>†</sup>	11.09M	68.9	8.71	46.1	71.3	2.47
Houlsby Adapter <sup>†</sup>	11.09M	67.3	8.50	46.0	70.7	2.44
FT <sup>Top2</sup> <sup>†</sup>	25.19M	68.1	8.59	46.0	70.8	2.41
PreLayer <sup>†</sup>	0.35M	69.7	8.81	46.1	71.4	2.49
LoRA <sup>†</sup>	0.35M	70.4	8.85	<b>46.8</b>	71.8	2.53
LoRA (repr.)	0.35M	69.8	8.77	46.6	71.8	2.52
AdaMix Adapter	0.42M	69.8	8.75	<b>46.8</b>	71.9	2.52
AdaMix LoRA	0.35M	<b>71.0</b>	<b>8.89</b>	<b>46.8</b>	<b>72.2</b>	<b>2.54</b>

# **Simple, Scalable Adaptation for Neural Machine Translation**

**Ankur Bapna**

**Naveen Arivazhagan**

**Orhan Firat**

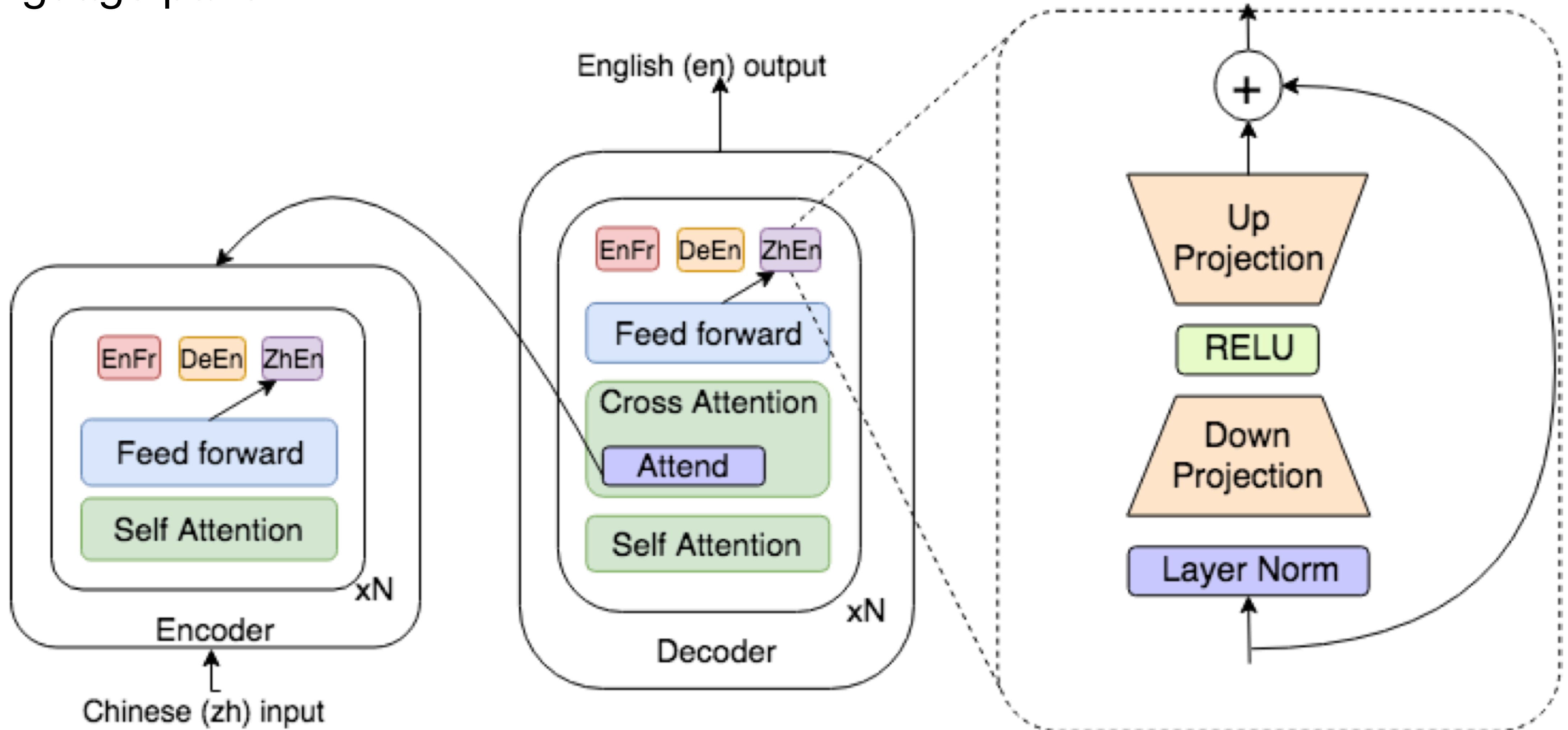
Google AI

{ankurbpn, navari, orhanf}@google.com

<https://arxiv.org/abs/1909.08478>



- Different adapters for different language pairs



# LoRA: LOW-RANK ADAPTATION OF LARGE LANGUAGE MODELS

**Edward Hu\***    **Yelong Shen\***    **Phillip Wallis**    **Zeyuan Allen-Zhu**  
**Yuanzhi Li**    **Shean Wang**    **Lu Wang**    **Weizhu Chen**

Microsoft Corporation

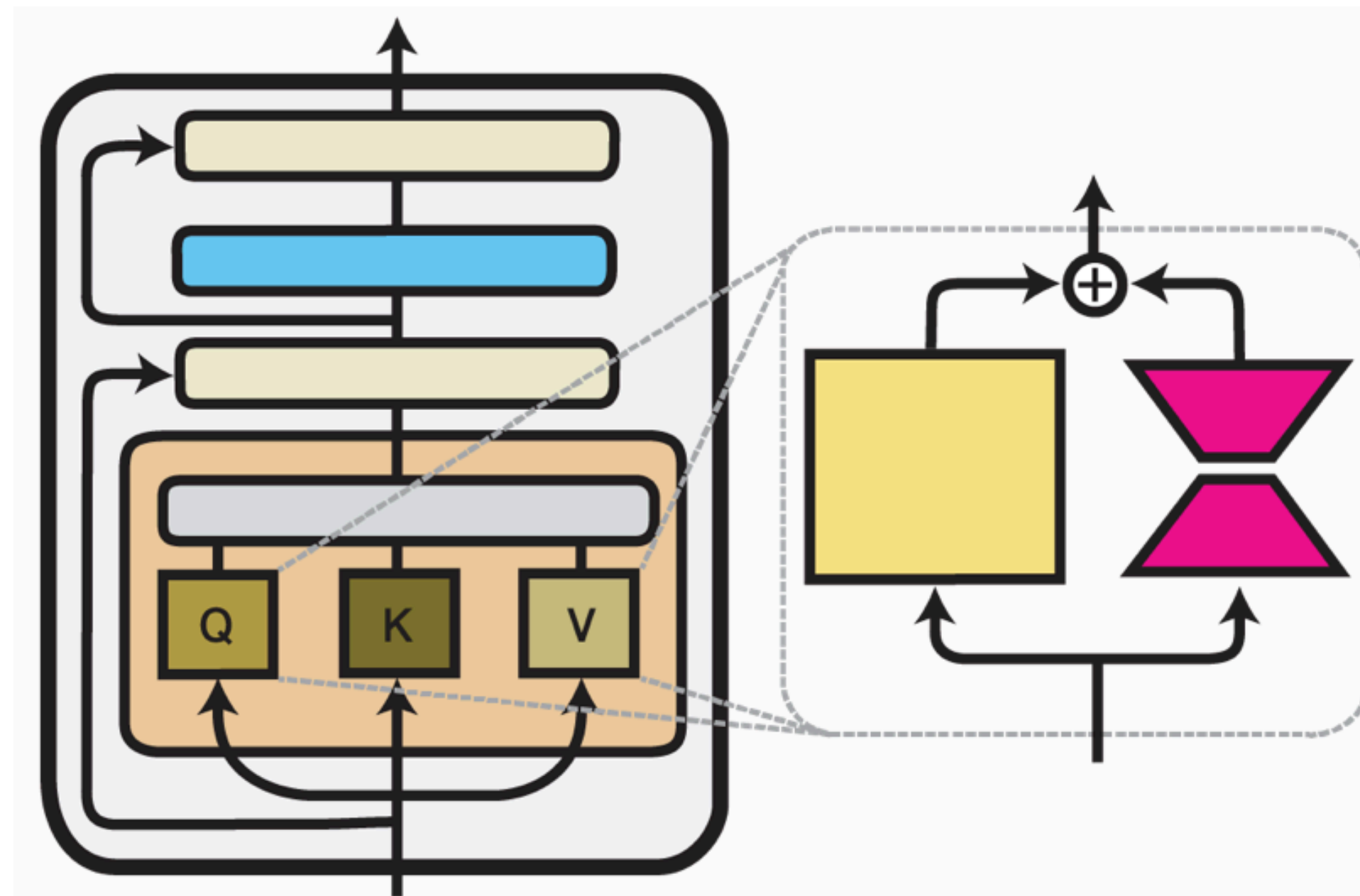
{edwardhu, yeshe, phwallis, zeyuana,  
yuanzhil, swang, luw, wzchen}@microsoft.com

yuanzhil@andrew.cmu.edu

(Version 2)

# LoRA

- Can be applied to any Transformer-based Large Language Model
- But specifically designed for autoregressive and causal LMs like GPTx
- Just like other Transformer adapters, LoRA adds a small set of parameters for fine-tuning and keeps the original parameters frozen
- This can help a lot when LLM parameter sizes are as large as 175 billion.

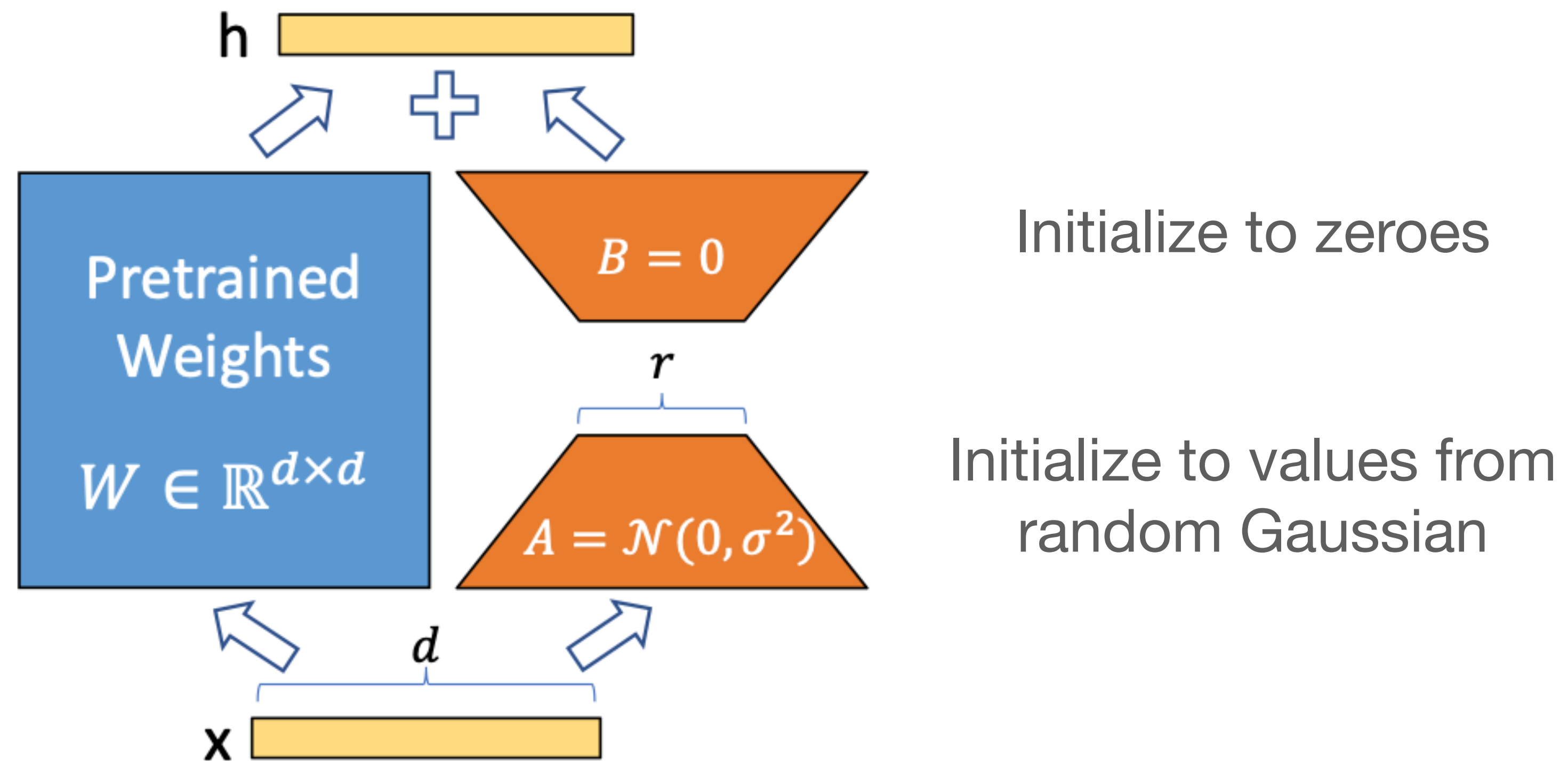


# LoRA

- Only use adapters in the attention matrices: Q, K, V
- Each matrix is called  $W_p$  here,  $p$  for pre-trained
- Adapter methods modify  $W_p$  to be  $W_p + BA$  where  $B \in \mathbb{R}^{d \times r}$ ,  $A \in \mathbb{R}^{r \times k}$
- Rank  $r \ll \min(d, k)$
- Let  $BA$  be zero at start of training
- Scale the parameters after backpropagation by  $\frac{\alpha}{r}$  where  $\alpha$  is a hyperparameter set to a constant value depending on  $r$  (set to the first  $r$  in training)

# LoRA

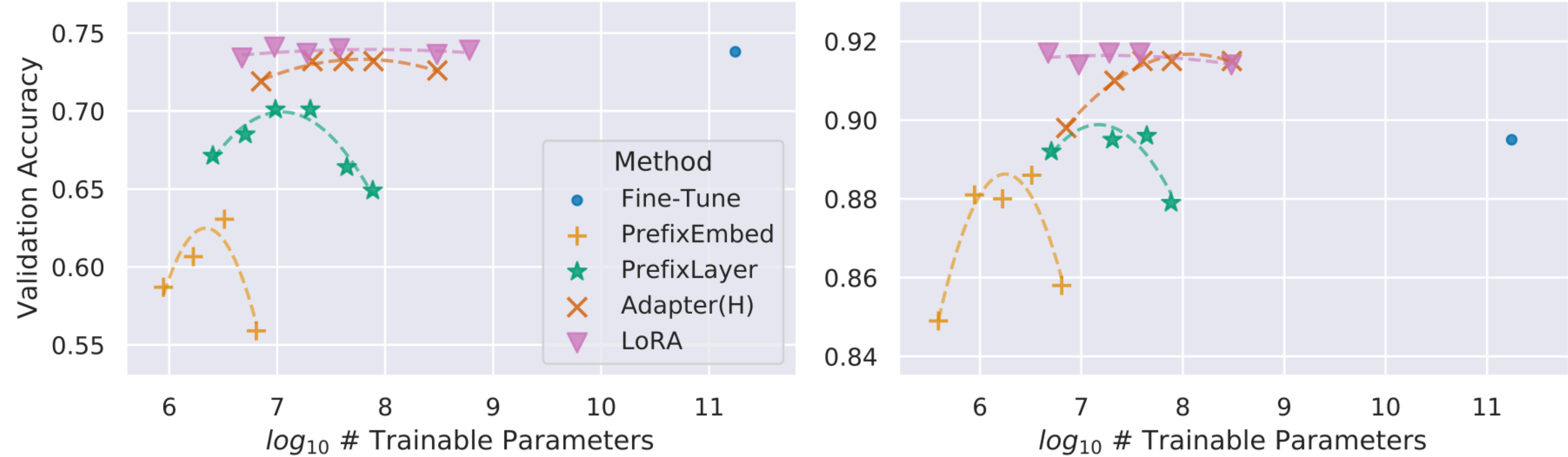
- Initialize B to zeroes
- Initialize A using random Gaussian initialization



Model & Method	# Trainable Parameters	E2E NLG Challenge				
		BLEU	NIST	MET	ROUGE-L	CIDEr
GPT-2 M (FT)*	354.92M	68.2	8.62	46.2	71.0	2.47
GPT-2 M (Adapter <sup>L</sup> )*	0.37M	66.3	8.41	45.0	69.8	2.40
GPT-2 M (Adapter <sup>L</sup> )*	11.09M	68.9	8.71	46.1	71.3	2.47
GPT-2 M (Adapter <sup>H</sup> )	11.09M	67.3 $\pm$ .6	8.50 $\pm$ .07	46.0 $\pm$ .2	70.7 $\pm$ .2	2.44 $\pm$ .01
GPT-2 M (FT <sup>Top2</sup> )*	25.19M	68.1	8.59	46.0	70.8	2.41
GPT-2 M (PreLayer)*	0.35M	69.7	8.81	46.1	71.4	2.49
GPT-2 M (LoRA)	0.35M	<b>70.4<math>\pm</math>.1</b>	<b>8.85<math>\pm</math>.02</b>	<b>46.8<math>\pm</math>.2</b>	<b>71.8<math>\pm</math>.1</b>	<b>2.53<math>\pm</math>.02</b>
GPT-2 L (FT)*	774.03M	68.5	8.78	46.0	69.9	2.45
GPT-2 L (Adapter <sup>L</sup> )	0.88M	69.1 $\pm$ .1	8.68 $\pm$ .03	46.3 $\pm$ .0	71.4 $\pm$ .2	<b>2.49<math>\pm</math>.0</b>
GPT-2 L (Adapter <sup>L</sup> )	23.00M	68.9 $\pm$ .3	8.70 $\pm$ .04	46.1 $\pm$ .1	71.3 $\pm$ .2	2.45 $\pm$ .02
GPT-2 L (PreLayer)*	0.77M	70.3	8.85	46.2	71.7	2.47
GPT-2 L (LoRA)	0.77M	<b>70.4<math>\pm</math>.1</b>	<b>8.89<math>\pm</math>.02</b>	<b>46.8<math>\pm</math>.2</b>	<b>72.0<math>\pm</math>.2</b>	2.47 $\pm$ .02

WikiSQL

MultiNLI-matched



GPT-3 175B validation accuracy vs. number of trainable parameters

# **Composable Sparse Fine-Tuning for Cross-Lingual Transfer**

<https://aclanthology.org/2022.acl-long.125.pdf>

**Alan Ansell<sup>1</sup> Edoardo Maria Ponti<sup>1,2</sup> Anna Korhonen<sup>1</sup> Ivan Vulić<sup>1</sup>**

<sup>1</sup>Language Technology Lab, University of Cambridge

<sup>2</sup>Mila - Quebec AI Institute and McGill University

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## **Scaling Sparse Fine-Tuning to Large Language Models**

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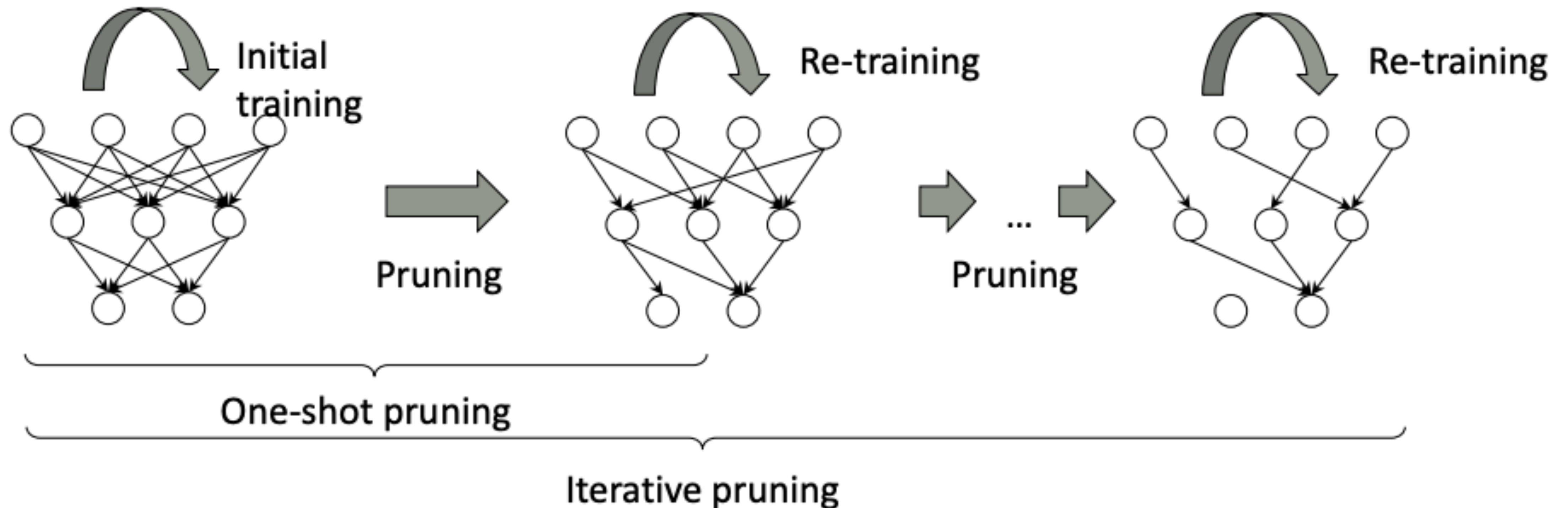
**Alan Ansell<sup>1</sup> Ivan Vulić<sup>1</sup> Hannah Sterz<sup>1</sup> Anna Korhonen<sup>1</sup> Edoardo M. Ponti<sup>2,1</sup>**

<https://arxiv.org/pdf/2401.16405.pdf>



# Sparse fine-tuning

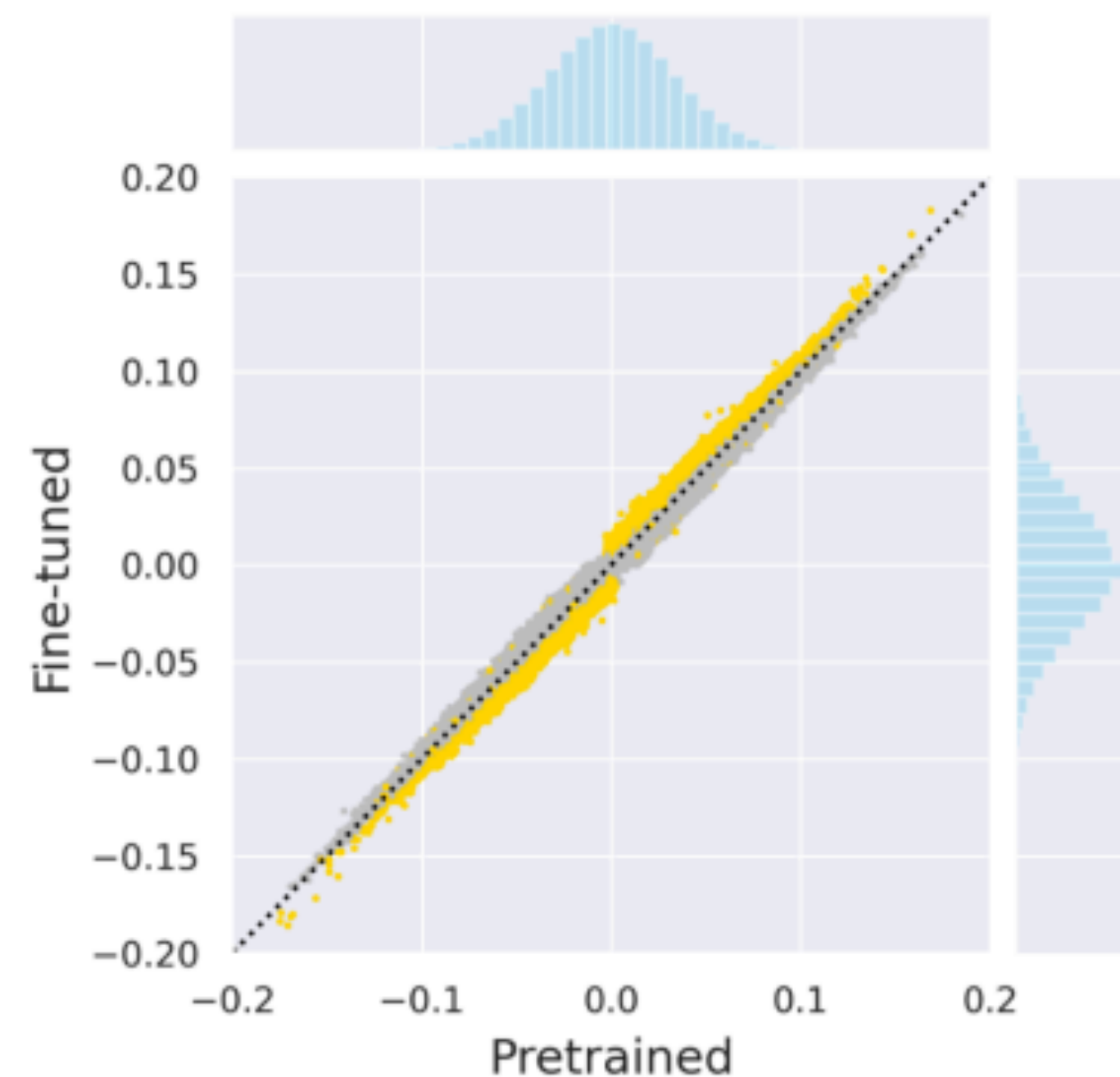
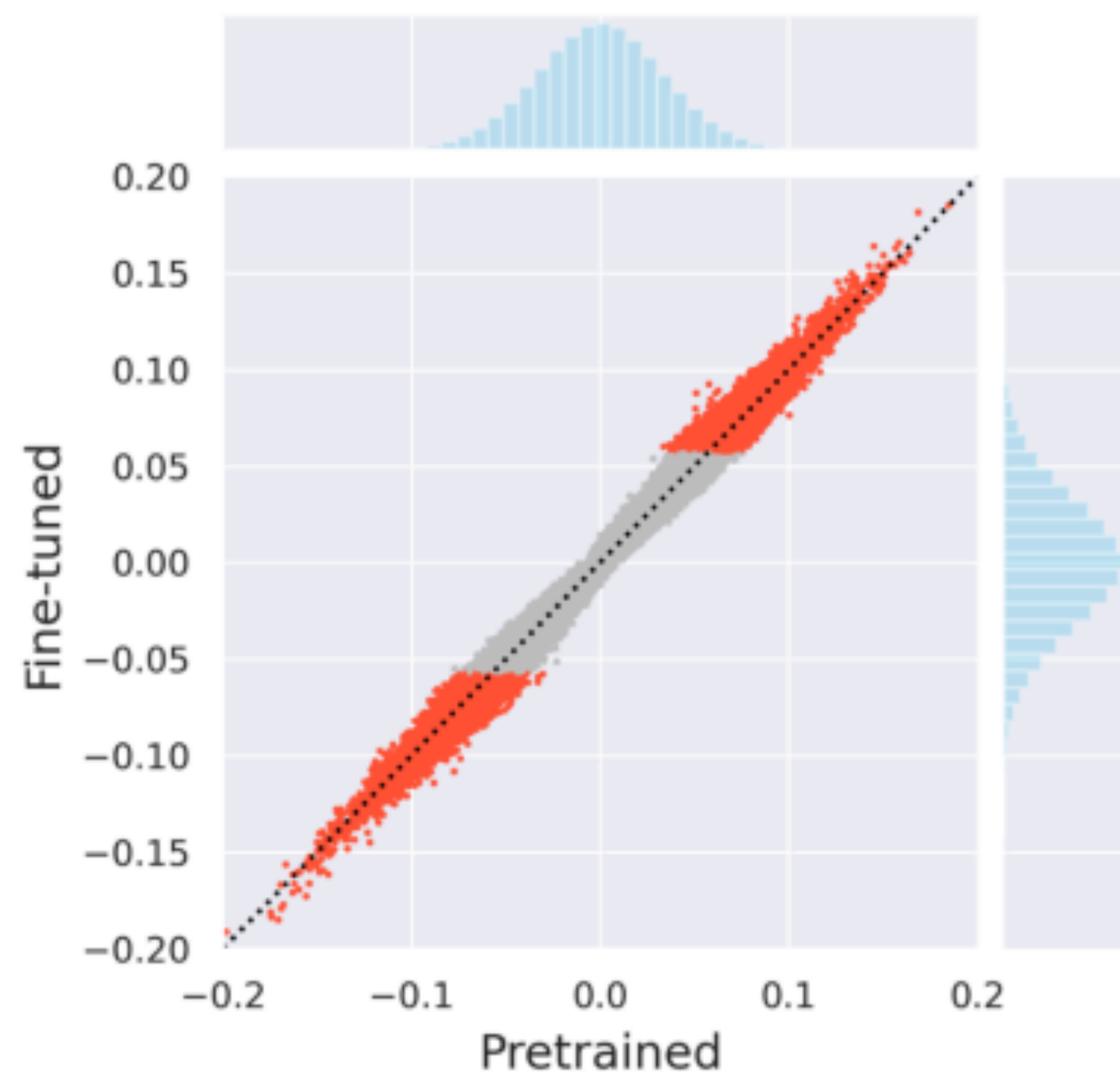
- Use mask to identify a sparse subset of weights and only update a subset of weights during training
- Related to **pruning** (removes subset of weights)
- Can repeat for several iterations



# Pruning

- Use mask to prune away weights
- Different ways to pick what weights to keep vs prune
  - For fine-tuning: select weights which when **updated**, impact the model performance the most
  - Magnitude pruning vs Movement pruning

Fine-tuned weights stay close to their pre-trained values. Magnitude pruning (left) selects **weights that are far from 0.**



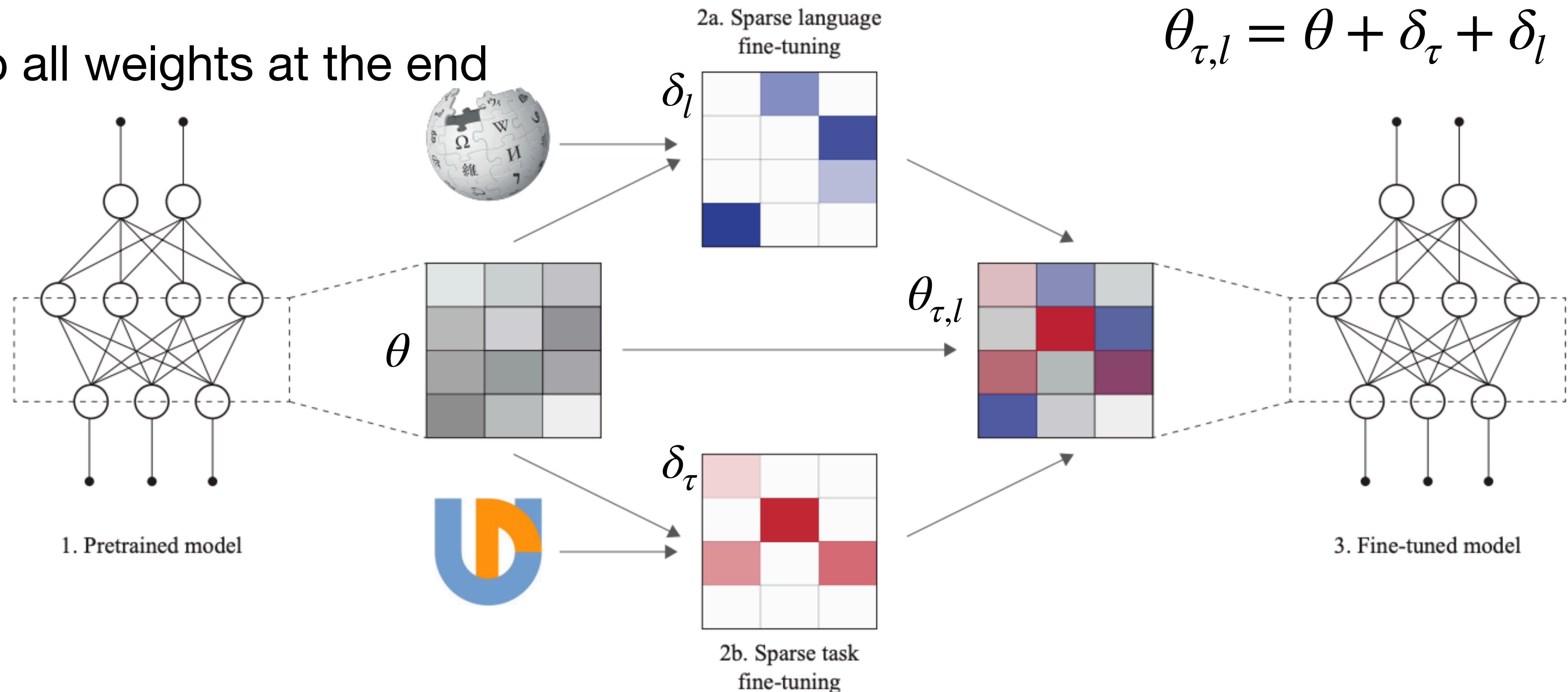
Movement pruning (right) selects **weights that move away from 0.**

# Sparse fine-tuning

- Use mask to determine what weights to tune
- Tune weights for specific task  $\tau$  and language  $l$
- Keep all weights at the end

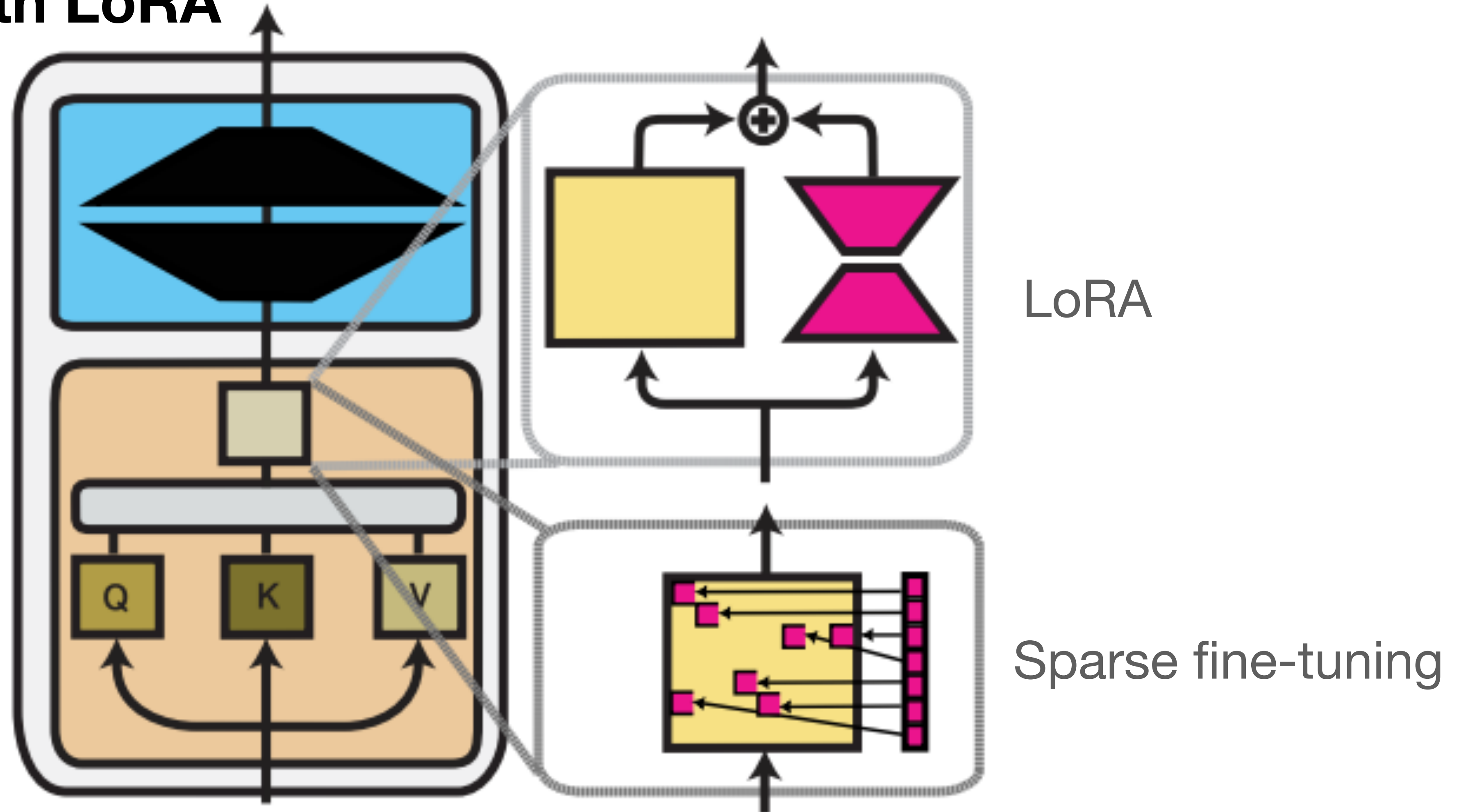
Weights are added to pretrained model

$$\theta_{\tau,l} = \theta + \delta_{\tau} + \delta_l$$



# Sparse fine-tuning

## Comparison with LoRA



Scaling Sparse Fine-Tuning to Large Language Models  
[Ansell et al. 2024] <https://arxiv.org/pdf/2401.16405.pdf>

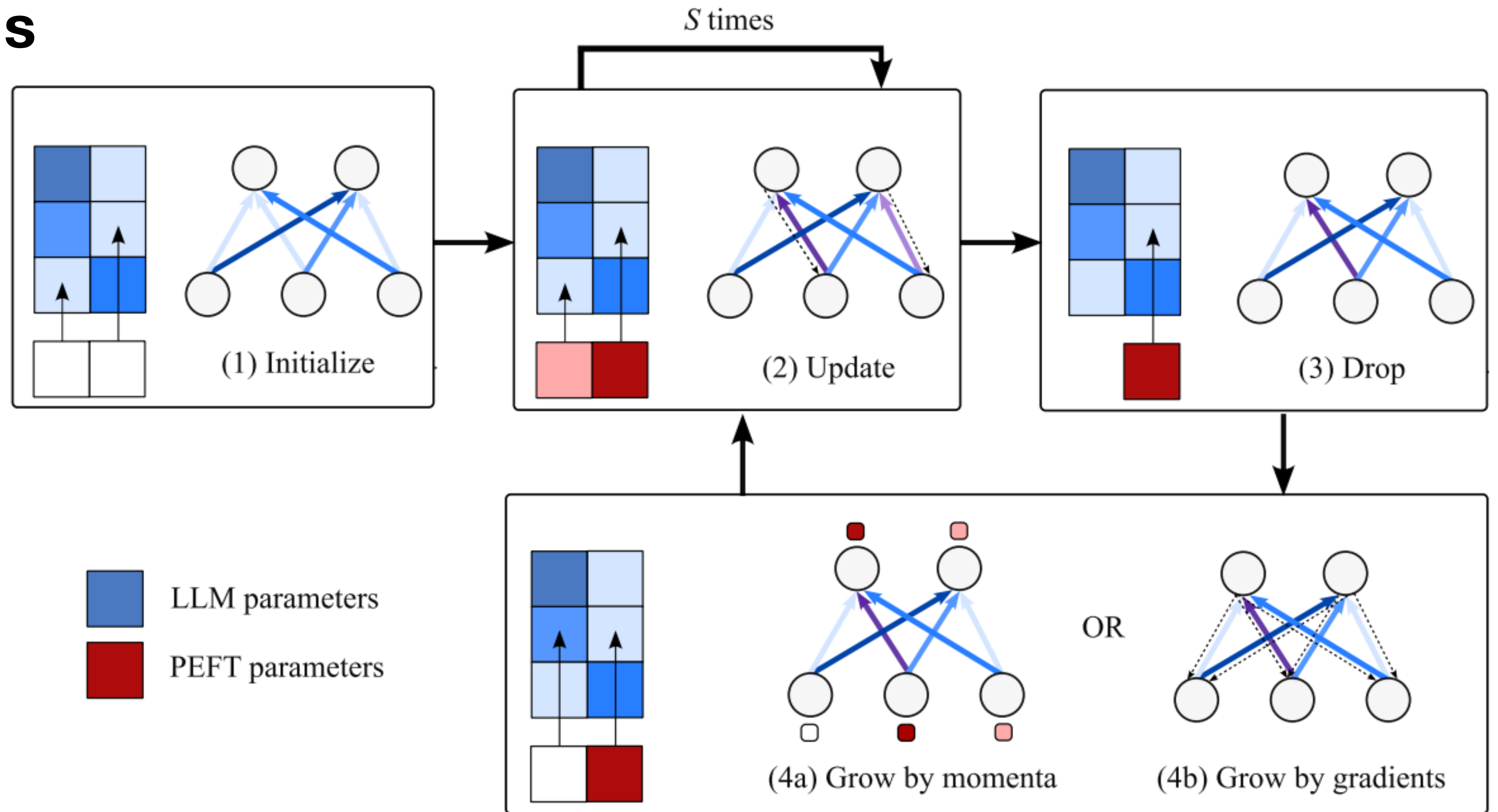
# Sparse fine-tuning

- LT-SFT (Lottery Ticket) [Ansell et al. ACL 2022]
  - All parameters are first fine-tuned (once), then parameters that changed the most are selected for fine-tuning (multiple languages, tasks)
- FISH-Mask [Sung et al. NeurIPS 2021]
- ChildTuning [Xu et al. EMNLP 2021]
- DiffPruning [Guo et al. ACL 2021]
- BitFit [Zaken et al. ACL 2022]

# Sparse fine-tuning

## Scaling to LLMs

- Maintain vector of indices  $\eta$  vs dense binary mask
- Allow  $\eta$  to change over time



# Different approaches to PEFT

- **Input:** Tune the input (prompt tuning)
- **Function:** Insert function into layers of pre-trained model (adapters)
- **Parameter:** Tune subset of parameters or delta (LoRA, sparse fine-tuning)